

**COUNTY OF MILWAUKEE
INTER-OFFICE COMMUNICATION**

1

DATE : January 14, 2013

TO : Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors

FROM : Pamela Bryant, Capital Finance Manager, Office of the Comptroller

SUBJECT : **Corporate Purpose Initial Authorizing Resolutions**

REQUEST

The Office of the Comptroller is requesting the approval of the attached initial authorizing resolutions and final bond resolution for the issuance of a not-to-exceed amount of \$36.1 million to finance various capital improvement projects included in the 2013 Capital Improvement Budget and projects that were previously approved by the Board in 2011 and 2012.

The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds¹ to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale.

The resolution also delegates the bid opening for the sale of the bonds to the Comptroller's Office.

BACKGROUND

The 2013 Adopted Capital Improvements Budget included \$26,727,111 of new corporate purpose bond financing for various capital projects, a reallocation of \$2,959,920 of anticipated bond financing from Project WP191 Moody Pool Refurbishment to various Parks projects, a change in scope for the remaining \$2,038,622 in the Moody Pool Refurbishment project and \$3,804,499 in financing for three highway projects.

The three highway projects were approved as appropriation transfers to utilize bonds that would be issued in 2013 to finance \$300,000 for Project WH089012 – 13th Street and Puetz Intersection, \$2,207,819 for Project WH20052 – West Oklahoma Road (108th to 76th Street) and \$1,296,680 for Project WH02301 – West Mill Road (84th to 91st, 56th to 43rd).

The bond issue amount is \$36.1 million. The differences between the not-to-exceed amount and the budgeted bond amount reflects the estimated issuance costs and provides flexibility for any adjustments that may occur prior to the issuance of the bonds.

1. For purposes of this report, bond or bonds will refer to the combined issue of the not-to-exceed \$36.1 million unless otherwise indicated.

PROJECT WT070 BUS PROTECTOR SHIELDS

The 2013 Adopted Capital Improvements Budget included Project WT070 Bus Protector Shields. The total budget for the project is \$745,000 and financing was anticipated to be provided from Federal revenue of \$596,000 and general obligation bond proceeds of \$149,000. Upon further review by the Office of the Comptroller, it has been determined that the project is not eligible for general obligation bond financing due to the fact that the unit costs for the shields are below the County's capitalization threshold.

An appropriation transfer is being requested by the Director of the Department of Transportation for the January cycle to create a new **2012** capital project for Bus Protector Shields. The \$149,000 local match will be financed from the anticipated operating surplus of the Milwaukee County Transit System. The bonds budgeted for the **2013** project will not be issued and the \$149,000 in expenditure authority and bond revenue will be lapsed at year-end 2013 for a zero impact to the County's bottom line.

The \$26,727,111 included in the 2013 Adopted Capital Improvements Budget is reduced by \$149,000 to \$26,578,111. Therefore, the total bond financing for 2013 is \$35,381,153.

BOND SALE PARAMETERS

The parameters for the financing would consist of the following:

- Maximum coupon rate of 5 percent – A coupon rate is the interest rate for each maturity or principal amount.
- Maximum true interest cost (TIC) of 4.5 percent – The true interest cost is the actual interest rate paid on bonds, which is expressed as a percentage of the total debt in today's dollars and includes the costs of issuance and other fees.
- 10-year call provision – The 10-year call provision indicates that the County will be able to refund the new bonds prior to maturity.
- Minimum purchase price of 99 percent of the par amount of the bonds – The price of the bond reflects the maximum price an investor would want to pay for the bond based on the coupon rate and the market rate. This is known as the yield or rate of return on the bond. When the coupon rate on the bond is equal to the market rate the purchase price is equal to the principal amount of the bond (par). If the coupon rate is lower than the market rate, the bonds will be purchased at a discount. If the coupon is higher than the market rate, the bonds will be purchased at a premium. If there is a premium paid, the amount of the premium would be used to reduce the amount of the refunding bond issue. Whether or not the bond purchase price is a par, discount or premium is dependent upon market conditions on the day of the sale.

\$36.1 MILLION GENERAL OBLIGATION ISSUANCE

The Comptroller's Office has prepared estimated debt service schedules for the issuance of \$36.1 million in bonds/notes. The total estimated debt services costs would be \$50,384,583. The not-to-exceed amount of \$36.1 million in 2013 bonds includes an estimated principal amount of \$8.7

million. The County may issue a separate issue of notes for an estimated \$8.7 million and a bond issue of \$27.4 million. The Comptroller's Office is working with the Public Financial Management, the County's Co-Financial Advisor, to determine which approach is the most advantageous to the County.

QUALIFIED ENERGY CONSERVATION BONDS

The US Treasury Department allocated \$3.2 billion in QECBs to be issued by state and local governments. The allocation or maximum bond amount is allocated to each state based on the state's percentage of the U.S. population as of July 1, 2008. Each state is then required to allocate a portion of its allocation to "large local governments" within the state based on the local government's percentage of the state's population. Large local governments are defined as municipalities and counties with populations of 100,000 or more. Large local governments may reallocate their designated portion back to the state if they choose to do so. The State of Wisconsin was allocated \$58,387,000.

The QECBs are qualified tax credit bonds under Section 54D(b) of the Internal Revenue Code and require that (a) 100 percent of the bond proceeds are used for one or more qualified energy conservation purposes; (b) the bonds are issued by a state or local government; and (c) the issuer designates the bonds as qualified energy conservation for purposes of Section 54D which provides a credit of 70 percent of the full tax credit rate that is set by the US Treasury Department. The tax rate is set daily.

In June 2009, the County Board of Supervisors approved the issuance of a not-to-exceed amount of \$10 million in Qualified Energy Conservation Bonds (QECBs). This amount assumed an allocation of \$9.7 million to Milwaukee County based upon the County's population as a percentage of the State's population. At the time, it was thought that the full \$9.7 million was allocated to Milwaukee County government. In fact, the allocation was \$6,276,756 for the City of Milwaukee and \$3,638,332 for Milwaukee County. The Comptroller's Office is working with the Department of Administrative Services to contact the City of Milwaukee to discuss reallocating their portion to the County. At this time, the \$3,638,332 will be used to finance eligible County projects.

The QECB projects have to finance projects that fulfill a qualified energy purpose. The program defines a qualified energy purpose as one or more of the following items:

- Capital Expenditures for the purpose of
 - Reducing energy consumption in publicly-owned buildings
 - Green community programs (including use of loans, grants, or other repayment mechanisms to implement such programs)
 - Certain rural electricity projects
 - New Clean Renewable Energy Bonds (NCREBs) projects
- Research for:
 - Development of cellulosic ethanol/non-fossil fuels
 - Capturing carbon dioxide from fossil fuels
 - Increasing efficiency for producing non-fossil fuels
 - Auto batteries and other technology

- Reduce energy use in buildings
- Mass commuting
- Promoting commercialization of technology for:
 - Green buildings
 - Use of agricultural waste for fuel
 - Battery manufacturing
 - Reduction of peak use of electricity
 - Capture of carbon dioxide
- Public campaigns to promote energy efficiency

The Comptroller's Office requested a review by the Architecture Engineering and Environmental Services Division (AE&ES Division) to determine which projects could be financed with the QECBs. Based on a preliminary review of the above requirements, AE&ES Division determined that the County could finance some heating, ventilation and air handling projects. This may include air handling units, variable air volume boxes and direct digital control systems. AE&ES Division is in the process of evaluating more projects.

DEBT ISSUANCE CONDITIONS

Wisconsin State Statute 67.045 outlines the conditions for which a governing body may issue debt. To comply with the reference State Statute, approval of the attached initial authorizing resolution will require a $\frac{3}{4}$ vote (14 votes) of the County Board of Supervisors.

DEBT ISSUANCE EXPENSES

The 2013 budget authorizes the Office of the Comptroller to increase the amount of the 2013 borrowing to pay debt issuance expenses. The attached resolution authorizes and directs the Comptroller to request credit ratings and to pay all professional services and other issuance expenses related to the issuance of the bonds from debt proceeds. An administrative appropriation transfer will be processed to increase expenditure authority to pay for the cost of issuance. Anticipated expenses include bond counsel, financial auditor and financial advisory fees, official statement printing and mailing costs, credit rating fees and expenses and other issuance costs such as publication costs and express mail.

PUBLIC HEARING REQUIREMENTS FOR PRIVATE ACTIVITY BONDS

Some projects included in the 2013 bond issue are considered private activities within the meaning of the federal tax code. The issuance of tax-exempt bonds for these purposes requires that a public hearing be scheduled to allow citizens to comment on the issuance of the bonds. A public hearing will need to be scheduled to allow for public comment on the projects authorized for such entities as the Marcus Center for the Performing Arts and the War Memorial.

AUTHORIZING RESOLUTIONS

Attached are the authorizing resolutions for the 2013 bonds, which include an initial resolution, a resolution directing publication of a notice to the electors for the bond issues authorized by the authorizing resolutions and a resolution authorizing the issuance and sale of the Bonds. Each of the items in the resolution requires Finance and Audit Committee and County Board approval.

RECOMMENDATION

The Comptroller's Office requests that the Finance, Personnel and Audit Committee approve and recommend approval by the full County Board of the attached resolutions. The resolution authorizes the sale of the bonds and delegates, to the Comptroller, the authority to approve the final terms and conditions of the bond sale provided that those terms and conditions are within the parameters set forth in the attached resolution. The sale will consist of the issuance of a not-to-exceed amount of \$36.1 million in bonds/notes to finance projects approved in the 2013 capital projects as well as Moody Park, projects financed with reallocated expenditures and bond proceeds, and various highway capital projects. An informational report will be submitted to the Finance, Personnel and Audit Committee to communicate the final results of the bond sale.



Pamela Bryant
Capital Finance Manager

Attachment

pc: Chris Abele, County Executive
Supervisor Willie Johnson, Co-Chairman, Finance and Audit Committee
Supervisor David Cullen, Co-Chairman, Finance and Audit Committee
Pat Farley, Director, Department of Administrative Services
Amber Moreen, Chief of Staff
Kelly Bablitch, Chief of Staff, County Board
Pamela Bryant, Capital Finance Manager
Stephen Cady, County Board Fiscal and Management Analyst
Joseph Czarnecki, County Clerk
Daniel Diliberti, County Treasurer
Chuck Jarik, Chapman and Cutler LLP
Emile Banks, Emile Banks and Associates
David Anderson, Public Financial Management
Justin Rodriguez, Fiscal and Management Analyst
Carol Mueller, Finance and Audit Committee Clerk

**INITIAL RESOLUTIONS AUTHORIZING THE
ISSUANCE OF
\$36,100,000 GENERAL OBLIGATION CORPORATE PURPOSE BONDS, SERIES 2013**

BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that there shall be issued the general obligation bonds of said County in an aggregate principal amount not to exceed \$4,976,617 for the public purpose of providing for the construction of highways and highway improvements. For the purpose of paying the various installments of principal of and interest on said bonds as they severally mature, prior to the issuance and delivery of said bonds, there shall be levied on all taxable property in said County a direct annual irrepealable tax sufficient for that purpose.

BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that there shall be issued the general obligation bonds of said County in an aggregate principal amount not to exceed \$31,123,383 for the public purpose of providing financing for capital improvement projects, including without limitation the acquisition, construction, renovation and improvement of mass transit facilities and equipment, the construction, improvement and renovation of environmental projects, the construction, improvement, renovation and equipping of parks, recreational and cultural facilities (including zoological facilities), the construction, improvement and equipping of health and human service projects, including county buildings and water supply facilities, the construction, improvement and equipping of county buildings, including without limitation courthouses, correctional facilities, performing arts centers, parking facilities, and other county buildings, and the acquisition of capital equipment. For the purpose of paying the various installments of principal of and interest on said bonds as they severally mature, prior to the issuance and delivery of said bonds there shall be levied on all taxable property in said County a direct annual irrepealable tax sufficient for that purpose.

BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that the County Clerk is directed to send certified copies of this resolution to the County's co-bond counsel, Chapman and Cutler LLP, 111 West Monroe Street, Chicago, Illinois 60603, Attention: Charles L. Jarik, and Emile Banks & Associates, LLC, Suite 290, 1200 North Mayfair Road, Milwaukee, Wisconsin 53226, Attention: Emile Banks, and to the Office of the Comptroller, 901 North 9th Street, Room 301, Milwaukee, Wisconsin 53223, Attention: Pamela Bryant.

A RESOLUTION

RESOLUTION determining to issue General Obligation Corporate Purpose Bonds, Series 2013A of Milwaukee County, Wisconsin, in an aggregate principal amount not to exceed \$36,100,000, providing details, prescribing the form of bond, providing for the sale of the bonds, levying taxes, and related matters.

WHEREAS, Milwaukee County, Wisconsin (the “County”) is authorized by the provisions of Chapter 67, Wisconsin Statutes, as supplemented and amended, to issue bonds for any public purpose and to refund any municipal obligations, including interest on them; and

WHEREAS, by initial resolutions duly adopted on February 7, 2013, there have been authorized to be issued not to exceed \$36,100,000 general obligation bonds of the County for the public purposes, as follows:

\$4,976,617 for the construction of highways and highway improvements; and

\$31,123,383 for the acquisition, construction, improvement, extension and equipping of general capital projects in the County (as set forth in such initial resolution); and

WHEREAS, the initial resolution with respect to highways will be published in the *Milwaukee Journal-Sentinel* and *The Daily Reporter*, and said general obligation bonds will be issued only if no petitions with respect thereto are filed with the County within the statutory time frame; and

WHEREAS, the County Clerk of the County has caused a notice of public hearing with respect to the plan of finance of the costs of certain projects to be financed with the proceeds of said general obligation bonds through the issuance of said general obligation bonds to be published in the *Milwaukee Journal-Sentinel* and *The Daily Reporter*, each a newspaper of general circulation in Milwaukee County, Wisconsin, pursuant to Section 147(f) of the Internal Revenue Code of 1986, as amended (the “Code”), on January __, 2013, and the Committee on Finance, Personnel and Audit of the County Board of Supervisors of the County has conducted said public hearing on February 7, 2013;

WHEREAS, it is considered necessary and desirable by the County Board of Supervisors of the County that the County borrow an amount not to exceed \$36,100,000 for the purposes aforesaid and that the County issue its General Obligation Corporate Purpose Bonds, Series 2013A (the “Bonds”) to evidence the indebtedness thereby incurred; and

WHEREAS, notice of the sale of the Bonds for such purpose will be published in *The Bond Buyer*; and

WHEREAS, it is now necessary that said bonds be sold and issued for the public purposes aforesaid in an aggregate principal amount not to exceed \$36,100,000;

NOW, THEREFORE, Be It Resolved by the County Board of Supervisors of Milwaukee County, Wisconsin, as follows:

Section 1. Definitions. For all purposes of this Resolution, except as otherwise expressly provided herein or unless the context otherwise requires, the terms defined in this Section 1 shall have the meanings set forth below, and shall include the plural as well as the singular:

“*Bond*” or “*Bonds*” shall mean one or more of the General Obligation Corporate Purpose Bonds, Series 2013A authorized to be issued by the County by the terms of this Resolution.

“*Bond Order*” means the Bond Order executed by the Comptroller of the County, approving the terms of sale of the Bonds, as provided for in Section 2 of this Resolution.

“*Bond Register*” shall mean the books of the County kept by the Registrar to evidence the registration and transfer of the Bonds.

“*Code*” means the Internal Revenue Code of 1986, as amended.

“*County*” shall mean Milwaukee County, Wisconsin, and any successor to the duties or functions of the County.

“*Governing Body*” shall mean the County Board of Supervisors of the County, or such other council, board, commission or body, by whatever name known, which shall succeed to its powers.

“*Registrar*” shall mean the County Treasurer of the County in Milwaukee, Wisconsin, or a successor designated as Registrar under this Resolution.

“*Resolution*” shall mean this resolution as adopted by the Governing Body of the County.

Section 2. Authorization and Public Approval. The issuance of the Bonds in an aggregate principal amount not to exceed \$36,100,000 is hereby authorized for the purpose of providing funds in an amount not to exceed \$36,100,000 for the public purpose projects of the County, as set out in the preamble to this Resolution. The County hereby approves the plan of finance of the costs of certain of said public purpose projects to be financed with the proceeds of the Bonds through the issuance of the Bonds, as described in the aforesaid notice of public hearing which is hereby incorporated herein by reference, which approval shall be considered the public approval required by Section 147(f) of the Code.

The Bonds shall be designated "General Obligation Corporate Purpose Bonds, Series 2013A," shall be dated the date of the issuance thereof, as originally issued, and shall also bear the date of their authentication by the Registrar. The Bonds shall be in fully registered form, shall be in denominations of \$5,000 each and integral multiples thereof (but no single Bond shall represent installments of principal maturing on more than one date), shall be lettered "R" and numbered consecutively starting with the number one, shall mature on September 1 of the years (or such other date or dates as shall be set forth in the Bond Order), in the principal amounts, not later than September 1, 2027, and shall bear interest at the rate or rates per annum not to exceed five percent (5%) per annum; *provided*, that the true interest cost with respect to the Bonds shall not exceed four and one-half percent (4.50%) per annum. Subsequent to the sale of the Bonds, the Comptroller of the County shall file in the office of the County Clerk of the County a Bond Order directed to the County Board of Supervisors of the County, setting forth the terms of sale, the aggregate principal amount of Bonds sold, the aggregate principal amount of the Bonds maturing each year, the interest rate or rates to be borne by the Bonds sold, the date or dates on which principal and interest will be paid, and the redemption (including mandatory sinking fund redemption) provisions of the Bonds, and thereafter the Bonds so sold shall be duly prepared and executed in the form and manner set forth herein and delivered to the best bidder in accordance with the terms of sale. The County deems it proper to delegate to the Comptroller of the County the power to approve any and all changes as he or she shall, on behalf of the County, determine, subject to the limitations of this Resolution.

Section 3. Interest; Payment Provisions. The Bonds shall bear interest from their date or from the most recent interest payment date to which interest has been paid or duly provided for, at the rates set out above, such interest (computed upon the basis of a 360-day year of twelve 30-day months) being payable on March 1 and September 1 of each year, commencing on March 1, 2014 (or such other date or dates as shall be set forth in the Bond Order). Interest on each Bond shall be paid by check or draft of the Registrar to the person in whose name such Bond is registered at the close of business on the fifteenth day of the calendar month next preceding the applicable interest payment date. The principal of each Bond shall be payable in lawful money of the United States of America only upon presentation and surrender of such Bond at the principal office of the Registrar in Milwaukee, Wisconsin.

Section 4. Execution; Authentication. The Bonds shall be executed on behalf of the County with the manual or facsimile signature of the Chairperson of the County and with the manual or facsimile signature of the County Clerk of the County, and sealed with the official seal of the County or a printed facsimile thereof. In case any officer whose signature shall appear on any Bond shall cease to be such officer before the delivery of such Bond, such signature shall nevertheless be valid and sufficient for all purposes, the same as if such officer had remained in office until delivery.

All Bonds shall have thereon a certificate of authentication substantially in the form hereinafter set forth duly executed by the Registrar as authenticating agent of the County and showing the date of authentication thereof. No Bond shall be valid or obligatory for any purpose or be entitled to any security or benefit under this Resolution unless and until such certificate of authentication shall have been duly executed by the Registrar by manual signature, and such certificate of authentication upon any such Bond shall be conclusive evidence that such Bond has

been authenticated and delivered under this Resolution. The certificate of authentication on any Bond shall be deemed to have been executed by the Registrar if signed by an authorized officer of the Registrar, but it shall not be necessary that the same officer sign the certificate of authentication on all of the Bonds issued under this Resolution.

Section 5. Registration of Bonds; Persons Treated as Owners. The County shall cause books (the “*Bond Register*”) for the registration and for the transfer of the Bonds as provided in this Resolution to be kept at the principal office of the Registrar, which is hereby constituted and appointed the registrar of the County with respect to the Bonds herein authorized.

Upon surrender for transfer of any Bond at the principal office of the Registrar duly endorsed by, or accompanied by a written instrument or instruments of transfer in form satisfactory to the Registrar duly executed by, the registered owner thereof or his attorney duly authorized in writing, the County shall execute and the Registrar shall authenticate, date and deliver in the name of the transferee or transferees a new fully registered Bond or Bonds of the same maturity and interest rate of authorized denomination or denominations, for a like aggregate principal amount. Any fully registered Bond or Bonds may be exchanged at said office of the Registrar for a like aggregate principal amount of Bond or Bonds of the same maturity and interest rate of other authorized denominations. The execution by the County of any fully registered Bond shall constitute full and due authorization of such Bond, and the Registrar shall thereby be authorized to authenticate, date and deliver such Bond; *provided, however,* that the principal amount of the outstanding Bonds authenticated by the Registrar shall never exceed the authorized principal amount of the Bonds, less previous retirements.

The Registrar may, but shall not be required to, transfer or exchange any Bond during the period of fifteen (15) days next preceding any interest payment date on such Bond, nor to transfer or exchange any Bond after notice calling such Bond for redemption prior to maturity has been mailed nor during the period of fifteen (15) days next preceding mailing of a notice of redemption of any Bonds.

The person in whose name any Bond shall be registered shall be deemed and regarded as the absolute owner thereof for all purposes, and payment of the principal of, premium (if any) or interest on any Bond shall be made only to or upon the order of the registered owner thereof or his legal representative. All such payments shall be valid and effectual to satisfy and discharge the liability upon such Bond to the extent of the sum or sums so paid.

No service charge shall be made for any transfer or exchange of Bonds, but the County or the Registrar may require payment of a sum sufficient to cover any tax or other governmental charge that may be imposed in connection with any transfer or exchange of Bonds.

The County and/or the Registrar may enter into an agreement with a securities depository registered under Section 17A of the Securities Exchange Act of 1934, as amended (the “*Securities Depository*”), including without limitation The Depository Trust Company, which is the record owner of the Bonds, to establish procedures with respect to Bonds owned by such Securities Depository. Such agreement may impose additional requirements on the Registrar with respect to the Bonds.

Section 6. Prior Redemption. Unless otherwise provided in the Bond Order, the Bonds maturing on and after September 1, 2024 (or such other date as shall be set forth in the Bond Order), shall be subject to redemption prior to maturity at the option of the County as a whole, or in part in such order of maturity as the County may determine (less than all of the Bonds of a single maturity to be selected by the Registrar as hereinafter provided), on September 1, 2023 (or such other date as shall be set forth in the Bond Order), and on any date thereafter, at a redemption price of 100% of the principal amount thereof being redeemed plus accrued interest to the date fixed for redemption.

The Bonds shall be redeemed only in the principal amount of \$5,000 each and integral multiples thereof. At least forty-five (45) days prior to the date fixed for any optional redemption (unless a shorter notice shall be satisfactory to the Registrar), the County shall notify the Registrar of such date fixed for redemption and of the principal amount of Bonds to be redeemed. For purposes of any redemption of less than all of the outstanding Bonds of a single maturity, the particular Bonds or portions of Bonds to be redeemed shall be selected by the Registrar from the outstanding Bonds of such maturity then outstanding by lot, and which may provide for the selection for redemption of Bonds or portions of Bonds in principal amounts of \$5,000 and integral multiples thereof.

The Registrar shall promptly notify the County in writing of the Bonds or portions of Bonds selected for redemption prior to maturity and, in the case of any Bond selected for partial redemption, the principal amount thereof to be redeemed.

Section 7. Redemption Procedure. Unless waived by any registered owner of Bonds (or portions thereof) to be redeemed, notice of the call for any such redemption prior to maturity shall be given by the Registrar on behalf of the County by mailing the redemption notice by registered or certified mail, postage prepaid, not less than thirty (30) days prior to the date fixed for redemption to the registered owner of the Bond or Bonds to be so redeemed at the address shown on the Bond Register or at such other address as is furnished in writing by such registered owner to the Registrar. Failure to give such notice by mailing to any owner of any Bond, or any defect therein, shall not affect the validity of any proceedings for the redemption of any other Bonds.

All notices of redemption shall state:

- (1) the date fixed for redemption,
- (2) the redemption price,
- (3) if less than all outstanding Bonds are to be redeemed, the identification, including CUSIP numbers (and, in the case of partial redemption, the respective principal amounts) of the Bonds to be redeemed,
- (4) that on the date fixed for redemption the redemption price will become due and payable upon each such Bond or portion thereof called for redemption, and that interest thereon shall cease to accrue from and after said date, and

(5) the place where such Bonds are to be surrendered for payment of the redemption price, which place of payment shall be the principal office of the Registrar.

Prior to any date fixed for redemption, the County shall deposit with the Registrar an amount of money sufficient to pay the redemption price of all the Bonds or portions of Bonds which are to be redeemed on that date. With respect to an optional redemption of the Bonds, unless moneys sufficient to pay the principal of and interest on the Bonds to be redeemed shall have been received by the Registrar prior to the giving of such notice of redemption, such notice may, at the option of the County, state that said redemption shall be conditional upon the receipt of such moneys by the Registrar on or prior to the date fixed for redemption. If such moneys are not received, such notice shall be of no force and effect, the County shall not redeem the Bonds or portion thereof and the Registrar shall give notice, in the same manner in which the notice of redemption was given, that such moneys were not so received and that the Bonds or portion thereof will not be redeemed.

Notice of redemption having been given as aforesaid, the Bonds or portions of Bonds so to be redeemed shall, on the date fixed for redemption, become due and payable at the redemption price therein specified, and from and after such date (unless the County shall default in the payment of the redemption price) such Bonds or portions of Bonds shall cease to bear interest. Upon surrender of such Bonds for redemption in accordance with said notice, such Bonds shall be paid by the Registrar at the redemption price. Installments of interest due on or prior to the date fixed for redemption shall be payable as herein provided for the payment of interest. Upon surrender for any partial redemption of any Bond, there shall be prepared for the registered owner a new Bond or Bonds of the same maturity in the amount of the unpaid principal. All Bonds which have been redeemed shall be cancelled and destroyed by the Registrar, and shall not be reissued.

In addition to the redemption notice required above, further notice of redemption (the "*Additional Redemption Notice*") shall be given by the Registrar as set forth below, but no defect in the Additional Redemption Notice nor any failure to give all or any portion of the Additional Redemption Notice shall in any manner affect the effectiveness of a call for redemption if notice thereof is given as prescribed above.

Each Additional Redemption Notice given hereunder shall contain the information required above, plus (i) the date such notice required above has been or will be mailed; (ii) the date of issuance of the Bonds being redeemed, as originally issued; (iii) the maturity date of each Bond (or portion thereof) to be redeemed; and (iv) any other descriptive information needed to identify accurately the Bonds being redeemed prior to maturity.

Each Additional Redemption Notice shall be sent at least thirty (30) days before the date fixed for redemption by legible facsimile transmission, registered or certified mail (postage prepaid) or overnight delivery service to The Depository Trust Company of New York, New York, and to at least two (2) national information services that disseminate notices of redemption of obligations such as the Bonds.

Section 8. Form of Bonds. The Bonds, the certificate of authentication to be endorsed thereon and the form of assignment to be endorsed thereon are all to be in substantially the following forms with necessary and appropriate variations, omissions and insertions as permitted or required by this Resolution:

[Form of Bond—Front Side]

No. R-_____

\$_____

UNITED STATES OF AMERICA

STATE OF WISCONSIN

MILWAUKEE COUNTY

**GENERAL OBLIGATION
CORPORATE PURPOSE BOND, SERIES 2013A**

See Reverse Side for
Additional Provisions

RATE OF INTEREST	MATURITY DATE	DATED DATE	CUSIP NUMBER
_____ %	September 1, 20__	_____, 20__	_____

Registered Owner:

Principal Amount:

KNOW ALL PERSONS BY THESE PRESENTS: That Milwaukee County in the State of Wisconsin (the “County”), hereby acknowledges itself to owe and for value received promises to pay to the Registered Owner hereinabove identified, or registered assigns as hereinafter provided, on the Maturity Date hereinabove identified, the Principal Amount hereinabove identified and to pay interest (computed on the basis of a 360-day year of twelve 30-day months) on such Principal Amount from the Dated Date hereinabove identified or from the most recent interest payment date to which interest has been paid at the Rate of Interest per annum hereinabove identified on March 1 and September 1 of each year, commencing on March 1, 2014, until said Principal Amount is paid, except as the provisions hereinafter set forth with respect to redemption prior to maturity may be and become applicable to this Bond.

The principal of this Bond is payable in lawful money of the United States of America only upon presentation and surrender of this Bond at the principal office of the County Treasurer of the County, in Milwaukee, Wisconsin, as registrar and paying agent (the “Registrar”). Payment of each installment of interest hereon shall be made to the Registered Owner hereof who shall appear on the registration books of the County maintained by the Registrar at the close of business on the fifteenth day of the calendar month next preceding the applicable interest payment date, and shall be paid by check or draft of the Registrar mailed to such Registered

Owner at his address as it appears on such registration books or at such other address as may be furnished in writing by such Registered Owner to the Registrar.

Reference is hereby made to the further provisions of this Bond set forth on the reverse side hereof, and such further provisions shall for all purposes have the same effect as if set forth on the front side of this Bond

It is hereby certified, recited and declared that all acts, conditions and things required to be done, exist, happen and be performed precedent to and in the issuance of this Bond have been done, have existed, have happened and have been performed in due time, form and manner as required by the Constitution and the laws of the State of Wisconsin; that this Bond, together with all other indebtedness of the County, does not exceed any limitation prescribed by law; and that the County has levied a direct annual irrevocable tax sufficient to pay the interest hereon when it falls due and also to pay and discharge the principal hereof at maturity.

The full faith, credit and resources of the County are hereby pledged for the payment of the principal of and interest on this Bond and the issue of which it is a part as the same respectively become due and for the levy and collection of sufficient taxes for that purpose.

This Bond shall not be valid or become obligatory for any purpose until the certificate of authentication hereon shall have been signed by the Registrar.

IN WITNESS WHEREOF, Milwaukee County, Wisconsin, by its County Board of Supervisors, has caused this Bond to be executed with the duly authorized manual or facsimile signature of its Chairperson and with the duly authorized manual or facsimile signature of its County Clerk, and its official seal or a facsimile thereof to be impressed or reproduced hereon, as of the Dated Date hereinabove identified.

County Clerk

Chairperson

[SEAL]

CERTIFICATE OF AUTHENTICATION

This Bond is one of the Bonds described in the within mentioned Resolution, and is one of the General Obligation Corporate Purpose Bonds, Series 2013A of Milwaukee County, Wisconsin.

Date of Authentication: _____

By _____
County Treasurer
Milwaukee County

[Form of Bond - Reverse Side]

This Bond is one of an authorized issue of General Obligation Corporate Purpose Bonds, Series 2013A, aggregating the principal amount of \$_____ (the “*Bonds*”) issued for the following public purposes:

\$_____ for the construction of highways and highway improvements; and

\$_____ for the acquisition, construction, improvement, extension and equipping of general capital projects in the County (as set forth in an initial resolution);

pursuant to and in all respects in compliance with Chapter 67, *Wisconsin Statutes*, as supplemented and amended, and a resolution adopted by the County Board of Supervisors of the County on February 7, 2013 (the “*Resolution*”).

Bonds of the issue of which this Bond is one maturing on and after September 1, 20__ are subject to redemption prior to maturity at the option of the County as a whole, or in part in integral multiples of \$5,000 in such order of their maturity as the County may designate (less than all of the Bonds of a single maturity to be selected by the Bond Registrar by lot) on September 1, 20__, and on any date thereafter, at a redemption price equal to 100% of the principal amount thereof being redeemed plus accrued interest to the date fixed for redemption.

Notice of any intended redemption shall be sent by registered or certified mail, postage prepaid, not less than thirty (30) days prior to the date fixed for redemption to the registered owner of each Bond to be redeemed (in whole or in part) at the address shown on the registration books of the County maintained by the Registrar or at such other address as is furnished in writing by such registered owner to the Registrar. Such notice of redemption may be conditional

as provided in the authorizing resolution. When so called for redemption, this Bond, or the portion hereof being so called for redemption, will cease to bear interest on the specified redemption date, provided funds for redemption are on deposit at the place of payment on that date, and shall not be deemed to be outstanding.

This Bond is transferable by the Registered Owner hereof in person or by his attorney duly authorized in writing at the principal office of the Registrar in Milwaukee, Wisconsin, but only in the manner, subject to the limitations and upon the payment of the charges provided in the authorizing resolution, and upon surrender and cancellation of this Bond. Upon such transfer a new Bond or Bonds of the same maturity and interest rate of authorized denomination or denominations and for a like aggregate principal amount will be issued to the transferee in exchange for this Bond.

The Bonds are issuable in fully registered form in denominations of \$5,000 each and integral multiples thereof. This Bond may be exchanged at the principal office of the Registrar for a like aggregate principal amount of Bonds of the same maturity of other authorized denominations, upon the terms set forth in the authorizing resolution.

The County and the Registrar may deem and treat the registered owner hereof as the absolute owner hereof for the purpose of receiving payment of or on account of the principal hereof and the interest due hereon and for all other purposes, and neither the County nor the Registrar shall be affected by any notice to the contrary.

* * *

The following abbreviations, when used in the inscription on the face of the within Bond, shall be construed as though they were written out in full according to applicable laws or regulations:

TEN COM	—	as tenants in common	UNIF GIFT/TRANS MIN ACT-
			Custodian
TEN ENT	—	as tenants by the entirety	(Cust) (Minor)
JT TEN	—	as joint tenants with right of survivorship and not as tenants in common	under Uniform Gifts/Transfers to Minors Act
			(State)

Additional abbreviations may also be used though not listed above.

ASSIGNMENT

FOR VALUE RECEIVED, the undersigned hereby sells, assigns and transfers unto

(Name and Address of Assignee)

the within Bond, and does hereby irrevocably constitute and appoint _____, or its successor as Registrar, to transfer the said Bond on the books kept for registration thereof with full power of substitution in the premises.

Dated: _____

NOTICE: The signature to this Assignment must correspond with the name of the registered owner as it appears upon the face of the within Bond in every particular, without alteration or enlargement or any change whatever.

Signature guaranteed: _____

NOTICE: Signature(s) must be guaranteed by an "eligible guarantor institution" meeting the requirements of the Registrar, which requirements include membership or participation in STAMP or such other "signature guaranty program" as may be determined by the Registrar in addition to or in substitution for STAMP, all in accordance with the Securities Exchange Act of 1934, as amended.

Section 9. Sale of Bonds. The Bonds shall be sold to the best bidder at a price of not less than 99% of the principal amount thereof plus accrued interest to the date of delivery, which sale of the Bonds is hereby approved (such best bidder and such purchase price to be set forth in the Bond Order). The County Treasurer of the County is hereby authorized to deliver the Bonds to said purchasers upon payment of the purchase price.

Section 10. Tax Levy. In order to provide for the collection of a direct annual tax sufficient to pay the interest on the Bonds and to pay and discharge the principal thereof at maturity, there is hereby levied upon all the taxable property in Milwaukee County, Wisconsin, a direct annual tax in amounts sufficient for that purpose, and there is hereby levied upon all taxable property in the County the following direct annual tax in each of the years and amounts, to-wit:

YEAR	AMOUNT
2013	\$5,137,083.33
2014	4,256,000.00
2015	4,127,000.00
2016	3,998,000.00
2017	3,869,000.00
2018	3,740,000.00
2019	3,611,000.00
2020	3,482,000.00
2021	3,353,000.00
2022	3,224,000.00
2023	3,090,000.00
2024	2,961,250.00
2025	2,832,500.00
2026	2,703,750.00

In each of said years from 2013 to 2026, inclusive, the direct annual tax above levied shall be extended upon the tax rolls of the County in the same manner and time as taxes for general County purposes, and when collected the proceeds of said taxes shall be deposited into the account of the debt service fund established in favor of the Bonds, to be used solely for paying the principal of and interest on the Bonds as long as any of the Bonds remain outstanding.

Section 11. Sufficiency. Interest or principal maturing at any time during the life of the Bonds when there shall be insufficient funds on hand from the above tax levy to pay the same shall be paid promptly when due from the general fund of the County, and said fund shall be reimbursed in a like amount out of the proceeds of taxes hereby levied when the same shall have been collected.

Section 12. Debt Service Fund. There has been ordered to be established in the County Treasury a fund separate and distinct from all other funds of the County to be designated the “Debt Service Fund,” which fund shall be used solely for the purpose of paying the principal of, premium, if any, and interest on municipal obligations issued pursuant to Chapter 67, *Wisconsin Statutes*, as supplemented and amended. There is hereby created, and there shall be deposited in, an account known as the “Series 2013A General Obligation Corporate Purpose Bond Account,” such series to be the same as the series of the Bonds, to bear the same series designation as the Bonds, to be held as a part of the Debt Service Fund, all money raised by taxation pursuant to Section 10 hereof, and such other sums as may be necessary to pay interest on the Bonds when the same shall become due and to retire the Bonds at their respective maturity dates.

Section 13. Use of Proceeds; No Arbitrage; Bonds to Remain in Registered Form; Reimbursement. The principal proceeds of the Bonds shall be deposited in a special fund, and used solely for the purposes for which the Bonds are hereby authorized. The principal proceeds from the sale of the Bonds shall be used only to pay the costs of the public projects aforesaid, and the Governing Body hereby covenants and agrees that said principal proceeds shall be devoted to and used with due diligence for such purposes.

The County recognizes that the purchasers and owners of the Bonds will have accepted them on, and paid therefor a price which reflects, the understanding that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Bonds shall have been delivered. In this connection, the County agrees that it shall take no action which may render the interest on any of the Bonds includible in Federal gross income of the owners thereof. The County agrees that, to the extent possible under state law, it will comply with whatever Federal law is adopted in the future which applies to the Bonds, and affects the tax-exempt status of the interest on the Bonds. In furtherance of the foregoing provisions, but without limiting their generality, the County agrees: (a) through its officers to make such further specific covenants, representations as shall be truthful, and assurances as may be necessary or advisable; (b) to comply with all representations, covenants and assurances contained in certificates or agreements as may be prepared by counsel approving the Bonds; (c) to consult with such counsel and to comply with such advice as may be given; (d) to pay to the United States of America, if necessary, such sums of money representing required rebates of excess arbitrage profits relating to the Bonds; (e) to file such forms, statements and supporting documents as may be required and in a timely manner; and (f) if deemed necessary or advisable by its officers, to employ and pay fiscal agents, financial advisors, attorneys and other persons to assist the County in such compliance.

The Chairperson, the County Clerk and the County Treasurer of the County, or any of them, are hereby authorized to execute on behalf of the County a Tax Exemption Certificate and Agreement to assure the purchasers and owners of the Bonds that the proceeds of the Bonds are not expected to be used in a manner which would or might result in the Bonds being "reimbursement bonds" issued in contravention of Section 1.103-18 of the United States Treasury Department Regulations (the "*Regulations*") or "arbitrage bonds" under Section 148 of the Code or the Regulations currently in effect or proposed. Such Tax Exemption Certificate and Agreement shall constitute a representation, certification and covenant of the County, and shall be incorporated herein by reference, and no investment of Bond proceeds or of moneys accumulated to pay the Bonds herein authorized shall be made in violation of the expectations prescribed by said Tax Exemption Certificate and Agreement. Such Tax Exemption Certificate and Agreement shall constitute an agreement of the County to follow certain covenants which may require the County to take certain actions (including the payment of certain amounts to the United States Treasury) or which may prohibit certain actions (including the establishment of certain funds) under certain conditions as specified in such Tax Exemption Certificate and Agreement.

The County further recognizes that Section 149(a) of the Code requires the Bonds to be issued and to remain in fully registered form in order that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Bonds are delivered. In this connection, the County agrees that it will not take any action to permit the Bonds to be issued in, or converted into, bearer or coupon form.

Section 14. Duties of Registrar. If requested by the Registrar, the Chairperson of the County is hereby authorized to execute, and the County Clerk of the County is hereby authorized to attest, and said Chairperson and County Clerk are hereby authorized to deliver, the Registrar's

standard form of agreement between the County and the Registrar with respect to the obligations and duties of the Registrar hereunder which shall include the following:

- (a) to act as Registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to give notice of redemption of the Bonds as provided herein;
- (c) to cancel and destroy Bonds which have been paid at maturity or submitted for exchange or transfer;
- (d) to furnish the County at least annually a certificate of destruction with respect to Bonds cancelled and destroyed; and
- (e) to furnish the County at least annually an audit confirmation of Bonds paid, Bonds outstanding and payments made with respect to interest on the Bonds.

The County Clerk of the County is hereby directed to file a certified copy of this Resolution with the Registrar.

The County covenants that it shall at all times retain a Registrar with respect to the Bonds, that it will maintain at the designated office of such Registrar a place or places where Bonds may be presented for payment or registration of transfer or exchange, and that it shall require that the Registrar properly maintain the Bond Register and perform the other duties and obligations imposed upon it by this Resolution in a manner consistent with the standards, customs and practices of the municipal securities industry.

The Registrar shall signify its acceptance of the duties and obligations imposed upon it by this Resolution by executing the certificate of authentication on any Bond, and by such execution the Registrar shall be deemed to have certified to the County that it has all requisite power to accept and has accepted such duties and obligations. The Registrar is the agent of the County, and shall not be liable in connection with the performance of its duties, except for its own negligence or willful wrongdoing. The Registrar shall, however, be responsible for any representation in its certificate of authentication on the Bonds.

The County may remove the Registrar at any time. In case at any time the Registrar shall resign, shall be removed, shall become incapable of acting, or shall be adjudged as bankrupt or insolvent, or if a receiver, liquidator or conservator of the Registrar, or of the property thereof, shall be appointed, or if any public office shall take charge or control of the Registrar, or of the property or affairs thereof, the County covenants and agrees that it will thereupon appoint a successor Registrar. The County shall mail notice of any such appointment made by it to each registered owner of any Bond within twenty (20) days after such appointment. Any Registrar appointed under the provisions of this Section 13 shall be a County officer or a bank, trust company or national banking association.

Section 15. Official Statement. The Comptroller of the County shall cause an Official Statement concerning the Bonds to be prepared. The Comptroller of the County shall determine on behalf of the County when the Official Statement is in final form for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1), and shall certify said Official Statement, such certification to constitute full authorization of the Official Statement under this Resolution.

Section 16. Continuing Disclosure Certificate. The Chairperson and the County Clerk of the County are hereby authorized, empowered and directed to execute and deliver the Continuing Disclosure Certificate with respect to the Bonds (the “*Continuing Disclosure Certificate*”) in substantially the form as the individuals executing the Continuing Disclosure Certificate on behalf of the County shall approve, his or her execution to constitute conclusive evidence of his or her approval of the form of such Continuing Disclosure Certificate. When the Continuing Disclosure Certificate is executed and delivered on behalf of the County as herein provided, the Continuing Disclosure Certificate will be binding on the County and the officers, employees and agents of the County, and the officers, employees and agents of the County are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of the Continuing Disclosure Certificate, as executed. Copies of the Continuing Disclosure Certificate shall be placed in the official records of the County, and shall be available for public inspection at the offices of the County. Notwithstanding any other provision of this Resolution to the contrary, the sole remedy for failure to comply with the Continuing Disclosure Certificate shall be the ability of any beneficial owner of any Bond to seek mandamus or specific performance by court order, to cause the County to comply with its obligations under the Continuing Disclosure Certificate.

Section 17. Other Documents. The Chairperson, the County Clerk, the County Treasurer and all other officers of the County are hereby authorized to execute all documents and certificates necessary in connection with the authorization and delivery of the Bonds, including without limitation an official statement describing the Bonds and the County.

Section 18. Prior Actions. The action of the County Clerk of the County in causing the notice of the sale of the Bonds and the notice of public hearing with respect to the Bonds to be published is hereby in all respects approved, ratified and confirmed.

Section 19. Payment of Issuance Expenses. Proceeds of the Bonds shall be applied at the direction of the Comptroller of the County to the payment of issuance expenses with respect to the Bonds. An administrative transfer will be processed to increase expenditure authority in the non-departmental Debt Issuance Expense budget in order to pay such expenses. Issuance expenses shall cover the fees for the following services provided in connection with the issuance of the Bonds as well as the out-of-pocket disbursements of the County: credit rating agencies, official statement printing and mailing, financial advisory services, feasibility consultant services, bond counsel services and financial auditor services.

Section 20. Severability. If any section, paragraph or provision of this Resolution shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability or such

section, paragraph or provision shall not affect any of the remaining sections, paragraphs and provisions of this Resolution.

Section 21. Conflicting Proceedings Superseded. All ordinances, resolutions or orders, or parts thereof, heretofore enacted, adopted or entered, in conflict with the provisions of this Resolution, shall be and in the same are hereby superseded to the extent of such conflict, and this Resolution shall be in effect from and after its passage.

Section 22. Certified Copies of this Resolution. The County Clerk of the County is hereby directed to send certified copies of this Resolution to Co-Bond Counsel for the County, Chapman and Cutler LLP, 111 West Monroe Street, Chicago, Illinois 60603, Attention: Charles L. Jarik, and Emile Banks & Associates, LLC, Suite 290, 1200 North Mayfair Road, Milwaukee, Wisconsin 53226, Attention: Emile Banks, and to the Office of the Comptroller, 901 North 9th Street, Room 301, Milwaukee, Wisconsin 53223, Attention: Pamela Bryant.

RESOLUTION Directing the Publication of a Notice to the Electors
Regarding the Adoption of an Initial Resolution.

WHEREAS, the County Board of Supervisors of Milwaukee County, Wisconsin (the “County”) has adopted an initial resolution regarding the issuance of general obligation bonds of the County to provide for the construction of highways and highway improvements on February 7, 2013; and

WHEREAS, Section 67.05(4) of the *Wisconsin Statutes*, as supplemented and amended, provides for the electors of the County to submit a petition requesting the submission of such initial resolution to the electors of the County for approval, and requires that notice be given to the electors of the County of the adoption of said initial resolution and said right to submit a petition;

NOW, THEREFORE, BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that the County Clerk of the County is hereby directed to publish notice of the adoption of said initial resolution one time in the *The Daily Reporter* and in the *Milwaukee Journal Sentinel*, within fifteen (15) days of the adoption of said initial resolution (to-wit, February 22, 2013), such notice to be in substantially the form as follows:

**OFFICIAL NOTICE TO ELECTORS
OF MILWAUKEE COUNTY, WISCONSIN
OF ADOPTION OF AN
INITIAL RESOLUTION**

An initial resolution was adopted at the adjourned regular meeting of the County Board of Supervisors of Milwaukee County, Wisconsin, on February 7, 2013, and promptly recorded, providing for the issuance of general obligation bonds of the County, as follows:

BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that there shall be issued the general obligation bonds of said County in an aggregate principal amount not to exceed \$4,976,617 for the public purpose of providing for the construction of highways and highway improvements. For the purpose of paying the various installments of principal of and interest on said bonds as they severally mature, prior to the issuance and delivery of said bonds, there shall be levied on all taxable property in said County a direct annual irrevocable tax sufficient for that purpose.

The bonds authorized to be issued by each initial resolution set out above shall be issued by Milwaukee County, Wisconsin, unless, before 4:30 P.M., on March 11, 2013, a petition is filed in the office of the County Clerk of said County by electors of said County numbering at least ten percent (10%) of the votes cast for governor in the County at the last general election requesting that the initial resolution be submitted to the electors, as provided in Section 67.05(4) of the *Wisconsin Statutes*, as supplemented and amended.

Dated: February 7, 2013

/s/ Joseph J. Czarnecki

County Clerk

By order of the County Board of
Supervisors

BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, that the County Clerk is directed to send certified copies of this resolution to the County's co-bond counsel, Chapman and Cutler LLP, 111 West Monroe Street, Chicago, Illinois 60603, Attention: Charles L. Jarik, and Emile Banks & Associates, LLC, Suite 290, 1200 North Mayfair Road, Milwaukee, Wisconsin 53226, Attention: Emile Banks, and to the Office of the Comptroller, 901 North 9th Street, Room 301, Milwaukee, Wisconsin 53223, Attention: Pamela Bryant.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/12/11

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Corporate Purpose Bond Initial Authorizing Resolution

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input checked="" type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input checked="" type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	\$376,100	0
	Revenue	\$376,100	
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
 - B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
 - C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
 - D. Describe any assumptions or interpretations that were utilized to provide the information on this form.
-
- A. The Office of the Comptroller is requesting the approval of the attached initial authorizing resolutions and final bond resolution for the issuance of a not-to-exceed amount of \$36.1 million to finance various capital improvement projects included in the 2013 Capital Improvement Budget and projects that were previously approved by the Board in 2011 and 2012. The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds¹ to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale. The resolution also delegates the bid opening for the sale of the bonds to the Comptroller's Office.
 - B. The total estimated debt service cost for the financing is \$50,384,583. The not-to-exceed amount includes a total cost of \$376,100 for cost of issuance that includes such items as \$266,100 for the underwriter(s) costs (bond purchaser) and \$110,000 for the Co-Financial Advisors, Co-Bond Counsels, rating agencies, printers of the official statement (bond prospectus) and \$12,747 for rounding.
 - C. An administrative transfer will be submitted to the Department of Administrative Services to adjust the debt issue expense budget. The revenue is included in the bond issue amount.
 - D. The not-to-exceed amount of \$36,100,000 assumes underwriter costs of \$7 per \$1,000 bond amount and \$3 per bond amount for all other costs. These amounts are based on previous values for not-to-exceed amounts and market conditions.

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

Department/Prepared By Pamela Bryant

Authorized Signature _____

Did DAS-Fiscal Staff Review? Yes No

**COUNTY OF MILWAUKEE
INTER-OFFICE COMMUNICATION**

DATE : January 7, 2013

TO : Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors

FROM : Pamela Bryant, Capital Finance Manager

SUBJECT : **Airport Bond Initial Authorizing Resolutions**

Request

The Office of the Comptroller is requesting the approval of the attached authorizing resolution and final bond resolution for the issuance of a not-to-exceed amount of \$60 million of General Airport Revenue Bonds (GARBs) to finance various airport capital improvement projects included in the 2011-2013 Capital Improvement Budgets and to refund.

The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale.

Background

The 2011-2013 Adopted Capital Improvements Budget included \$67,111,500 of new airport bond financing for various capital projects. This amount was reduced by \$13,272,000 due the reallocation of PFC-Backed GARBs proceeds from the GMIA Snow Equipment Storage Building. Subsequent to budget adoption and the sale of the 2010 Airport Revenue Bonds, the Airport decided to utilize space at the former 440th Airport Hangar to store the snow equipment. Therefore, the \$13,272,000 in PFC-Backed GARBs are available to finance the Baggage Claim Project that is included in the 2013 Adopted Capital Improvements Budget.

The bond issue amount is \$60 million. The differences between the not-to-exceed amount and the budgeted bond amount reflects the estimated issuance costs, funding for the debt service reserve fund and provides flexibility for any adjustments that may occur prior to the issuance of the bonds. The Comptroller's Office has prepared estimated debt service schedules for the issuance of \$60 million in GARBs. The total estimated debt service costs would be \$85,785,330, including \$27,270,330 in interest costs.

BOND SALE PARAMETERS

The parameters for the financing would consist of the following:

- Maximum coupon rate of 6 percent – A coupon rate is the interest rate for each maturity or principal amount.

- Maximum true interest cost (TIC) of 5.5 percent – The true interest cost is the actual interest rate paid on bonds, which is expressed as a percentage of the total debt in today’s dollars and includes the costs of issuance and other fees.
- 10-year call provision – The 10-year call provision indicates that the County will be able to refund the new bonds prior to maturity.
- Minimum purchase price of 99 percent of the par amount of the bonds – The price of the bond reflects the maximum price an investor would want to pay for the bond based on the coupon rate and the market rate. This is known as the yield or rate of return on the bond. When the coupon rate on the bond is equal to the market rate the purchase price is equal to the principal amount of the bond (par). If the coupon rate is lower than the market rate, the bonds will be purchased at a discount. If the coupon is higher than the market rate, the bonds will be purchased at a premium.

DEBT ISSUANCE EXPENSES

The 2013 budget authorizes the Office of the Comptroller to increase the amount of the 2013 borrowing to pay debt issuance expenses. The attached resolution authorizes and directs the Comptroller to request credit ratings and to pay all professional services and other issuance expenses related to the issuance of the bonds from debt proceeds. An administrative appropriation transfer will be processed to increase expenditure authority to pay for the cost of issuance. Anticipated expenses include bond counsel, financial auditor and financial advisory fees, official statement printing and mailing costs, credit rating fees and expenses and other issuance costs such as publication costs and express mail.

PUBLIC HEARING REQUIREMENTS FOR PRIVATE ACTIVITY BONDS

The 2013 bond issue is a private activity bond issue within the meaning of the federal tax code. The issuance of tax-exempt bonds for these purposes requires that a public hearing be scheduled to allow citizens to comment on the issuance of the bonds. A public hearing will need to be scheduled to allow for public comment on the projects.

RECOMMENDATION

The Comptroller’s Office requests that the Finance, Personnel and Audit Committee approve and recommend approval by the full County Board of the attached resolution. The resolution authorizes the issuance of the bonds and delegates, to the Comptroller, the authority to approve the final terms and conditions of the bond sale provided that those terms and conditions are within the parameters set forth in the attached resolution. The sale will consist of the issuance of a not-to-exceed amount of \$60 million in bonds to finance projects approved in the 2011-2013 Airport Capital Improvement Budgets. An informational report will be submitted to the Finance, Personnel and Audit Committee to communicate the final results of the bond sale.



Pamela Bryant
Capital Finance Manager

Attachment

pc: Chris Abele, County Executive
Supervisor Willie Johnson, Co-Chairman, Finance and Audit Committee
Supervisor David Cullen, Co-Chairman, Finance and Audit Committee
Pat Farley, Director, Department of Administrative Services
Amber Moreen, Chief of Staff
Kelly Bablitch, Chief of Staff, County Board
Pamela Bryant, Capital Finance Manager
Stephen Cady, County Board Fiscal and Management Analyst
Joseph Czarnecki, County Clerk
Daniel Diliberti, County Treasurer
Chuck Jarik, Chapman and Cutler LLP
Emile Banks, Emile Banks and Associates
David Anderson, Public Financial Management
Justin Rodriguez, Fiscal and Management Analyst
Carol Mueller, Finance and Audit Committee Clerk

(ITEM) An adopted resolution authorizing the issuance and providing for the sale of Airport Revenue Bonds, Series 2013A in an aggregate principal amount not to exceed \$60,000,000 by recommending adoption of the following:

Milwaukee County
Supplemental Resolution

Authorizing the Issuance and Sale
of Airport Revenue Bonds, Series 2013A
in an aggregate principal amount not to exceed \$60,000,000

Adopted February 7, 2013

WHEREAS, on June 22, 2000, the County Board of Supervisors of Milwaukee County, Wisconsin (the "*County*") adopted a resolution entitled "General Bond Resolution Authorizing the Issuance of Airport Revenue Bonds" (the "*General Resolution*"); and

WHEREAS, the County has determined that the construction of additions, extensions and improvements to the Airport System, consisting of the facilities described in *Exhibit A* attached hereto and made a part hereof, all to be located at General Mitchell International Airport (the "*Airport*"), as more fully described in the County's Capital Improvement Budget (the "*Projects*"), are in the best interests of the County and that the moneys in an amount not to exceed \$60,000,000 which are needed to pay costs of the Projects be borrowed through the issuance of general airport revenue bonds pursuant to the provisions of Section 66.0621 of the *Wisconsin Statutes*, as supplemented and amended, and the General Resolution; and

WHEREAS, it is now necessary and desirable that the County issue and sell such general airport revenue bonds as Additional Bonds within the meaning of, and as defined in, the General Resolution, payable solely from the revenues to be derived from the operation of the Airport System, for the purpose of financing the Projects, which Additional Bonds are to be authorized and issued pursuant to the provisions of the General Resolution and Section 66.0621 of the *Wisconsin Statutes*, as supplemented and amended; and

WHEREAS, this Resolution shall constitute a Supplemental Resolution within the meaning of the General Resolution, and the revenue bonds issued hereunder shall constitute Additional Bonds within the meaning of the General Resolution; and

WHEREAS, the County has outstanding its Airport Revenue Bonds, Series 2003A, dated January 1, 2003 (the "*2003A Bonds*"), its Airport Revenue Bonds, Series 2004A, dated March 31, 2004 (the "*2004A Bonds*"), its Airport Revenue Bonds, Series 2005A, dated December 22, 2005 (the "*2005A Bonds*"), its Airport Revenue Refunding Bonds, Series 2005B, dated December 22, 2005 (the "*2005B Bonds*"), its Airport Revenue Bonds, Series 2006A, dated November 16, 2006 (the "*2006A Bonds*"), its Airport Revenue Refunding Bonds, Series 2006B, dated November 16, 2006 (the "*2006B Bonds*"), its Airport Revenue Bonds, Series 2007A, dated November 15, 2007 (the "*2007A Bonds*"), its Airport Revenue Bonds, Series 2009A,

dated December 10, 2009 (the "2009A Bonds"), its Airport Refunding Revenue Bonds, Series 2009B, dated December 10, 2009 (the "2009B Bonds"), its Airport Revenue Bonds, Series 2010A, dated October 14, 2010 (the "2010A Bonds"), and its Airport Revenue Refunding Bonds, Series 2010B, dated October 14, 2010 (the "2010B Bonds", and, collectively, the "Outstanding Bonds"); and

WHEREAS, there are no revenue obligations outstanding which are payable from the income and revenues of the Airport System, other than the 2003A Bonds, the 2004A Bonds, the 2005A Bonds, the 2005B Bonds, the 2006A Bonds, the 2006B Bonds, the 2007A Bonds, the 2009A Bonds, the 2009B Bonds, the 2010A Bonds and the 2010B Bonds; and

WHEREAS, the County Clerk of the County has caused a notice of public hearing with respect to the plan of finance of the costs of the Projects through the issuance of the Series 2013A Bonds (as hereinafter defined) to be published in the *Milwaukee Journal-Sentinel* and *The Daily Reporter*, each a newspaper of general circulation in Milwaukee County, Wisconsin, pursuant to Section 147(f) of the Internal Revenue Code of 1986, as amended (the "Code"), on January __, 2013, which notice is hereby incorporated herein by reference, and the Committee on Finance, Personnel and Audit of the County Board of Supervisors of the County has conducted said public hearing on February 7, 2013;

NOW, THEREFORE, BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, as follows:

Section 1(A). Authorization of Series 2013A Bonds. For the purpose of paying the costs of the Projects, the County shall borrow on the credit of the income and revenue of the Airport System a sum not to exceed \$60,000,000. Negotiable, fully-registered revenue bonds of the County, in the denominations of \$5,000 or any integral multiple thereof, shall be issued in evidence thereof. Said revenue bonds shall be designated "Airport Revenue Bonds, Series 2013A" (the "Series 2013A Bonds"), shall be numbered from R-1 upward, and shall be dated their date of delivery. The Series 2013A Bonds shall mature on December 1 of each of the years, not later than December 1, 2039, and shall bear interest at a rate not to exceed six percent (6.00%) per annum, payable on June 1 and December 1 of each year, commencing on December 1, 2013; *provided* that the true interest cost with respect to the Series 2013A Bonds shall not exceed five and one-half percent (5.50%) per annum. Interest shall be computed upon the basis of a 360-day year consisting of twelve 30-day months, and will be rounded pursuant to the rules of the Municipal Securities Rulemaking Board ("MSRB"). Subsequent to the sale of the Bonds, the Comptroller of the County shall file in the office of the County Clerk of the County a Bond Order directed to the County Board of Supervisors of the County (the "Bond Order"), setting forth the terms of sale, the aggregate principal amount of Bonds sold, the aggregate principal amount of the Bonds maturing each year, the interest rate or rates to be borne by the Bonds sold, and the redemption (including mandatory sinking fund redemption) provisions of the Bonds, and thereafter the Bonds so sold shall be duly prepared and executed in the form and manner set forth herein and delivered to the purchaser as hereinafter described in accordance with the terms of sale. The County deems it proper to delegate to the Comptroller of the County the power to approve any and all changes as he or she shall, on behalf of the County, determine, subject to the limitations of this Resolution.

The schedule of maturities and mandatory sinking fund redemptions, if any, is found to be such that the amount of annual debt service payments is reasonable in accordance with prudent municipal utility management practices.

Section 1(B). Pledge of Revenues; Passenger Facility Charges as Revenues. The Series 2013A Bonds, together with interest thereon, shall be payable only out of the Airport Revenue Bond Special Redemption Fund provided for in the General Resolution (the “*Special Redemption Fund*”), and shall be a valid claim of the owners thereof only against the Special Redemption Fund and the Revenues pledged to the Special Redemption Fund, on a parity with the pledge granted to the owners of the Outstanding Bonds and any Additional Bonds hereafter issued, and sufficient Revenues are pledged to the Special Redemption Fund, and shall be used for no other purpose than to pay the principal of, premium, if any, and interest on the Outstanding Bonds, the Series 2013A Bonds and any Additional Bonds hereafter issued as the same become due.

Passenger Facility Charge revenues (the “*PFC Revenues*”) are included in the Revenues pledged to payment of the Series 2013A Bonds, and shall be deposited in the Special Redemption Fund, to the extent that the Projects are approved for funding by PFC Revenues.

Section 2(A). Optional Redemption. Unless otherwise provided in the Bond Order, the Series 2013A Bonds maturing on or after December 1, 2023 (or such other date as shall be set forth in the Bond Order), shall be subject to redemption prior to maturity at the option of the County as a whole or in part on December 1, 2022 (or such other date as shall be set forth in the Bond Order), and on any date thereafter from any maturity selected by the County and within any maturity by lot at the redemption price of 100% of the principal amount thereof being redeemed, plus accrued interest to the date fixed for redemption.

Section 2(B). Mandatory Sinking Fund Redemption of Term Series 2013A Bonds. The Series 2013A Bonds maturing on one or more dates, shall be subject to mandatory sinking fund redemption in part, on December 1 of the years and in the aggregate principal amounts at a redemption price of 100% of the principal amount thereof being redeemed, as shall be determined and approved by the Comptroller of the County to be in the best interests of the County, subject to the terms of this Resolution, and as shall be set forth in the Bond Order (the “*Series 2013A Term Bonds*”).

The Series 2013A Term Bonds to be so redeemed in each such year shall be selected in a manner deemed to be fair and appropriate by the Trustee from among the Series 2013A Term Bonds then outstanding which mature on the applicable date.

Upon any redemption of Series 2013A Term Bonds (other than as part of the mandatory sinking fund redemption requirement set forth above) or purchase in lieu thereof, the principal amount of the respective Series 2013A Term Bonds so redeemed or purchased shall be credited against the mandatory sinking fund redemption installments established for the respective Series 2013A Term Bonds so redeemed or purchased in such manner as the County shall direct.

Section 2(C). Notice of Redemption. Notice of each such redemption shall be given in the manner and at the times specified in the bond form established in Section 3 of this Resolution.

Section 3. Form of Series 2013A Bonds. The Series 2013A Bonds shall be in substantially the form set forth in the General Resolution, and shall have the terms and provisions set forth in such bond form.

Section 4. Definitions. Unless otherwise provided, all capitalized terms used in this Supplemental Resolution shall have the meaning given them in the General Resolution.

Section 5. Sale of Series 2013A Bonds. The County shall sell and deliver the Series 2013A Bonds to the investment banking firm selected by the County pursuant to a formal request for proposals, as representative of the underwriters, for a purchase price not less than ninety-nine percent (99%) of the aggregate principal amount of the Bonds, as set forth in a Bond Purchase Agreement (the "*Bond Purchase Agreement*"). The form, terms and provisions of the Bond Purchase Agreement are to be in standard form as shall be approved by the Chairperson and the Comptroller of the County, which form, terms and provisions are hereby approved, and the Chairperson and the County Clerk of the County are authorized and directed to execute, attest and deliver the Bond Purchase Agreement. The officers of the County are hereby authorized and directed to do any and all acts necessary to conclude delivery of the Series 2013A Bonds to said purchaser, upon receipt of the purchase price.

Section 6. Application of Series 2013A Bond Proceeds; Funding of Coverage Fund.
(a) All accrued interest received from the sale of the Series 2013A Bonds, if any, shall be deposited into the Interest and Principal Account of the Special Redemption Fund. Proceeds of the Series 2013A Bonds (in an amount not to exceed 10% of the Principal Amount of the Series 2013A Bonds) and Revenues of the Airport System in an amount necessary to make the amount on deposit in the Reserve Account equal to the Reserve Requirement shall be deposited in the Reserve Account. Proceeds of the Series 2013A Bonds (in an amount not to exceed two percent (2%) of the proceeds of the Series 2013A Bonds) and Revenues of the Airport System shall be used to pay the expenses incurred in authorizing, issuing and delivering the Series 2013A Bonds. The capitalized interest on the Series 2013A Bonds (if any) shall be deposited in the Capitalized Interest Account held by the Trustee. The balance of the proceeds of the Series 2013A Bonds shall be deposited by the County Treasurer of the County in the Construction Fund.

(b) Upon the issuance of the Series 2013A Bonds, the County shall deposit in the Coverage Fund, from Revenues of the Airport System, an amount necessary to make the amount on deposit in the Coverage Fund equal to the Coverage Fund Requirement.

Section 7. Amendment of Resolution and the General Resolution. (a) *Amendment of Resolution.* After the issuance of any of the Series 2013A Bonds, no change or alteration of any kind in the provisions of this Resolution may be made until all of the Series 2013A Bonds have been paid in full as to both principal and interest, or discharged as herein provided, except:

(i) The County may, from time to time, amend this Resolution without the consent of any of the owners of the Series 2013A Bonds, but only to cure any ambiguity, administrative conflict, formal defect, or omission or procedural inconsistency of this Resolution;

(ii) This Resolution may be amended, in any respect, with the written consent of the owners of the Series 2013A Bonds with an aggregate principal amount in excess of fifty percent (50%) in aggregate principal amount of the Series 2013A Bonds then outstanding, exclusive of Series 2013A Bonds held by the County; *provided, however*, that no amendment shall permit any change in the pledge of Revenues derived from the System, or in the maturity of any Series 2013A Bond issued hereunder, or a reduction in the rate of interest on any Series 2013A Bond, or in the amount of the principal obligation thereof, or in the amount of the redemption premium payable in the case of redemption thereof, or change the terms upon which the Series 2013A Bonds may be redeemed or make any other modification in the terms of the payment of such principal or interest without the written consent of the owner of each such Series 2013A Bond to which the change is applicable; and

(iii) The County may, from time to time, amend this Resolution in accordance with the provisions of the General Resolution.

(b) *Amendment of Section 7.8 of the General Resolution.* When the Outstanding Bonds are no longer outstanding under the General Resolution, the third sentence of Section 7.8 of the General Resolution will be hereby amended to read as follows:

“The report of the auditor shall contain a statement to the effect whether, in the course of the audit, anything has come to the attention of the auditor that causes the auditor to believe that the County has failed to comply with the terms, covenants, provisions or conditions contained in the Resolution or the Bonds, insofar as they relate to accounting matters, and, if so, the nature of the non-compliance.”

Section 8. Use of Proceeds; No Arbitrage; Series 2013A Bonds to Remain in Registered Form; Reimbursement. The principal proceeds of the Series 2013A Bonds (after the application of such proceeds set forth in Section 6 hereof) shall be deposited in the Construction Fund, and used solely for the purposes for which the Series 2013A Bonds are hereby authorized. Such principal proceeds from the sale of the Series 2013A Bonds shall be used only to pay the costs of the Projects, and the County Board of Supervisors of the County hereby covenants and agrees that said principal proceeds shall be devoted to and used with due diligence for such purposes.

The County recognizes that the purchasers and owners of the Series 2013A Bonds will have accepted them on, and paid therefor a price which reflects, the understanding that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Series 2013A Bonds shall have been delivered. In this connection, the

County agrees that it shall take no action which may render the interest on any of the Series 2013A Bonds includible in Federal gross income of the owners thereof. The County agrees that, to the extent possible under state law, it will comply with whatever Federal law is adopted in the future which applies to the Series 2013A Bonds and affects the tax-exempt status of the interest on the Series 2013A Bonds.

The Chairperson, the County Clerk and the County Treasurer of the County, or any of them, are hereby authorized to execute on behalf of the County a Tax Exemption Certificate and Agreement to assure the purchasers and owners of the Series 2013A Bonds that the proceeds of the Series 2013A Bonds are not expected to be used in a manner which would or might result in the Series 2013A Bonds being "reimbursement bonds" issued in contravention of Section 1.103-18 of the United States Treasury Department Regulations (the "*Regulations*") or "arbitrage bonds" under Section 148 of the Code or the Regulations currently in effect or proposed. Such Tax Exemption Certificate and Agreement shall constitute a representation, certification and covenant of the County, and shall be incorporated herein by reference, and no investment of the Series 2013A Bond proceeds or of moneys accumulated to pay the Series 2013A Bonds herein authorized shall be made in violation of the expectations prescribed by said Tax Exemption Certificate and Agreement. Such Tax Exemption Certificate and Agreement shall constitute an agreement of the County to follow certain covenants which may require the County to take certain actions (including the payment of certain amounts to the United States Treasury) or which may prohibit certain actions (including the establishment of certain funds) under certain conditions as specified in such Tax Exemption Certificate and Agreement.

The County further recognizes that Section 149(a) of the Code requires the Series 2013A Bonds to be issued and to remain in fully registered form in order that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Series 2013A Bonds are delivered. In this connection, the County agrees that it will not take any action to permit the Series 2013A Bonds to be issued in, or converted into, bearer or coupon form.

Section 9. Utilization of The Depository Trust Company Book-Entry-Only System. In order to make the Series 2013A Bonds eligible for the services provided by The Depository Trust Company, New York, New York, the County agrees to the applicable provisions set forth in the Blanket Issuer Letter of Representations previously executed on behalf of the County and on file in the County Clerk's office.

Section 10. Trustee. The County hereby appoints U.S. Bank National Association, Milwaukee, Wisconsin (the "*Trustee*"), the Trustee under the General Resolution, to serve as its fiscal agent with respect to the Series 2013A Bonds pursuant to Section 67.10(2) of the *Wisconsin Statutes*, as supplemented and amended. If requested by the Trustee, the Chairperson of the County is hereby authorized to execute, and the County Clerk of the County is hereby authorized to attest, and said Chairperson and County Clerk are hereby authorized to deliver, the Trustee's standard form of agreement between the County and the Trustee with respect to the obligations and duties of the Trustee hereunder, which shall include the following:

(a) to act as registrar, authenticating agent, paying agent and transfer agent as provided herein;

(b) to give notice of redemption of the Series 2013A Bonds as provided herein;

(c) to cancel and destroy Series 2013A Bonds which have been paid at maturity or upon earlier redemption or submitted for exchange or transfer;

(d) to furnish the County at least annually a certificate of destruction with respect to Series 2013A Bonds cancelled and destroyed; and

(e) to furnish the County at least annually an audit confirmation of Series 2013A Bonds paid, Series 2013A Bonds outstanding and payments made with respect to interest on the 2013A Bonds.

The County Clerk of the County is hereby directed to file a certified copy of this Resolution with the Trustee.

The Trustee shall signify its acceptance of the duties and obligations imposed upon it by this Resolution by executing the certificate of authentication on any Series 2013A Bond, and by such execution the Trustee shall be deemed to have certified to the County that it has all requisite power to accept, and has accepted such duties and obligations. The Trustee is the agent of the County, and shall not be liable in connection with the performance of its duties, except for its own negligence or willful wrongdoing. The Trustee shall, however, be responsible for any representation in its certificate of authentication on the Series 2013A Bonds.

The County covenants that it shall at all times retain a fiscal agent with respect to the Series 2013A Bonds, that it will maintain at the designated office of such fiscal agent a place or places where Series 2013A Bonds may be presented for payment or registration of transfer or exchange, and that it shall require that such fiscal agent properly maintain the bond register and perform the other duties and obligations imposed upon the fiscal agent by this Resolution in a manner consistent with the standards, customs and practices of the municipal securities industry.

The County may remove such fiscal agent at any time. In case at any time such fiscal agent shall resign, shall be removed, shall become incapable of acting, or shall be adjudged as bankrupt or insolvent, or if a receiver, liquidator or conservator of such fiscal agent, or of the property thereof, shall be appointed, or if any public office shall take charge or control of such fiscal agent, or of the property or affairs thereof, the County covenants and agrees that it will thereupon appoint a successor fiscal agent, as provided in the General Resolution. The County shall mail notice of any such appointment made by it to each registered owner of any Series 2013A Bond within twenty (20) days after such appointment. Any fiscal agent appointed under the provisions of this Section 10 shall be a County officer or a bank, trust company or national banking association.

Section 11. Official Statement. The Comptroller of the County shall cause an Official Statement concerning the Series 2013A Bonds to be prepared. The Comptroller of the County shall determine on behalf of the County when the Official Statement is in final form for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1), and shall certify said Official Statement, such certification to constitute full authorization of the Official Statement under this Resolution.

Section 12. Continuing Disclosure. The Chairperson and the County Clerk of the County are hereby authorized, empowered and directed to execute and deliver the Continuing Disclosure Certificate with respect to the Series 2013A Bonds (the "*Continuing Disclosure Certificate*") in substantially the form as the individuals executing the Continuing Disclosure Certificate on behalf of the County shall approve, his or her execution to constitute conclusive evidence of his or her approval of the form of such Continuing Disclosure Certificate. When the Continuing Disclosure Certificate is executed and delivered on behalf of the County as herein provided, the Continuing Disclosure Certificate will be binding on the County and the officers, employees and agents of the County, and the officers, employees and agents of the County are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of the Continuing Disclosure Certificate, as executed. Copies of the Continuing Disclosure Certificate shall be placed in the official records of the County, and shall be available for public inspection at the offices of the County. Notwithstanding any other provision of this Resolution to the contrary, the sole remedies for failure to comply with the Continuing Disclosure Certificate shall be the ability of any beneficial owner of any Series 2013A Bond to seek mandamus or specific performance by court order, to cause the County to comply with its obligations under the Continuing Disclosure Certificate.

Section 13. Public Approval. The issuance of the Series 2013A Bonds by the County is hereby approved by the County Board of Supervisors of the County. This resolution is intended to constitute public approval (within the meaning of Section 147(f) of the Code) of the issuance of the Bonds.

Section 14. Other Documents. The Chairperson, the County Clerk, the County Treasurer and all other officers of the County are hereby authorized to execute all documents and certificates and to perform all actions necessary in connection with the authorization, delivery and performance of the Series 2013A Bonds, including without limitation an official statement describing the Series 2013A Bonds and the County.

Section 15. Prior Actions. The action of the County Clerk of the County in causing the notice of public hearing with respect to the Series 2013A Bonds to be published is hereby in all respects ratified and confirmed.

Section 16. Payment of Issuance Expenses. Proceeds of the Series 2013A Bonds and revenues of the Airport shall be applied at the direction of the Comptroller of the County to the payment of issuance expenses with respect to the Series 2013A Bonds. An administrative transfer will be processed to increase expenditure authority in the non-departmental Debt Issuance Expense budget in order to pay such expenses. Issuance expenses shall cover the fees

for the following services provided in connection with the issuance of the Series 2013A Bonds as well as the out-of-pocket disbursements of the County: credit rating agencies, official statement printing and mailing, financial advisory services, feasibility consultant services, bond counsel services and financial auditor services. The issuance expenses to be paid from the proceeds of the Series 2013A Bonds (including underwriter's compensation) shall not exceed two percent (2%) of the proceeds of the Series 2013A Bonds.

Section 17. Severability. If any section, paragraph or provision of this Resolution shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability of such section, paragraph or provision shall not affect any of the remaining sections, paragraphs and provisions of this Resolution.

Section 18. Records. The County Clerk of the County shall provide and keep a separate record book and shall record a full and correct statement of every step or proceeding had or taken in the course of authorizing and issuing the Series 2013A Bonds.

Section 19. Conflicting Ordinances or Resolutions. All ordinances, resolutions (other than the General Resolution and the Supplemental Resolutions authorizing the 2003A Bonds, the 2004A Bonds, the 2005A Bonds, the 2005B Bonds, the 2006A Bonds, the 2006B Bonds, the 2007A Bonds, the 2009A Bonds, the 2009B Bonds, the 2010A Bonds and the 2010B Bonds) or orders, or parts thereof heretofore enacted, adopted or entered, in conflict with the provisions of this Resolution, are hereby repealed, and this Resolution shall be in effect from and after its passage. In case of any conflict between this Resolution and the General Resolution, the General Resolution shall control.

Section 20. Certified Copies of this Resolution. The County Clerk of the County is hereby directed to send certified copies of this Resolution to Co-Bond Counsel for the County, Chapman and Cutler LLP, 111 West Monroe Street, Chicago, Illinois 60603, Attention: Charles L. Jarik, and Emile Banks & Associates, LLC, Suite 290, 1200 North Mayfair Road, Milwaukee, Wisconsin 53226, Attention: Emile Banks, and to the Office of the Comptroller, 901 North 9th Street, Room 301, Milwaukee, Wisconsin 53223, Attention: Pamela Bryant.

EXHIBIT A

- (i) Renovation and expansion of the in-line baggage security area at General Mitchell International Airport (the "*Airport*")
- (ii) Construction of improvements to the baggage claim area of the Airport
- (iii) Construction of improvements to the in-line baggage screening area of the Airport (Phase 2)
- (iv) Construction of improvements to the parking structure at the Airport
- (v) Construction of improvements to the electric system at the Airport
- (vi) Construction of a training facility at the Airport
- (vii) Installation of narrow banding at the Airport

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Airport Revenue Bond Initial Authorizing Resolution

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input checked="" type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input checked="" type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	\$5,761,250	0
	Revenue	\$5,761,250	
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
 - B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
 - C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
 - D. Describe any assumptions or interpretations that were utilized to provide the information on this form.
-
- A. The Office of the Comptroller is requesting the approval of the attached authorizing resolution and final bond resolution for the issuance of a not-to-exceed amount of \$64 million of General Airport Revenue Bonds (GARBs) to finance various airport capital improvement projects included in the 2011-2013 Capital Improvement Budgets. The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale.
 - B. The note to exceed amount includes a total cost of \$5,761,250 for cost of issuance that includes such items as \$5,406,500 to fund the required debt service reserve, \$410,000 for the underwriter(s) costs (bond purchaser) and \$300,000 for the Co-Financial Advisors, Co-Bond Counsels, rating agencies, printers of the official statement (bond prospectus) and \$4,750 for rounding.
 - C. An administrative transfer will be submitted to the Department of Administrative Services to adjust the debt issue expense budget. The revenue is included in the bond issue amount.
 - D. The not-to-exceed amount of \$60,000,000 assumes underwriter costs of \$7 per \$1,000 bond amount and \$5 per bond amount for all other costs. These amounts are based on previous values for not-to-exceed amounts and market conditions.

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

Department/Prepared By Pamela Bryant

Authorized Signature _____

Did DAS-Fiscal Staff Review? Yes No

13-107
3

**COUNTY OF MILWAUKEE
INTER-OFFICE COMMUNICATION**

DATE : January 14, 2013

TO : Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors

FROM : Pamela Bryant, Capital Finance Manager

SUBJECT : **Airport Refunding Bond Initial Authorizing Resolutions**

Request

The Office of the Comptroller is requesting the approval of the attached authorizing resolution and final bond resolution for the issuance of a not-to-exceed amount of \$3.5 million of General Airport Revenue Refunding Bonds to refund the balance of the outstanding debt for the \$7,125,000 Airport Revenue Bonds, Series 2003A (Series 2003A Revenue Bonds).

The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale.

Background

In 2003, the County issued \$7,125,000 in airport revenue bonds to finance the completion of the construction of the parking facility. The \$3,375,000 in outstanding bonds are eligible to be refunded. The estimated net present value savings is \$430,523 over the years 2013-2022. Ordinarily, the County would not refund bonds were the savings is less than 3 percent of the refunded bond amount. However, it is efficient for it to refund the Series 2003A Revenue Bonds, since the County is issuing a not-to-exceed amount of \$60 million in general airport revenue bonds at the same time.

The bond issue amount is \$3.5 million. The differences between the not-to-exceed amount and the budgeted bond amount reflects the estimated issuance costs, funding for the debt service reserve fund and provides flexibility for any adjustments that may occur prior to the issuance of the bonds. The Comptroller's Office has prepared estimated debt service schedules for the issuance of \$3.5 million in GARBs. The total estimated debt service costs would be \$3,904,032, including \$444,032 in interest costs.

BOND SALE PARAMETERS

The parameters for the financing would consist of the following:

- Maximum coupon rate of 5 percent – A coupon rate is the interest rate for each maturity or principal amount.

- **Maximum true interest cost (TIC) of 4.5 percent** – The true interest cost is the actual interest rate paid on bonds, which is expressed as a percentage of the total debt in today’s dollars and includes the costs of issuance and other fees.
- **No call provision** – The no call provision indicates that the County will not be able to refund the new bonds prior to maturity. The remaining years of the refunded bonds is 9 years, with an amount of \$375,000 for each year. Therefore, it is unlikely that the County would refund the new bonds. In addition, due to the low maturity amount, a no call provision may be necessary to obtain a low interest rate and encourage investor interest in the bonds.
- **Minimum purchase price of 99 percent of the par amount of the bonds** – The price of the bond reflects the maximum price an investor would want to pay for the bond based on the coupon rate and the market rate. This is known as the yield or rate of return on the bond. When the coupon rate on the bond is equal to the market rate the purchase price is equal to the principal amount of the bond (par). If the coupon rate is lower than the market rate, the bonds will be purchased at a discount. If the coupon is higher than the market rate, the bonds will be purchased at a premium. If there is a premium paid, the amount of the premium would be used to reduce the amount of the refunding bond issue. Whether or not the bond purchase price is a par, discount or premium is dependent upon market conditions on the day of the sale.

DEBT ISSUANCE EXPENSES

The 2013 budget authorizes the Office of the Comptroller to increase the amount of the 2013 borrowing to pay debt issuance expenses. The attached resolution authorizes and directs the Comptroller to request credit ratings and to pay all professional services and other issuance expenses related to the issuance of the bonds from debt proceeds. An administrative appropriation transfer will be processed to increase expenditure authority to pay for the cost of issuance. Anticipated expenses include bond counsel, financial auditor and financial advisory fees, official statement printing and mailing costs, credit rating fees and expenses and other issuance costs such as publication costs and express mail.

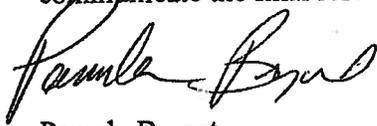
PUBLIC HEARING REQUIREMENTS FOR PRIVATE ACTIVITY BONDS

The 2013 bond issue is a private activity bond issue within the meaning of the federal tax code. The issuance of tax-exempt bonds for these purposes requires that a public hearing be scheduled to allow citizens to comment on the issuance of the bonds. A public hearing will need to be scheduled to allow for public comment on the projects.

RECOMMENDATION

The Comptroller’s Office requests that the Finance, Personnel and Audit Committee approve and recommend approval by the full County Board of the attached resolution. The resolution authorizes the issuance of the bonds and delegates, to the Comptroller, the authority to approve the final terms and conditions of the bond sale provided that those terms and conditions are within the parameters set forth in the attached resolution. The sale will consist of the issuance of a not-to-exceed amount of \$3.5 million in bonds to refund the balance of the outstanding debt for the \$7,125,000 Airport Revenue Bonds, Series 2003A (Series 2003A Revenue Bonds). An informational report will be submitted to the Finance, Personnel and Audit Committee to

communicate the final results of the bond sale.



Pamela Bryant
Capital Finance Manager

Attachment

pc: Chris Abele, County Executive
Supervisor Willie Johnson, Co-Chairman, Finance and Audit Committee
Supervisor David Cullen, Co-Chairman, Finance and Audit Committee
Pat Farley, Director, Department of Administrative Services
Amber Moreen, Chief of Staff
Kelly Bablitch, Chief of Staff, County Board
Pamela Bryant, Capital Finance Manager
Stephen Cady, County Board Fiscal and Management Analyst
Joseph Czarnecki, County Clerk
Daniel Diliberti, County Treasurer
Chuck Jarik, Chapman and Cutler LLP
Emile Banks, Emile Banks and Associates
David Anderson, Public Financial Management
Justin Rodriguez, Fiscal and Management Analyst
Carol Mueller, Finance and Audit Committee Clerk

(ITEM) An adopted resolution authorizing the issuance and providing for the sale of Airport Revenue Refunding Bonds, Series 2013B in an aggregate principal amount not to exceed \$3,500,000 by recommending adoption of the following:

Milwaukee County
Supplemental Resolution

Authorizing the Issuance and Sale
of Airport Revenue Refunding Bonds, Series 2013B
in an aggregate principal amount not to exceed \$3,500,000

Adopted February 7, 2013

WHEREAS, on June 22, 2000, the County Board of Supervisors of Milwaukee County, Wisconsin (the "*County*") adopted a resolution entitled "General Bond Resolution Authorizing the Issuance of Airport Revenue Bonds" (the "*General Resolution*"); and

WHEREAS, the County has determined that it is necessary and desirable and in the best interests of the County to refund the outstanding Airport Revenue Bonds, Series 2003A previously issued by the County and outstanding in the aggregate principal amount of \$3,750,000 (the "*Prior Bonds*"), which were issued to finance various improvements at General Mitchell International Airport (the "*Airport*"), and that the moneys in an amount not to exceed \$3,500,000 which are needed to refund the Prior Bonds be borrowed through the issuance of general airport revenue bonds pursuant to the provisions of Section 66.0621 of the *Wisconsin Statutes*, as supplemented and amended, and the General Resolution; and

WHEREAS, it is now necessary and desirable that the County issue and sell such general airport revenue bonds as Additional Bonds within the meaning of, and as defined in, the General Resolution, payable solely from the revenues to be derived from the operation of the Airport System, for the purpose of refunding the Prior Bonds, which Additional Bonds are to be authorized and issued pursuant to the provisions of the General Resolution and Section 66.0621 of the *Wisconsin Statutes*, as supplemented and amended; and

WHEREAS, this Resolution shall constitute a Supplemental Resolution within the meaning of the General Resolution, and the revenue bonds issued hereunder shall constitute Additional Bonds within the meaning of the General Resolution; and

WHEREAS, the County has outstanding its Airport Revenue Bonds, Series 2003A, dated January 1, 2003 (the "*2003A Bonds*"), its Airport Revenue Bonds, Series 2004A, dated March 31, 2004 (the "*2004A Bonds*"), its Airport Revenue Bonds, Series 2005A, dated December 22, 2005 (the "*2005A Bonds*"), its Airport Revenue Refunding Bonds, Series 2005B, dated December 22, 2005 (the "*2005B Bonds*"), its Airport Revenue Bonds, Series 2006A, dated November 16, 2006 (the "*2006A Bonds*"), its Airport Revenue Refunding Bonds, Series 2006B, dated November 16, 2006 (the "*2006B Bonds*"), its Airport Revenue Bonds, Series 2007A, dated November 15, 2007 (the "*2007A Bonds*"), its Airport Revenue Bonds, Series 2009A,

dated December 10, 2009 (the "2009A Bonds"), its Airport Refunding Revenue Bonds, Series 2009B, dated December 10, 2009 (the "2009B Bonds"), its Airport Revenue Bonds, Series 2010A, dated October 14, 2010 (the "2010A Bonds"), and its Airport Revenue Refunding Bonds, Series 2010B, dated October 14, 2010 (the "2010B Bonds", and, collectively, the "Outstanding Bonds"); and

WHEREAS, there are no revenue obligations outstanding which are payable from the income and revenues of the Airport System, other than the 2003A Bonds, the 2004A Bonds, the 2005A Bonds, the 2005B Bonds, the 2006A Bonds, the 2006B Bonds, the 2007A Bonds, the 2009A Bonds, the 2009B Bonds, the 2010A Bonds and the 2010B Bonds; and

WHEREAS, the County Clerk of the County has caused a notice of public hearing with respect to the plan of finance of the costs of the refunding of the Prior Bonds through the issuance of the Series 2013B Bonds (as hereinafter defined) to be published in the *Milwaukee Journal-Sentinel* and *The Daily Reporter*, each a newspaper of general circulation in Milwaukee County, Wisconsin, pursuant to Section 147(f) of the Internal Revenue Code of 1986, as amended (the "Code"), on January __, 2013, which notice is hereby incorporated herein by reference, and the Committee on Finance, Personnel and Audit of the County Board of Supervisors of the County has conducted said public hearing on February 7, 2013;

NOW, THEREFORE, BE IT RESOLVED by the County Board of Supervisors of Milwaukee County, Wisconsin, as follows:

Section 1(A). Authorization of Series 2013B Bonds. For the purpose of refunding the Prior Bonds, the County shall borrow on the credit of the income and revenue of the Airport System a sum not to exceed \$3,500,000. Negotiable, fully-registered revenue bonds of the County, in the denominations of \$5,000 or any integral multiple thereof, shall be issued in evidence thereof. Said revenue bonds shall be designated "Airport Revenue Refunding Bonds, Series 2013B" (the "Series 2013B Bonds"), shall be numbered from R-1 upward, and shall be dated their date of delivery. The Series 2013B Bonds shall mature on December 1 of each of the years, not later than December 1, 2022, and shall bear interest at a rate not to exceed five percent (5.00%) per annum, payable on June 1 and December 1 of each year, commencing on December 1, 2013; *provided* that the true interest cost with respect to the Series 2013B Bonds shall not exceed four and one-half percent (4.50%) per annum, and the savings on the refunding shall not be less than three percent (3.00%). Interest shall be computed upon the basis of a 360-day year consisting of twelve 30-day months, and will be rounded pursuant to the rules of the Municipal Securities Rulemaking Board ("MSRB"). Subsequent to the sale of the Bonds, the Comptroller of the County shall file in the office of the County Clerk of the County a Bond Order directed to the County Board of Supervisors of the County (the "Bond Order"), setting forth the terms of sale, the aggregate principal amount of Bonds sold, the aggregate principal amount of the Bonds maturing each year, the interest rate or rates to be borne by the Bonds sold, and the redemption (including mandatory sinking fund redemption) provisions of the Bonds, and thereafter the Bonds so sold shall be duly prepared and executed in the form and manner set forth herein and delivered to the purchaser as hereinafter described in accordance with the terms of sale. The County deems it proper to delegate to the Comptroller of the County the power to

approve any and all changes as he or she shall, on behalf of the County, determine, subject to the limitations of this Resolution.

The schedule of maturities and mandatory sinking fund redemptions, if any, will be such that the amount of annual debt service payments is reasonable in accordance with prudent municipal utility management practices.

Section 1(B). Pledge of Revenues; Passenger Facility Charges as Revenues. The Series 2013B Bonds, together with interest thereon, shall be payable only out of the Airport Revenue Bond Special Redemption Fund provided for in the General Resolution (the "*Special Redemption Fund*"), and shall be a valid claim of the owners thereof only against the Special Redemption Fund and the Revenues pledged to the Special Redemption Fund, on a parity with the pledge granted to the owners of the Outstanding Bonds and any Additional Bonds hereafter issued, and sufficient Revenues are pledged to the Special Redemption Fund, and shall be used for no other purpose than to pay the principal of, premium, if any, and interest on the Outstanding Bonds, the Series 2013B Bonds and any Additional Bonds hereafter issued as the same become due.

Passenger Facility Charge revenues (the "*PFC Revenues*") are included in the Revenues pledged to payment of the Series 2013B Bonds, and shall be deposited in the Special Redemption Fund, to the extent that the Projects are approved for funding by PFC Revenues.

Section 2(A). Optional Redemption. Unless otherwise provided in the Bond Order, the Series 2013B Bonds shall not be subject to redemption prior to maturity at the option of the County.

Section 2(B). Mandatory Sinking Fund Redemption of Term Series 2013B Bonds. The Series 2013B Bonds maturing on one or more dates, shall be subject to mandatory sinking fund redemption in part, on December 1 of the years and in the aggregate principal amounts at a redemption price of 100% of the principal amount thereof being redeemed, as shall be determined and approved by the Comptroller of the County to be in the best interests of the County, subject to the terms of this Resolution, and as shall be set forth in the Bond Order (the "*Series 2013B Term Bonds*").

The Series 2013B Term Bonds to be so redeemed in each such year shall be selected in a manner deemed to be fair and appropriate by the Trustee from among the Series 2013B Term Bonds then outstanding which mature on the applicable date.

Upon any redemption of Series 2013B Term Bonds (other than as part of the mandatory sinking fund redemption requirement set forth above) or purchase in lieu thereof, the principal amount of the respective Series 2013B Term Bonds so redeemed or purchased shall be credited against the mandatory sinking fund redemption installments established for the respective Series 2013B Term Bonds so redeemed or purchased in such manner as the County shall direct.

Section 2(C). Notice of Redemption. Notice of any such redemption shall be given in the manner and at the times specified in the bond form established in Section 3 of this Resolution.

Section 3. Form of Series 2013B Bonds. The Series 2013B Bonds shall be in substantially the form set forth in the General Resolution, and shall have the terms and provisions set forth in such bond form.

Section 4. Definitions. Unless otherwise provided, all capitalized terms used in this Supplemental Resolution shall have the meaning given them in the General Resolution.

Section 5. Sale of Series 2013B Bonds. The County shall sell and deliver the Series 2013B Bonds to the investment banking firm selected by the County pursuant to a formal request for proposals, as representative of the underwriters, for a purchase price not less than ninety-nine percent (99%) of the aggregate principal amount of the Bonds, as set forth in a Bond Purchase Agreement (the "*Bond Purchase Agreement*"). The form, terms and provisions of the Bond Purchase Agreement are to be in standard form as shall be approved by the Chairperson and the Comptroller of the County, which form, terms and provisions are hereby approved, and the Chairperson and the County Clerk of the County are authorized and directed to execute, attest and deliver the Bond Purchase Agreement. The officers of the County are hereby authorized and directed to do any and all acts necessary to conclude delivery of the Series 2013B Bonds to said purchaser, upon receipt of the purchase price.

Section 6. Application of Series 2013B Bond Proceeds; Funding of Coverage Fund.
(a) All accrued interest received from the sale of the Series 2013B Bonds, if any, shall be deposited into the Interest and Principal Account of the Special Redemption Fund. Proceeds of the Series 2013B Bonds (in an amount not to exceed 10% of the Principal Amount of the Series 2013B Bonds) and Revenues of the Airport System in an amount necessary to make the amount on deposit in the Reserve Account equal to the Reserve Requirement shall be deposited in the Reserve Account. Proceeds of the Series 2013B Bonds (in an amount not to exceed two percent (2%) of the proceeds of the Series 2013B Bonds) and Revenues of the Airport System shall be used to pay the expenses incurred in authorizing, issuing and delivering the Series 2013B Bonds. The capitalized interest on the Series 2013B Bonds (if any) shall be deposited in the Capitalized Interest Account held by the Trustee. The balance of the proceeds of the Series 2013B Bonds shall be deposited by the County Treasurer of the County in the Principal and Interest Account of the Special Redemption Fund and used to pay the principal of and interest on the Prior Bonds.

(b) Upon the issuance of the Series 2013B Bonds, the County shall deposit in the Coverage Fund, from Revenues of the Airport System, an amount necessary to make the amount on deposit in the Coverage Fund equal to the Coverage Fund Requirement.

Section 7. Amendment of Resolution. After the issuance of any of the Series 2013B Bonds, no change or alteration of any kind in the provisions of this Resolution may be made until all of the Series 2013B Bonds have been paid in full as to both principal and interest, or discharged as herein provided, except:

(i) The County may, from time to time, amend this Resolution without the consent of any of the owners of the Series 2013B Bonds, but only to cure any ambiguity,

administrative conflict, formal defect, or omission or procedural inconsistency of this Resolution;

(ii) This Resolution may be amended, in any respect, with the written consent of the owners of the Series 2013B Bonds with an aggregate principal amount in excess of fifty percent (50%) in aggregate principal amount of the Series 2013B Bonds then outstanding, exclusive of Series 2013B Bonds held by the County; *provided, however*, that no amendment shall permit any change in the pledge of Revenues derived from the System, or in the maturity of any Series 2013B Bond issued hereunder, or a reduction in the rate of interest on any Series 2013B Bond, or in the amount of the principal obligation thereof, or in the amount of the redemption premium payable in the case of redemption thereof, or change the terms upon which the Series 2013B Bonds may be redeemed or make any other modification in the terms of the payment of such principal or interest without the written consent of the owner of each such Series 2013B Bond to which the change is applicable; and

(iii) The County may, from time to time, amend this Resolution in accordance with the provisions of the General Resolution.

Section 8. Use of Proceeds; No Arbitrage; Series 2013B Bonds to Remain in Registered Form; Reimbursement. The principal proceeds of the Series 2013B Bonds (after the application of such proceeds set forth in Section 6 hereof) shall be deposited in the Principal and Interest Account of the Special Redemption Fund, and used solely for the purposes for which the Series 2013B Bonds are hereby authorized. Such principal proceeds from the sale of the Series 2013B Bonds shall be used only to pay the costs of issuing the Series 2013B Bonds and refunding the Prior Bonds, and the County Board of Supervisors of the County hereby covenants and agrees that said principal proceeds shall be devoted to and used with due diligence for such purposes.

The County recognizes that the purchasers and owners of the Series 2013B Bonds will have accepted them on, and paid therefor a price which reflects, the understanding that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Series 2013B Bonds shall have been delivered. In this connection, the County agrees that it shall take no action which may render the interest on any of the Series 2013B Bonds includible in Federal gross income of the owners thereof. The County agrees that, to the extent possible under state law, it will comply with whatever Federal law is adopted in the future which applies to the Series 2013B Bonds and affects the tax-exempt status of the interest on the Series 2013B Bonds.

The Chairperson, the County Clerk and the County Treasurer of the County, or any of them, are hereby authorized to execute on behalf of the County a Tax Exemption Certificate and Agreement to assure the purchasers and owners of the Series 2013B Bonds that the proceeds of the Series 2013B Bonds are not expected to be used in a manner which would or might result in the Series 2013B Bonds being "reimbursement bonds" issued in contravention of Section 1.103-18 of the United States Treasury Department Regulations (the "*Regulations*") or "arbitrage bonds" under Section 148 of the Code or the Regulations currently in effect or

proposed. Such Tax Exemption Certificate and Agreement shall constitute a representation, certification and covenant of the County, and shall be incorporated herein by reference, and no investment of the Series 2013B Bond proceeds or of moneys accumulated to pay the Series 2013B Bonds herein authorized shall be made in violation of the expectations prescribed by said Tax Exemption Certificate and Agreement. Such Tax Exemption Certificate and Agreement shall constitute an agreement of the County to follow certain covenants which may require the County to take certain actions (including the payment of certain amounts to the United States Treasury) or which may prohibit certain actions (including the establishment of certain funds) under certain conditions as specified in such Tax Exemption Certificate and Agreement.

The County further recognizes that Section 149(a) of the Code requires the Series 2013B Bonds to be issued and to remain in fully registered form in order that the interest thereon is excludible from Federal gross income of the owners thereof under laws in force at the time the Series 2013B Bonds are delivered. In this connection, the County agrees that it will not take any action to permit the Series 2013B Bonds to be issued in, or converted into, bearer or coupon form.

Section 9. Utilization of The Depository Trust Company Book-Entry-Only System. In order to make the Series 2013B Bonds eligible for the services provided by The Depository Trust Company, New York, New York, the County agrees to the applicable provisions set forth in the Blanket Issuer Letter of Representations previously executed on behalf of the County and on file in the County Clerk's office.

Section 10. Trustee. The County hereby appoints U.S. Bank National Association, Milwaukee, Wisconsin (the "Trustee"), the Trustee under the General Resolution, to serve as its fiscal agent with respect to the Series 2013B Bonds pursuant to Section 67.10(2) of the *Wisconsin Statutes*, as supplemented and amended. If requested by the Trustee, the Chairperson of the County is hereby authorized to execute, and the County Clerk of the County is hereby authorized to attest, and said Chairperson and County Clerk are hereby authorized to deliver, the Trustee's standard form of agreement between the County and the Trustee with respect to the obligations and duties of the Trustee hereunder, which shall include the following:

- (a) to act as registrar, authenticating agent, paying agent and transfer agent as provided herein;
- (b) to give notice of redemption of the Series 2013B Bonds as provided herein;
- (c) to cancel and destroy Series 2013B Bonds which have been paid at maturity or upon earlier redemption or submitted for exchange or transfer;
- (d) to furnish the County at least annually a certificate of destruction with respect to Series 2013B Bonds cancelled and destroyed; and

(e) to furnish the County at least annually an audit confirmation of Series 2013B Bonds paid, Series 2013B Bonds outstanding and payments made with respect to interest on the 2013B Bonds.

The County Clerk of the County is hereby directed to file a certified copy of this Resolution with the Trustee.

The Trustee shall signify its acceptance of the duties and obligations imposed upon it by this Resolution by executing the certificate of authentication on any Series 2013B Bond, and by such execution the Trustee shall be deemed to have certified to the County that it has all requisite power to accept, and has accepted such duties and obligations. The Trustee is the agent of the County, and shall not be liable in connection with the performance of its duties, except for its own negligence or willful wrongdoing. The Trustee shall, however, be responsible for any representation in its certificate of authentication on the Series 2013B Bonds.

The County covenants that it shall at all times retain a fiscal agent with respect to the Series 2013B Bonds, that it will maintain at the designated office of such fiscal agent a place or places where Series 2013B Bonds may be presented for payment or registration of transfer or exchange, and that it shall require that such fiscal agent properly maintain the bond register and perform the other duties and obligations imposed upon the fiscal agent by this Resolution in a manner consistent with the standards, customs and practices of the municipal securities industry.

The County may remove such fiscal agent at any time. In case at any time such fiscal agent shall resign, shall be removed, shall become incapable of acting, or shall be adjudged as bankrupt or insolvent, or if a receiver, liquidator or conservator of such fiscal agent, or of the property thereof, shall be appointed, or if any public office shall take charge or control of such fiscal agent, or of the property or affairs thereof, the County covenants and agrees that it will thereupon appoint a successor fiscal agent, as provided in the General Resolution. The County shall mail notice of any such appointment made by it to each registered owner of any Series 2013B Bond within twenty (20) days after such appointment. Any fiscal agent appointed under the provisions of this Section 10 shall be a County officer or a bank, trust company or national banking association.

Section 11. Official Statement. The Comptroller of the County shall cause an Official Statement concerning the Series 2013B Bonds to be prepared. The Comptroller of the County shall determine on behalf of the County when the Official Statement is in final form for purposes of Securities and Exchange Commission Rule 15c2-12(b)(1), and shall certify said Official Statement, such certification to constitute full authorization of the Official Statement under this Resolution.

Section 12. Continuing Disclosure. The Chairperson and the County Clerk of the County are hereby authorized, empowered and directed to execute and deliver the Continuing Disclosure Certificate with respect to the Series 2013B Bonds (the "*Continuing Disclosure Certificate*") in substantially the form as the individuals executing the Continuing Disclosure Certificate on behalf of the County shall approve, his or her execution to constitute conclusive evidence of his or her approval of the form of such Continuing Disclosure Certificate. When the

Continuing Disclosure Certificate is executed and delivered on behalf of the County as herein provided, the Continuing Disclosure Certificate will be binding on the County and the officers, employees and agents of the County, and the officers, employees and agents of the County are hereby authorized, empowered and directed to do all such acts and things and to execute all such documents as may be necessary to carry out and comply with the provisions of the Continuing Disclosure Certificate, as executed. Copies of the Continuing Disclosure Certificate shall be placed in the official records of the County, and shall be available for public inspection at the offices of the County. Notwithstanding any other provision of this Resolution to the contrary, the sole remedies for failure to comply with the Continuing Disclosure Certificate shall be the ability of any beneficial owner of any Series 2013B Bond to seek mandamus or specific performance by court order, to cause the County to comply with its obligations under the Continuing Disclosure Certificate.

Section 13. Public Approval. The issuance of the Series 2013B Bonds by the County is hereby approved by the County Board of Supervisors of the County. This resolution is intended to constitute public approval (within the meaning of Section 147(f) of the Code) of the issuance of the Bonds.

Section 14. Other Documents. The Chairperson, the County Clerk, the County Treasurer and all other officers of the County are hereby authorized to execute all documents and certificates and to perform all actions necessary in connection with the authorization, delivery and performance of the Series 2013B Bonds, including without limitation an official statement describing the Series 2013B Bonds and the County.

Section 15. Prior Actions. The action of the County Clerk of the County in causing the notice of public hearing with respect to the Series 2013B Bonds to be published is hereby in all respects ratified and confirmed.

Section 16. Payment of Issuance Expenses. Proceeds of the Series 2013B Bonds and revenues of the Airport shall be applied at the direction of the Comptroller of the County to the payment of issuance expenses with respect to the Series 2013B Bonds. An administrative transfer will be processed to increase expenditure authority in the non-departmental Debt Issuance Expense budget in order to pay such expenses. Issuance expenses shall cover the fees for the following services provided in connection with the issuance of the Series 2013B Bonds as well as the out-of-pocket disbursements of the County: credit rating agencies, official statement printing and mailing, financial advisory services, feasibility consultant services, bond counsel services and financial auditor services. The issuance expenses to be paid from the proceeds of the Series 2013B Bonds (including underwriter's compensation) shall not exceed two percent (2%) of the proceeds of the Series 2013B Bonds.

Section 17. Severability. If any section, paragraph or provision of this Resolution shall be held to be invalid or unenforceable for any reason, the invalidity or unenforceability or such section, paragraph or provision shall not affect any of the remaining sections, paragraphs and provisions of this Resolution.

Section 18. Records. The County Clerk of the County shall provide and keep a separate record book and shall record a full and correct statement of every step or proceeding had or taken in the course of authorizing and issuing the Series 2013B Bonds.

Section 19. Conflicting Ordinances or Resolutions. All ordinances, resolutions (other than the General Resolution and the Supplemental Resolutions authorizing the 2003A Bonds, the 2004A Bonds, the 2005A Bonds, the 2005B Bonds, the 2006A Bonds, the 2006B Bonds, the 2007A Bonds, the 2009A Bonds, the 2009B Bonds, the 2010A Bonds and the 2010B Bonds) or orders, or parts thereof heretofore enacted, adopted or entered, in conflict with the provisions of this Resolution, are hereby repealed, and this Resolution shall be in effect from and after its passage. In case of any conflict between this Resolution and the General Resolution, the General Resolution shall control.

Section 20. Certified Copies of this Resolution. The County Clerk of the County is hereby directed to send certified copies of this Resolution to Co-Bond Counsel for the County, Chapman and Cutler LLP, 111 West Monroe Street, Chicago, Illinois 60603, Attention: Charles L. Jarik, and Emile Banks & Associates, LLC, Suite 290, 1200 North Mayfair Road, Milwaukee, Wisconsin 53226, Attention: Emile Banks, and to the Office of the Comptroller, 901 North 9th Street, Room 301, Milwaukee, Wisconsin 53223, Attention: Pamela Bryant.

EXHIBIT A

- (i) Renovation and expansion of the in-line baggage security area at General Mitchell International Airport (the "*Airport*")
- (ii) Construction of improvements to the baggage claim area of the Airport
- (iii) Construction of improvements to the in-line baggage screening area of the Airport (Phase 2)
- (iv) Construction of improvements to the parking structure at the Airport
- (v) Construction of improvements to the electric system at the Airport
- (vi) Construction of a training facility at the Airport
- (vii) Installation of narrow banding at the Airport

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Airport Revenue Refunding Bond Initial Authorizing Resolution

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input checked="" type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input checked="" type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	\$70,234	0
	Revenue	\$70,234	
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
 - B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
 - C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
 - D. Describe any assumptions or interpretations that were utilized to provide the information on this form.
-
- A. The Office of the Comptroller is requesting the approval of the attached authorizing resolution and final bond resolution for the issuance of a not-to-exceed amount of \$3.5 million of General Airport Revenue Refunding Bonds to refund the balance of the outstanding debt for the \$7,125,000 Airport Revenue Bonds, Series 2003A (Series 2003A Revenue Bonds). The attached final bond resolution authorizes the issuance of the bonds and provides parameters for the issuance and delegates approval of the sale of the bonds to the Comptroller. The Comptroller's approval is limited to results of the sale that fall within parameters outlined in the resolution. An informational report will be submitted to the Finance, Personnel, and Audit Committee to communicate the final results of the bond sale.
 - B. The estimated net present values savings for the refunding is \$430,523. Total estimated debt service for the refunding is \$3,904,031. The not-to-exceed amount includes a total cost of \$70,234 for cost of issuance. The cost of issuance is presented to illustrate the structuring of the not-to-exceed amount. The actual costs are anticipated to be less since there will be efficiencies from refunding the bonds at the same time as the \$60 million Airport Revenue Bonds.
 - C. An administrative transfer will be submitted to the Department of Administrative Services to adjust the debt issue expense budget. The revenue is included in the bond issue amount.
 - D. The not-to-exceed amount of \$3,500,000 assumes underwriter costs of \$7 per \$1,000 bond amount and \$5 per bond amount for all other costs. These amounts are based on previous values for not-to-exceed amounts and market conditions.

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

Department/Prepared By Pamela Bryant

Authorized Signature _____

Did DAS-Fiscal Staff Review? Yes No

**COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION**

DATE: January 14, 2013
TO: Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors
FROM: Pamela Bryant, Capital Finance Manager, Office of the Comptroller
SUBJECT: Airport Reimbursement Resolution

Background

The County Board of Supervisors has approved financing of \$63,890,250 for various Airport capital projects in the 2010-2013 budgets. The Airport as a part of the 2013 Airport Revenue Bond financing has adjusted some of the project financing from cash to bonds. The Office of the Comptroller has submitted a separate resolution to the Finance, Personnel and Audit Committee for approval to issue general airport revenue bonds (GARBs) to finance the projects.

Since the Airport will need to proceed with these projects, the County will have to reimburse itself with bond proceeds for expenditures that are incurred prior to the issuance of the bonds. See Exhibit A for a list of the projects.

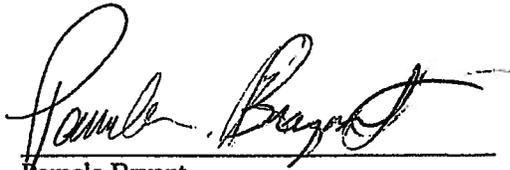
U.S. Treasury Regulation Compliance

U.S. Treasury Regulation Section 1.150-2 describes the conditions under which the County may expend County funds on a project currently and later reimburse itself with bond proceeds. The first step in providing for such reimbursement to occur is an expression of intent by the County to reimburse itself for expenditures incurred prior to issuing the bonds. Therefore, the attached resolution expresses that intent.

Expressing this intent will allow the County to reimburse itself for expenditures incurred as far back as 60 days prior to the approval of the attached reimbursement resolution. Any work on the project that is done earlier than 60 days prior to the approval date would not be eligible for reimbursement.

Recommendation

The Office of the Comptroller recommends that the Finance and Audit Committee approve the accompanying resolution that expresses the County's intent, in accordance with U.S. Treasury Regulation Section 1.150-2, to reimburse itself for expenditures that will occur prior to the issuance of the bonds. It is anticipated that there will be an issuance of airport debt in 2013.



Pamela Bryant
Interim Fiscal and Budget Administrator

Attachment

pc: Chris Abele, County Executive
Supervisor Thomas, Chairman, Finance and Audit Committee
Pat Farley, Director, Department of Administrative Services
Steve Cady, Fiscal & Budget Analyst, County Board
Carol Mueller, Committee Clerk, County Board
Justin Rodriguez, Interim Capital Finance Manager
Chuck Jarik, Chapman and Cutler
Emile Banks, Emile Banks and Associates

EXHIBIT A

Project	Airport	Cost
WA042	Bag Claim Remodeling, Renovation and Expansion	\$41,283,835
WA044	In-line Baggage Screening	\$4,339,067
WA139	Redundant Main Electric Service Feed	\$8,480,020
WA141	Training Facility	\$2,765,597
WA177	Parking Structure Repairs	\$1,098,223
	Total	\$57,966,742

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EXHIBIT A

Project	Airport	Cost
WA042	Bag Claim Remodeling, Renovation and Expansion	\$41,283,835
WA044	In-line Baggage Screening	\$4,339,067
WA139	Redundant Main Electric Service Feed	\$8,480,020
WA141	Training Facility	\$2,765,597
WA177	Parking Structure Repairs	\$1,098,223
	Total	\$57,966,742

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(ITEM *) RESOLUTION expressing official intent regarding certain capital expenditures to be reimbursed from the proceeds of an obligation.

WHEREAS Milwaukee County, Wisconsin (the "*Issuer*") now wishes to advance moneys from available funds to pay the costs of certain capital projects described in *Exhibit A* attached hereto and made a part hereof (the "*Projects*"); and

WHEREAS all or a portion of the expenditures relating to the Projects and to be made from such funds (the "*Expenditures*") (i) have been paid within the sixty (60) days prior to the passage of this Resolution, or (ii) will be paid on or after the passage of this Resolution; and

WHEREAS the Issuer reasonably expects to reimburse itself or pay for the Expenditures with the proceeds of airport revenue bonds;

NOW THEREFORE Be It Resolved by the County Board of Supervisors of Milwaukee County, Wisconsin, as follows:

Section 1. The Issuer reasonably expects to reimburse or pay the Expenditures with proceeds of airport revenue bonds.

Section 2. The maximum principal amount of the obligations expected to be issued for the Projects (including issuance expenses) and to be made from the funds is \$57,966,742 in airport revenue bonds.

Section 3. Any costs paid prior to 60 days before the adoption of the reimbursement resolution will be financed by cash from the Airport Reserve Account

Section 4. All actions of the officers, agents and employees of the Issuer that are in conformity with the purposes and intent of this Resolution, whether taken before or after the adoption hereof, are hereby ratified, confirmed and approved.

Section 5. This Resolution shall be in full force and effect immediately upon its adoption.

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EXHIBIT A

Project	Airport	Cost
WA042	Bag Claim Remodeling, Renovation and Expansion	\$41,283,835
WA044	In-line Baggage Screening	\$4,339,067
WA139	Redundant Main Electric Service Feed	\$8,480,020
WA141	Training Facility	\$2,765,597
WA177	Parking Structure Repairs	\$1,098,223
	Total	\$57,966,742

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MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Airport Replacement Reimbursement Resolution

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input checked="" type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	See explanation	0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated. ¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

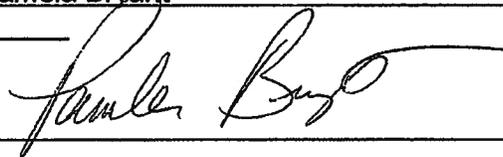
A. The Office of the Comptroller is requesting approval of the attached reimbursement resolution in accordance with US Treasury regulations to allow the County to reimburse itself for expenditures incurred prior to the issuance of the bonds.

B. Depending upon project implementation, costs incurred prior to the issuance of the bonds would be financed temporarily with general County revenue. However, upon issuance of the bonds the County would be reimbursed for these costs.

C. See item B.

D. N/A.

Department/Prepared By Pamela Bryant

Authorized Signature 

Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

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**COUNTY OF MILWAUKEE
INTER-OFFICE COMMUNICATION**

DATE : January 14, 2013

TO : Supervisor Marina Dimitrijevic , Chairwoman, County Board of Supervisors

FROM : Pamela Bryant, Capital Finance Manager, Office of the Comptroller

SUBJECT : **Corporate Purpose Bond Reimbursement Resolution**

Request

The Office of the Comptroller is requesting the approval of the attached reimbursement resolution to express the County's intent to reimburse itself for expenditures relating to capital projects included in the 2013 Adopted Capital Improvements Budget that are incurred prior to the issuance of General Obligation Corporate Purpose Bonds or Notes.

Background

The 2013 Adopted Capital Improvements Budget included \$26,727,111 of new corporate purpose bond financing for various capital projects, a reallocation of \$2,959,920 of anticipated bond financing from Project WP191 Moody Pool Refurbishment to various Parks projects, and a change in scope for the remaining \$2,038,622 in the Moody Pool Refurbishment project and \$3,804,499 in financing for three highway projects.

The three highway projects were approved as appropriation transfers to utilize bonds that would be issued in 2013 to finance \$300,000 for Project WH089012 – 13th Street and Puetz Intersection, \$2,207,819 for Project WH20052 – West Oklahoma Road (108th to 76th Street) and Project WH02301 – West Mill Road (84th to 91st, 56th to 43rd).

The 2013 Adopted Capital Improvements Budget included Project WT070 Bus Protector Shields. The total budget for the project is \$745,000 and financing was anticipated to be provided from Federal revenue of \$596,000 and general obligation bond proceeds of \$149,000. Upon further review by the Office of the Comptroller, it has been determined that the project is not eligible for general obligation bond financing due to the fact that the unit costs for the shields are below the County's capitalization threshold. The \$26,727,111 included in the 2013 Adopted Capital Improvements Budget is reduced by \$149,000 to \$26,578,111.

The reimbursement resolution will allow spending to occur prior to the issuance of bonds.

The maximum amount of the reimbursement resolution (\$36,100,000) is greater than the total bond amounts budgeted for the projects (\$35,381,152) because the reimbursement resolution amount includes the estimated cost of issuance.

Proposed Bond Sales

The Office of the Comptroller is submitting separate resolutions this Board cycle in order to authorize the sale of the bonds and to delegate the approval of the sale to the Comptroller in order to finance various capital improvement projects included in the 2013 Capital Improvement Budget and projects that were previously approved by the Board in 2011 and 2012.

U.S. Treasury Regulation Compliance

U.S. Treasury Regulation Section 1.150-2 describes the conditions under which the County may expend County funds on a project currently and later reimburse itself with bond proceeds. The first step in providing for such reimbursement to occur is an expression of intent by the County to reimburse itself for expenditures incurred prior to issuing the bonds. Therefore, the attached resolution expresses that intent.

Expressing this intent will allow the County to reimburse itself for expenditures incurred as far back as 60 days prior to the approval of the attached reimbursement resolution. Any work on the project that is done earlier than 60 days prior to the approval date would not be eligible for reimbursement.

Recommendation

The Office of the Comptroller recommends that the Finance, Personnel, and Audit Committee approve the accompanying resolution that expresses the County's intent, in accordance with U.S. Treasury Regulation Section 1.150-2, to reimburse itself for expenditures that will occur prior to the issuance of the bonds.



Pamela Bryant
Office of the Comptroller

pc: Chris Abele, County Executive
Willie Johnson Jr., Co-Chair, Finance, Personnel, and Audit Committee
David Cullen, Co-Chair, Finance, Personnel, and Audit Committee
Joe Czarneski, County Clerk
Dan Diliberti, County Treasurer
Pamela Bryant, Capital Finance Manager, Office of the Comptroller
Justin Rodriguez, Capital Finance Analyst, Office of the Comptroller
Kelly Bablitch, Chief of Staff, County Board
Stephen Cady, County Board Fiscal and Budget Analyst
David Anderson, Public Financial Management, Inc.
Chuck Jarik, Chapman and Cutler LLP
Emile Banks, Emile Banks & Associates LLP

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(ITEM *)

A RESOLUTION

RESOLUTION expressing official intent regarding certain capital expenditures to be reimbursed from the proceeds of an obligation.

WHEREAS Milwaukee County, Wisconsin (the "*Issuer*") now wishes to advance moneys from available funds to pay the costs of the capital projects described in *Exhibit A* attached hereto and made a part hereof (the "*Project*"); and

WHEREAS all or a portion of the expenditures relating to the Projects and to be made from such funds (the "*Expenditures*") (i) have been paid within the sixty (60) days prior to the passage of this Resolution, or (ii) will be paid on or after the passage of this Resolution; and

WHEREAS the Issuer reasonably expects to reimburse itself or pay for the Expenditures with the proceeds of general obligation bonds or notes;

NOW THEREFORE Be It Resolved by the County Board of Supervisors of Milwaukee County, Wisconsin, as follows:

Section 1. The Issuer reasonably expects to reimburse or pay the Expenditures with proceeds of general obligation bonds or notes.

Section 2. The maximum principal amount of the obligations expected to be issued for the Projects and to be made from the funds is \$36,100,000 in general obligation bonds or notes.

Section 3. All actions of the officers, agents and employees of the Issuer that are in conformity with the purposes and intent of this Resolution, whether taken before or after the adoption hereof, are hereby ratified, confirmed and approved.

Section 4. This Resolution shall be in full force and effect immediately upon its adoption.

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EXHIBIT A

Project Number	Project Name	Amount
WH01002	West Mill Rd. (N 43rd to N Teutonia Avenue)	\$76,988
WH01017	South 76th St. (W. Puetz Rd. to W. Imperial Dr.)	\$127,336
WH02012	South 68th St. (W. Ryan Rd. to House of Corrections)	\$22,957
WH02015	North Cape Rd. (Hi-View Dr. to S. Carroll Circle)	\$68,871
WH02201	N. 107th St. (Brown Deer to North County Line)	\$453,515
WH08701	Ryan Rd. Culvert (East of S. 112th)	\$40,813
WT04901	Replace Bus Vacuum System at Kinnickinnic Garage	\$153,048
WT05201	Replace Fire Alarm System at Fond Du Lac Garage	\$51,016
WT05301	Replace Bus Vacuum System at Fiebrantz Garage	\$51,016
WT05401	Replace Bus Wash System at Kinnickinnic Garage	\$153,048
WT05601	Replace HVAC System at Kinnickinnic Garage	\$108,154
WT06601	Replace Bus Wash System at Fiebrantz Garage	\$84,686
WT06901	Replace Underground Storage Tanks at MCTS Fleet	\$56,117
WV02201	Franklin Landfill Infrastructure	\$71,565
WP12904	Basketball Courts	\$81,625
WP16705	Veterans Park Pavilion and Restroom Replacement	\$408,127
WP24701	Greenfield Park Shelter #3 RR Replacement	\$51,016
WP24702	Greenfield Park Shelter RR #5 Replacement	\$51,016
WP24801	Sports Complex Security and Fire Sys Replacement	\$51,016
WP25401	Whitnall Park Golf Course Pedestrian Bridges	\$176,923
WP25702	KK Sports Complex #1 Fencing	\$10,652
WP26001	Holler Park Pool- Coersion to Sand Filtration	\$122,438
WP26401	Estabrook Dam Impoundment Sediment Remediation	\$1,224,381
WP26701	Oak Leaf Parkway- Oak Leaf Trail Program	\$279,261
WP27001	Oak Creek Parkway Lighting System	\$405,576
WP27201	Noyes Pool Roof Replacement	\$132,539
WP27301	Grobschmidt Park Pool Rehabilitation	\$165,291
WP27401	Hales Corners Pool Main Drain Replacement	\$20,406
WP28001	Menomonee River Parkway Reconstruction	\$102,032
WZ05701	Aviary Roof Replacement	\$78,871
WZ07801	Zoo Elephant Yard Shading Structure	\$155,628
WZ10301	Zoo Train Garage Overhead Crane	\$84,482
WZ10401	Zoo Giraffe Building Upper Roof Replacement	\$86,727
WE04801	EMS- Zoll Cardiac Monitor/Defibrillator Z Series	\$1,147,857
WS03801	Coggs Canopy Renovation	\$97,950
WS04005	McGovern Main Kitchen Replacement	\$36,968
WG01401	Grounds South Rehabilitation	\$1,243,971
WC01301	Criminal Justice Center Deputy Workstations	\$392,593
WC05001	Courthouse - Courtroom Public Address System Rep	\$395,101
WC07001	Domestic Violence Area Reconstruction	\$234,673

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Project Number	Project Name	Amount
WC07801	Milwaukee Justice Center Area Build Out	\$431,594
WC08101	Safety Building Cooling Tower Replacement	\$154,272
WC08601	City Campus Cooling Tower	\$154,884
WC08701	New Huber Facility	\$157,945
WO03801	Marcus Center HVAC Upgrade	\$2,666,701
WO04801	Wil-O-Ways Grant HVAC Rehab	\$90,196
WO06011	KK Parkway - S. 57th Street to S. 60th Street	\$200,309
WO07101	Wil-O-Way Grant Recreation Center 2nd ADA Exit	\$19,080
WO07301	Underwood Creek Prkwy Trail- W of Swan Blvd.	\$78,973
WO11201	Fleet General Equipment	\$2,769,549
WO11205	Fleet Parks Equipment	\$1,821,878
WO11501	Steam to Natural Gas Conversion- Fleet,CCC,Parks	\$1,020,317
WO13201	Wil-O-Ways Underwood Recreation Center HVAC	\$47,955
WO13301	Medical Examiner Cryostat Machine	\$52,036
WO13601	Trimborn Farm Stone Barn Roof Replacement	\$59,064
WO21501	Storage Expansion	\$408,127
WO21801	Infrastructure Replacement	\$510,159
WO22102	Clean Agent Fire Suppression System in MER	\$181,821
WO22103	Phase 2 Upgrade of MER Server Room @ CJF	\$915,837
WO43301	Glass Partition Barrier Extension	\$145,905
WO51701	War Memorial Renovations	\$2,040,839
WO61401	Build Out Ten Sites to Digital	\$1,879,596
WO62101	Windows Migration	\$1,978,545
WO88803	Uihlein #2 elevator	\$576,275
WH020052	West Oklahoma, 108th to 76th Street	\$2,252,676
WH02301	West Mill Rd. (84th to 91st, 56th to 43rd)	\$1,323,025
WH089012	13th St. and Puetz Intersection	\$306,095
WP070252	Lindberg Rehab	\$816,254
WP191012	Moody Pool	\$2,080,042
WP20201	MLK Comm Cnt HVAC	\$1,688,543
WP27101	Johnson Pavilion	\$387,721
WP279012	Humboldt Park Walk	\$127,540
TOTAL		\$36,100,000

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MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Corporate Purpose Reimbursement Resolution

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input checked="" type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

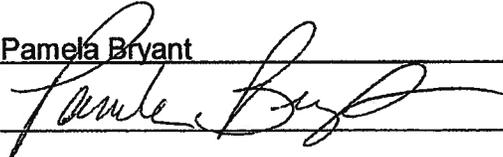
	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	See Explanation	
	Revenue		
	Net Cost		
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

- A. The Office of the Comptroller is requesting approval of the attached reimbursement resolution in accordance with US Treasury regulations to allow the County to reimburse itself for expenditures incurred prior to the issuance of the bonds.
- B. Depending upon project implementation, costs incurred prior to the issuance of the bonds would be financed temporarily with general County revenue. However, upon issuance of the bonds the County would be reimbursed for these costs.
- C. See item B.
- D. N/A.

Department/Prepared By Pamela Bryant
Authorized Signature 
Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

**COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION**

DATE : January 18, 2013
TO : Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors
FROM : Scott B. Manske, Comptroller
SUBJECT: Monthly Update of the 2012 Fiscal Projection of Milwaukee County (Dec 2012)+

Policy Issue

County Ordinance 56.02(2) was modified to reflect changes adopted under 2011 Wisconsin Act 62 which created the Office of the Comptroller. A new requirement calls for a monthly update of the fiscal condition of the County to the County Board. To comply with this ordinance, the Comptroller is providing the County Board with a monthly update to the projection of 2012 year-end financial results by using the 3rd quarter fiscal report that was submitted to the County Board and County Executive during the December 2012 cycle of the County Board.

Updated 2012 Year-end Fiscal Projection – December 2012

Based on financial results through the 3rd quarter of 2012 and updated information from certain departments the County is projected to have a surplus of \$7.0 million for 2012. The Milwaukee County's projected 2012 surplus as of the third quarter was \$ 8.7 million. The projected surplus as of the 2nd quarter of 2012 was \$5.6 million.

The projected surplus assumes a balance of \$903,264 in the contingency fund is applied to offset departmental and non-departmental deficits. There is a pending appropriation transfer of \$38,579 that could reduce the available surplus in the contingency fund to \$864,685 should it be approved at the County Board meeting on February 7, 2013.

Update Fiscal Status since 3rd Quarter Report was Issued

The following changes were made to departmental year-end projections previously submitted to the County Board in December 2012:

Department	Previous Projection	New Projection	Change
Medical Examiner	\$64,300	(\$90,000)	(\$154,300)
Parks Department	(\$236,869)	\$0	\$236,869
Zoological Department	(\$259,200)	(\$512,252)	(\$253,052)
2011 Furlough Payments	\$0	(\$1,426,000)	(\$1,426,000)
Contingency Fund	\$988,885	\$903,264	(\$85,621)
Total Change			(\$1,682,104)

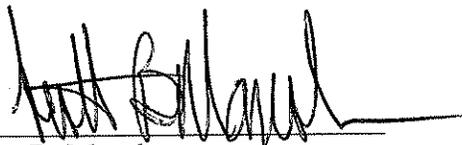
A report of major departmental variances is attached to this report.

2012 Pension Contribution

The 2012 Budget includes an appropriation of \$27.4 million for contributions toward the County's Employee Retirement System (ERS) plan. Based upon the most recent actuarial report for ERS, the County is only required to contribute \$24.9 million to the pension plan in 2012. The required contribution is \$2.5 million less than the amount budgeted. The County Board and County Executive approved the original appropriation to ERS. Therefore, the County Board must approve any change to the pension contribution from the amount included in the budget. The projected surplus does not include any savings from a reduced pension contribution for 2012 pending board action

Committee Action

This is an informational report only. This report should be referred to and reviewed by the Finance and Audit Committee.



Scott B. Manske
Comptroller

Attachments

cc: Chris Abele, County Executive
Supervisor Willie Johnson, Jr., Co-Chairman, Finance, Audit and Personnel Committee
Supervisor David Cullen, Co-Chairman, Finance, Audit and Personnel Committee
Finance, Audit and Personnel Committee
Patrick Farley, Director, Department of Administrative Services
Craig Kammholz, Fiscal and Budget Administrator
Stephen Cady, Fiscal and Budget Analyst, County Board
Carol Mueller, Head Committee Clerk, County Board
Department Heads

Milwaukee County – Updated 2012 Fiscal Projection (Dec 2012)				
Major Departmental Surplus/ Deficits				
Dept	Department Name	Current Projection	Projection as of Sept 30, 2012	Projection as of June 30, 2012
1150	Das- Risk Management	\$115,000	\$115,000	\$0
1160	Das-IMSD	\$0	\$0	\$0
1140	Department of Human Resources	\$336,400	\$336,400	\$0
2000	Combined Courts	\$29,500	\$29,500	\$159,900
3090	County Treasurer	\$582,800	\$582,800	
3400	Register of Deeds	\$330,200	\$330,200	\$424,400
4000	Sheriff's Office	(\$2,546,900)	(\$2,546,900)	(\$1,622,600)
4500	District Attorney	(\$189,100)	(\$189,100)	(\$255,000)
4900	Medical Examiner	(\$90,000)	\$64,300	\$60,000
5300	DOT - Fleet Services	(\$245,700)	(\$245,700)	(\$76,900)
5600	DOT - Transit/Paratransit	\$4,926,400	\$4,926,400	\$2,935,700
5800	DOT - Administration	\$146,800	\$146,800	\$0
6300	Behavioral Health Division	(\$789,800)	(\$789,800)	(\$1,709,100)
7900	Department on Aging	\$500,000	\$500,000	\$0
7990	Department of Family Care (CMO)	(\$1,200,800)	(\$1,200,800)	(\$1,385,200)
7990	Contribution from Family Care Reserve	\$1,200,800	\$1,200,800	\$1,385,200
8000	Department of Health and Human Services	\$1,671,400	\$1,671,400	\$1,289,600
9000	Department of Parks, Recreation and Culture	\$0	(\$236,869)	(\$475,289)
9500	Zoological Department	(\$512,252)	(\$259,200)	\$0
	2011 Furlough Payments	(\$1,426,000)	\$0	\$0
	Other	\$58,279	\$58,279	\$650,483
	Departmental Total	\$2,897,027	\$4,493,510	\$1,381,194
Non Departmental				
Dept	Department Name	Current Projection	Projection as of Sept 30, 2012	Projection as of June 30, 2012
120	Capital Projects	\$0	\$0	\$0
1945	Unallocated Contingency Fund	\$903,624	\$988,885	\$989,000
1950	Fringe Benefits	\$3,803,898	\$3,803,898	\$3,803,898
1991	Reserve for Delinquent Property Taxes	\$0	\$0	\$0
1993	State Shared Revenue	(\$195,000)	(\$195,000)	(\$195,000)
1996	Sales Tax Revenue	\$600,000	\$600,000	\$600,000
9960	Debt Service Fund/Frodttert Lease Payment	(\$1,000,000)	(\$1,000,000)	(\$1,000,000)
		\$4,112,522	\$4,197,783	\$4,197,898
	Projected County Surplus(Deficit)	\$7,009,549	\$8,691,293	\$5,579,092

**COUNTY OF MILWAUKEE
Inter-Office Communication**

Date: January 15, 2013

To: Patricia Jursik, Chairperson, Committee on Economic & Community Development

From: Jerome J. Heer, Director of Audits, Milwaukee County Comptroller's Office

Subject: Workforce Development Contract with Wisconsin Regional Training Partnership/Building Industry Group Skilled Trades Employment Program (WRTP/BIGSTEP) (File No 12-632)

At the December 10 meeting of the Committee on Economic & Community Development, the committee discussed the accountability provisions of the contract between Milwaukee County and WRTP/BIGSTEP. Since that meeting, the Comptroller's Audit Services Division has met with staff and the contractor to review the plan for complying with fiscal and programmatic reporting provisions of the contract. At our last meeting, on January 11, 2013, we also discussed the process and format with Baker Tilly, the firm conducting the independent external review of quarterly reports as required in the contract.

There has been considerable progress in addressing all elements of the contract related to documentation and reporting of financial and performance activity. The first quarterly report is substantially complete and is awaiting the review by Baker Tilly prior to submission to the Committee and to our office. Because of the time needed to address the detailed reporting requirements, the first report was delayed. However, the second quarterly report will be issued shortly after the first and we will work with all parties to ensure that the remaining four reports that will follow will be timely.



Jerome J. Heer

JJH/cah

cc: Scott Manske, Milwaukee County Comptroller
Chris Abele, Milwaukee County Executive
Committee Members, Economic & Community Development Committee
Jill Didier, Economic Development Coordinator
Amber Moreen, Chief of Staff, Milwaukee County Executive's Office
Patrick Farley, Director, Department of Administrative Services
Craig Kammholz, Fiscal & Budget Administrator, DAS
Jessica Janz-McKnight, County Board Research Analyst
Janelle Jensen, Committee Clerk
Mark Kessenich, Vice President, WRTP/BIGSTEP
Earl Buford, Executive Director, WRTP/BIGSTEP
Matt Waltz, Director of Business Administration, WRTP/BIGSTEP

COUNTY OF MILWAUKEE
INTER-OFFICE COMMUNICATION

DATE: January 4, 2013

TO: Supervisor Patricia Jursik, Chairperson, Economic & Community Development Committee

FROM: Craig Kammholz, Fiscal and Budget Administrator, Department of Administrative Services

SUBJECT: DAS-Fiscal Affairs response to the referral from the Committee on Economic and Community Development for a preliminary report addressing guidelines, the number of jobs created, accountability, and expenditures (thus far) relating to the Milwaukee County's (County) Ready-to-Work Workforce Development Program (Program) agreement with the Wisconsin Regional Training Partnership/Building Industry Group Skilled Trades Employment Program (WRTP/Big Step).

Request

Provided is a report outlining guidelines, the number of jobs created, accountability, and expenditures related to WRTP/Big Step's Program administration pursuant to the referral from the Committee on Economic and Community Development (Committee) relating to File No. 12-986.

2012 Agreement Timeline

The existing agreement between WRTP/Big Step and the County was approved by the Committee on July 23rd, by the full County Board on July 26th, and by the County Executive on August 1st. The agreement was executed August 1, 2012.

Accountability/Guidelines

Based upon DAS-Economic Development staff and WRTP/Big Step staff verbal updates (and subsequent discussion) relating to a proposed agreement for services during the June 18th and July 16th Committee meetings, Committee members expressed the need for accountability, oversight, and reporting requirements. As a result, the agreement was crafted in a way to address these various issues. In particular, the following provisions were included as part of the final executed agreement:

1. WRTP/Big Step is to provide **Quarterly Reports** to the County Board, Office of the Comptroller, and DAS-Economic Development. The reports are to include the following information:
 - a. Participant data
 - b. Narrative data on industry and employer outreach and coordination
 - c. Community Outreach and participation efforts
 - d. Coordination with Milwaukee County in meeting both internal workforce objectives and coordination with economic development-related initiatives
 - e. Identification of the number of individuals served by category of services received

- f. Identify the purposes for which the County funds have been spent during the quarter (including, but not limited to):
 - i. Costs for various programs
 - ii. Personnel costs
 - iii. Other direct expenditures
 - iv. Other indirect expenditures
2. An **Outside Auditor** will be used in order to provide quarterly reviews of WRTP/Big Step's Program performance and compliance reports to the County. This provides an oversight component that will be used to determine whether the quarterly reports are reasonable and accurately reflect the activities and cost expenditures that WRTP/Big Step are reporting.
3. **Access Rights** and **Records/Program Review** requirements allow for the County's Audit Division to review and examine all documents and records related to the agreement as well as have on-site visitation of Program activities.
4. **Administrative Costs** that WRTP/Big Step can charge as part of this agreement, are limited to 15% of the total agreement amount (or \$150,000).
5. Specific **Program Deliverables** are outlined in the agreement's Scope of Services and Work Plan/Timeline.

Program deliverables include the following:

- a. Place 1,000 Milwaukee County residents on a career pathway
- b. Place 500 Milwaukee County residents in employment

Although the existing Agreement specifically defines program deliverables and reporting requirements, staff from DAS-Economic Development, Comptroller Office, and DAS-Fiscal Affairs has met with WRTP/Big Step staff and are in the process of developing a more detailed reporting matrix that will track deliverables and related costs.

6. The County has the **Option to Terminate** the Agreement for violation by WRTP/Big Step. If WRTP/Big Step fails to fulfill its obligations under the agreement in a timely or proper manner, or violates any agreement provisions, the County maintains the right to terminate the agreement by giving thirty (30) days written notice to WRTP/Big Step specifying the violations and the effective date of termination. In the event of termination, WRTP/Big Step will be paid for the work completed effective the date of termination.

Number of Jobs Created

WRTP/Big Step provided 2012 1st quarter (August 1st – November 30th) job information on January 4th, 2013. As of November 30, 2012, WRTP/Big Step reported 547 Milwaukee County residents were served as a result of increased activity through its community referral network, general information orientation sessions, and resource information sessions. Of these 547

Milwaukee County residents, 171 participated and completed career pathways training and 47 were placed in employment with an average hourly rate of \$15.61/hr.

Expenditures

WRTP/Big Step provided 2012 1st quarter (August 1st – November 30th) expenditure information on January 4th, 2013. As of November 30, 2012, WRTP/Big Step reports \$167,678 in Program expenditures.



Craig Kammholz, Fiscal and Budget Administrator

cc: Chris Abele, County Executive
David Bowen, Vice-Chairperson, Economic & Community Development Committee
Michael Mayo, Committee Member, Economic & Community Development Committee
Jim Schmitt, Committee Member, Economic & Community Development Committee
Willie Johnson, Committee Member, Economic & Community Development Committee
John Weishan, Committee Member, Economic & Community Development Committee
Steve Taylor, Committee Member, Economic & Community Development Committee
Amber Moreen, Chief of Staff, County Executive Office
Patrick Farley, Director, Department of Administrative Services
Craig Kammholz, Fiscal & Budget Administrator, Department of Administrative Services
Jessica Janz-McKnight, County Board Research Analyst

Exhibit 1 – Original Referral

**MILWAUKEE COUNTY
INTER-OFFICE MEMORANDUM**

DATE: December 10, 2012

TO: Craig Kammholz, Fiscal and Budget Administrator, Department of Administrative Services
Vincent Masterson, Fiscal and Strategic Asset Coordinator, Department of Administrative Services
Scott Manske, Comptroller

FROM: Janelle M. Jensen, Committee Clerk
Committee on Economic and Community Development

SUBJECT: **File 12-986** - From the Fiscal and Budget Administrator, Department of Administrative Services, requesting authorization to create a County-controlled Workforce Development Trust Fund for unexpended year-end County appropriations designated for services provided by the Wisconsin Regional Training Partnership/Building Industry Group Skilled Trades Employment Program (WRTP/BIGSTEP) and to negotiate an addendum to the existing agreement that includes language establishing a County-controlled Workforce Development Trust Fund. **(Referred to the Committees on Economic and Community Development and Finance, Personnel and Audit)**

The Committee on Economic and Community Development at its meeting on December 10, 2012 considered the subject matter.

Thereafter, Supervisor Schmitt requested a preliminary report from the Department of Administrative Services addressing guidelines, the number of jobs created, accountability and expenditures thus far.

Mr. Manske indicated that a communication would be provided to Committee members this week offering a status update and quarterly reports will address goals, timelines and expenditure information.

A formal report should be provided to the Committee in January 2013.

This memorandum is to serve as a referral to all parties concerned.

Note: If you have previously complied with this request, please disregard.

Janelle M. Jensen

Committee Clerk
Committee on Judiciary, Safety and General Services

1 By Supervisor Mayo

2

3

4

A RESOLUTION

5

Authorizing and directing the Comptroller’s Audit Services Division to conduct an
6 audit of General Mitchell international Airport (GMIA) as it relates to minority and
7 gender hiring practices, historical data, promotions, termination practices and
8 turnover.

9

10

WHEREAS, the 2013 Adopted Budget provides 289 County staff for GMIA;

11

and

12

13

WHEREAS, it is vital that GMIA is reflective of the County’s commitment to
14 diversity because it is the “gateway” for persons visiting Milwaukee County for
15 business or leisure; and

16

17

WHEREAS, questions have been raised about diversity and employment
18 practices at GMIA; and

19

20

WHEREAS, as a means to ensure diversity is being stressed and employment
21 practices are fair and consistent it is reasonable and prudent that an audit be
22 conducted of employment practices at GMIA, now, therefore

23

24

BE IT RESOLVED, the Milwaukee County Board of Supervisors does hereby
25 authorize and direct the Comptroller’s Audit Services Division to conduct an audit
26 of GMIA as it relates to minority and gender hiring practices, historical data,
27 promotions, termination practices and turnover.

28

29

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 11, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Authorizing and directing the Comptroller’s Audit Services Division to conduct an audit of General Mitchell international Airport (GMIA) as it relates to minority and gender hiring practices, historical data, promotions, termination practices and turnover.

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input checked="" type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency’s Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency’s Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues <input type="checkbox"/> | <input type="checkbox"/> Decrease Operating Revenues |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	0	
	Revenue	0	
	Net Cost	0	
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

1 By Supervisor Alexander

2 **A RESOLUTION**

3 Authorizing and Directing the Employee Benefits Director, in conjunction
4 with the Employee Benefits Workgroup, to examine the potential benefits from
5 transferring employees/retirees from the current self-funded traditional healthcare
6 plan coverage to coverage provided under the Patient Protection and Affordable
7 Care (PPAC) Act

8 WHEREAS, the reelection of President Obama will help ensure that the
9 components of "Obamacare" or the Patient Protection and Affordable Care (PPAC)
10 Act, continue to be implemented, including the planned healthcare exchanges
11 that are to be operational by January 1, 2014; and

12 WHEREAS, Milwaukee County is budgeted to spend approximately \$110.6
13 million on employee/retiree healthcare costs in 2013, with costs expected to rise
14 faster than general inflation in coming years; and

15 WHEREAS, based on a June 2012 Fiscal Forecasting report, the County is
16 expecting a structural deficit that will grow to approximately \$91.8 million by
17 2017; and

18 WHEREAS, in December 2009, the Department of Audit issued an audit
19 regarding the County Parks system that stated *...the Parks deferred maintenance*
20 *figure likely exceeds \$200 million, overwhelms available resources, and is rising;*
21 and

22 WHEREAS, a memo dated June 25, 2012, from the Director of
23 Transportation related to the Milwaukee County Transit System's Five-Year
24 Sustainability Analysis indicated that:

- 25 • To sustain 2012 service levels without additional state or federal
26 funding, tax levy support increases from \$19 million to \$48 million
27 by 2017
- 28 • Holding tax levy and state operating aid at 2012 levels over the next
29 five years results in significant reductions in service ranging from a
30 19 percent to a 29 percent cut in service hours

31 ; and

32 WHEREAS, the PPAC Act requires that healthcare exchanges be created in
33 every state by January 1, 2014; and

34 WHEREAS, employers can opt out of providing their own healthcare plans
35 and place employees in the government program in exchange for paying a \$2,000
36 per active employee penalty to the federal government; and

37 WHEREAS, the penalty payment to the federal government would be
38 approximately \$7.1 million if the County directed employees/retirees to the
39 healthcare exchange to purchase health insurance coverage rather than providing
40 a County-sponsored plan; and

41 WHEREAS, depending what is recommended by the Employee Benefits
42 Director and Workgroup, and approved by the County Board, the savings could
43 be as much as \$103.5 million, which could be used to help subsidize the
44 healthcare exchange cost and/or support other County programs and services; and

45 WHEREAS, the healthcare exchanges will establish larger risk pools and
46 subsidize healthcare premiums for individuals/families whose income is as much
47 as 400 percent of the federal poverty guidelines, or approximately \$88,200 for a
48 family of four; and

49 WHEREAS, the implementation of the healthcare exchanges may provide
50 Milwaukee County an opportunity to significantly lower its healthcare expenses,
51 allowing scarce tax levy dollars to be used to fund parks, transit or other County
52 programs and services; and

53 WHEREAS, Milwaukee County should explore any new opportunities that
54 may become available with the continued rollout of the PPAC Act that could save
55 tax levy dollars and the need for any additional sales tax increases to fund County
56 operations; now, therefore,

57 BE IT RESOLVED, that the Milwaukee County Board of Supervisors hereby
58 authorizes and directs the Employee Benefits Director, working in conjunction
59 with the Employee Benefits Workgroup, to explore the potential opportunities that
60 may be available within the Patient Protection and Affordable Care (PPAC) Act for
61 the provision of healthcare for employees and retirees; and

62 BE IT FURTHER RESOLVED, that the Employee Benefits Director shall issue
63 a report to the Committee on Finance, Personnel and Audit by May 1, 2013, on
64 the feasibility of utilizing all or some of the benefits that may be available under
65 the PPAC Act for providing healthcare coverage to employees and retirees,
66 including any related cost-benefit analysis.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: A resolution authorizing and directing the Employee Benefits Director, in conjunction with the Employee Benefits Workgroup, to examine the potential benefits from transferring employees/retirees from the current self-funded traditional healthcare plan coverage to coverage provided under the Patient Protection and Affordable Care (PPAC) Act

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact
<input checked="" type="checkbox"/> Existing Staff Time Required
<input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below)
<input type="checkbox"/> Absorbed Within Agency's Budget
<input type="checkbox"/> Not Absorbed Within Agency's Budget
<input type="checkbox"/> Decrease Operating Expenditures
<input type="checkbox"/> Increase Operating Revenues
<input type="checkbox"/> Decrease Operating Revenues | <input type="checkbox"/> Increase Capital Expenditures
<input type="checkbox"/> Decrease Capital Expenditures
<input type="checkbox"/> Increase Capital Revenues
<input type="checkbox"/> Decrease Capital Revenues
<input type="checkbox"/> Use of contingent funds |
|--|--|

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

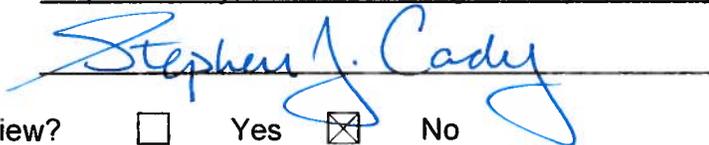
- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

Approval of this resolution will authorize and direct the Employee Benefits Director, working in conjunction with the Employee Benefits Workgroup, to explore the potential opportunities that may be available within the Patient Protection and Affordable Care (PPAC) Act for the provision of healthcare for employees and retirees. In addition, issue a report to the Committee on Finance, Personnel and Audit by May 1, 2013, on the feasibility of utilizing all or some of the benefits that may be available under the PPAC Act for providing healthcare coverage to employees and retirees, including any related cost-benefit analysis.

Approval of this resolution will not require an expenditure of funds, but will require staff time to study and prepare a report.

Department/Prepared By Stephen Cady, Fiscal and Budget Analyst, County Board

Authorized Signature



Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.



Date: December 28, 2012

To: Chairwoman M. Dimitrijevic
Supervisor W. Johnson, Jr.
Supervisor D. Cullen
Supervisor G. Broderick

CC: Jay Williams

**Subject: Report from the Milwaukee Public Museum
Fiscal 2013 – 1st Quarter Financial Update**

Summary

The continuing purpose of the museum is to educate, explore, discover and preserve the world and its people. MPM's mission, across time and cultures, is to be a world class museum that focuses on the intersections between people and the environment and the impact each has on the other.

For fiscal 2013, the "*Real Pirates*" exhibition opens to the public on December 14th so there is minimal impact in the first quarter ending November 30th. For the first quarter, base museum attendance was up 18% versus prior year for the same period with member attendance up 36%. Theater and Planetarium attendance was down one-third from a year ago as the prior year benefited from having an exhibit related show for Cleopatra. With Pirates opening in December, we anticipate the theater numbers should improve. In addition, the theater is being upgraded with a 3D capability which should open to the public in the second quarter.

The museum had a successful fall Gala on October 20th that took on the Pirate theme. Nearly 600 people were in attendance which exceeded expectations. Funds raised through this event support museum operations and augment the annual campaign.

Another successful museum sponsored event was Halloween Hauntings, which drew over 6,000 visitors on October 25th and 26th. This annual event is a favorite for local young families who come to the museum to trick or treat in a safe, fun and warm environment.

Financial Results (unaudited)

Attached are unaudited financial statements for the fiscal first quarter of 2013 (Sep – Nov 2012). Overall, first quarter results for the MPM show a loss of \$788,402 that was less than the budgeted amount of \$1,051,000 and less than the prior year for the same period which was a loss of \$1.6 million. Austerity measures to minimize expenses and investment gains on Endowment asset holdings helped offset less than planned levels of annual giving and theater revenues.

Milwaukee Public Museum

The most significant financial risk the museum faces in the near term is the continuing cash drain requirements to fund the Pension and Retiree Medical Obligations for former County Employees. The total obligation MPM is now facing is approximately \$16 million; \$10.4 million of which remains unfunded. In addition, cash required for needed capital repairs/improvements to the building envelope and its major electrical and mechanical systems is inadequate to meet the growing list of deferred maintenance projects. MPM continues to have discussions with County representatives on alternative solutions to address these issues. MPM anticipates recommendations will be brought to the County Board by the end of the first quarter in 2013. Until then, MPM management continues to take actions to increase revenues, minimize expenses and conserve cash.

MPM debt increase was a result of borrowings related to the new theater system being installed. The debt is tied to a donor gift agreement which will fund the debt repayment over the next several years.

Looking Forward

We continue to work on our infrastructure and permanent exhibits to improve the museum-going experience for our visitors. “*Real Pirates*” opens to the public on December 14th. The exhibition will run through May 27, 2013.

Please contact me if you have any questions or concerns with the enclosed materials.

Sincerely,

Michael A. Bernatz

Michael A. Bernatz
Chief Financial Officer
Milwaukee Public Museum

Milwaukee Public Museum

MPM Consolidated Statement of Activities for the Three Months Ended 11/30/12					
	YTD	YTD		Prior Year	Prior Year
	<u>Actual</u>	<u>Budget</u>	<u>Dev</u>	<u>Actual</u>	<u>Change</u>
Revenue:					
Contributions and Membership	528,150	795,608	(267,458)	807,628	(279,478)
Special Event Revenue	396,196	387,946	8,250	415,282	(19,086)
Public Support	875,594	875,594	0	875,594	0
Admissions	208,041	213,481	(5,440)	588,237	(380,196)
Theatre/Planetarium	88,568	190,783	(102,215)	135,820	(47,253)
Programs	10,596	19,168	(8,572)	17,756	(7,160)
Restaurant and Facility Rental	32,774	54,188	(21,414)	40,819	(8,045)
Retail	80,027	73,848	6,179	96,982	(16,955)
Other income	34,572	56,207	(21,634)	57,330	(22,758)
Net assets released from restrictions	421,837	477,030	(55,193)	333,141	88,696
Total Unrestricted Revenue	2,676,355	3,143,853	(467,498)	3,368,589	(692,234)
Operating Expenses:					
Curatorial	203,810	235,663	(31,853)	286,294	(82,484)
Exhibits	282,563	266,696	15,867	1,304,609	(1,022,046)
Special Events	162,615	172,465	(9,850)	184,322	(21,706)
Theatre/Planetarium	100,308	155,266	(54,958)	152,851	(52,543)
Programs	111,117	126,435	(15,318)	127,476	(16,359)
Restaurant and Facility Rental	3,472	2,953	519	5,333	(1,860)
Retail	87,168	89,414	(2,246)	105,032	(17,864)
Fundraising	283,242	350,284	(67,042)	336,018	(52,776)
Administrative	788,621	830,119	(41,498)	686,858	101,764
Facilities	705,691	833,470	(127,779)	764,282	(58,591)
Interest	51,905	51,905	(0)	54,885	(2,980)
Marketing	108,037	162,441	(54,405)	118,543	(10,507)
Depreciation	321,953	340,610	(18,657)	320,896	1,057
Total Operating Expenses	3,210,503	3,617,720	(407,217)	4,447,400	(1,236,896)
Inc (dec) in unrestricted net assets before non operating items	(534,149)	(473,867)	(60,281)	(1,078,811)	544,662
Non Operating Items:					
Pension & Post Retirement Benefits Expense	(147,048)	(150,000)	2,952	(186,616)	39,568
Investment Earnings	69,148	0	69,148	(25,931)	95,079
Loss on interest rate swap liability	21,852	0	21,852	13,036	8,816
Total Non Operating Items	(56,048)	(150,000)	93,952	(199,511)	143,463
Inc (dec) in unrestricted net assets	(590,197)	(623,867)	33,671	(1,278,322)	688,125
Changes in Temporarily Restricted Net Assets:					
Contributions	127,000	50,000	77,000	51,424	75,576
Investment Earnings	94,109	0	94,109	(43,022)	137,131
Net assets released from restrictions for operations	(421,837)	(477,030)	55,193	(333,141)	(88,696)
Inc (dec) in temporarily restricted net assets	(200,728)	(427,030)	226,302	(324,739)	124,011
Changes in Permanently Restricted Net Assets:					
Contributions	0	0	0	0	0
Investment Earnings	2,523	0	2,523	0	2,523
Net assets released from restrictions for operations	0	0	0	0	0
Inc (dec) in permanently restricted net assets	2,523	0	2,523	0	2,523
Inc (dec) in Net Assets	(788,402)	(1,050,898)	262,496	(1,603,061)	814,659

Milwaukee Public Museum

MPM Consolidated Statement of Financial Position as of 11/30/12					
	Consolidated	Consolidated		Consolidated	Prior Year
	11/30/12	8/31/12	Change	11/30/11	Change
Assets:					
Cash and cash equivalents	1,475,162	1,656,825	(181,663)	2,071,553	(596,391)
Investments	276,744	279,247	(2,503)	293,720	(16,976)
Accounts Receivable	54,420	66,150	(11,730)	19,435	34,985
Contributions Receivable -Current	912,064	1,017,450	(105,386)	742,056	170,008
Due From Other Entities	0	0	0	0	0
Inventories, net	55,429	23,411	32,018	55,236	192
Prepaid Expenses	201,162	247,781	(46,620)	186,823	14,338
Total Current Assets	2,974,980	3,290,864	(315,884)	3,368,824	(393,844)
Other Assets:					
Cash and investments held for endowment	6,335,584	6,462,411	(126,827)	6,622,401	(286,817)
Contributions Receivable - Long Term	2,228,893	2,228,893	0	946,907	1,281,986
Other Long Term Assets	0	0	0	0	0
Total Other Assets	8,564,477	8,691,304	(126,827)	7,569,308	995,169
Property & Equipment:					
Construction in Progress	397,111	117,792	279,319	50,991	346,120
Building Additions	19,312,542	19,310,494	2,048	19,276,603	35,939
Furniture, equipment and other improvements	10,642,972	10,607,955	35,017	10,482,577	160,394
Gross Property & Equipment	30,352,625	30,036,241	316,384	29,810,171	542,454
Less-Accumulated depreciation	(15,357,185)	(15,035,232)	(321,953)	(14,063,199)	(1,293,986)
Net Property & Equipment	14,995,439	15,001,009	(5,570)	15,746,972	(751,532)
Total Assets	26,534,896	26,983,177	(448,281)	26,685,104	(150,207)
Liabilities and Net Assets:					
Accounts Payable	855,219	894,678	(39,459)	926,615	(71,396)
Accrued Payroll & Benefits	614,270	531,990	82,280	611,446	2,825
Deferred Revenue	1,132,460	1,216,539	(84,079)	1,072,901	59,559
Interest Payable	17,031	17,852	(821)	18,013	(983)
Accrued Postretirement Benefits - Current	118,166	118,166	0	102,548	15,618
Notes Payable - Current	262,000	262,000	0	262,000	0
Capital Leases - Current	0	0	0	0	0
Total Current Liabilities	2,999,146	3,041,225	(42,079)	2,993,523	5,623
Accrued Postretirement Benefits	10,282,321	10,162,770	119,551	7,818,451	2,463,870
Interest Rate Swap Liability	262,692	284,544	(21,852)	259,978	2,713
Due to Other Entities	0	0	0	0	0
Notes Payable	4,629,500	4,345,000	284,500	4,541,500	88,000
Total Liabilities	18,173,660	17,833,539	340,120	15,613,453	2,560,206
Net Assets:					
Unrestricted	(541,896)	48,301	(590,197)	3,830,569	(4,372,465)
Temporarily Restricted	5,070,731	5,271,459	(200,728)	3,421,857	1,648,874
Permanently Restricted	3,832,401	3,829,878	2,523	3,819,225	13,176
Total Net Assets	8,361,236	9,149,638	(788,402)	11,071,651	(2,710,415)
Total Liabilities and Net Assets	26,534,896	26,983,177	(448,282)	26,685,104	(150,209)



David A. Clarke, Jr.
Sheriff

County of Milwaukee
Office of the Sheriff

DATE: November 20, 2012

TO: Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors

FROM: Richard Schmidt, Inspector, Milwaukee County Office of the Sheriff

SUBJECT: Request to Create Two Positions of Corrections Manager (Title Code 00077110) (PR 915E) for the County Correctional Facility Central of the Office of the Sheriff effective January 1, 2013.

REQUEST

The Sheriff of Milwaukee County requests the creation of two positions of Corrections Manager for the County Correctional Facility Central (CCFC) of the Office of the Sheriff.

BACKGROUND

The County Correctional Facility Central (CCFC) is a secure detention facility with total bed space of 960 beds for holding accused felons and misdemeanants until they are released on a pretrial basis or are adjudicated, and a small number of sentenced offenders awaiting transfers or hearings on new charges. There are 18 housing units including two special needs units. In 2013, 265 Correction Officers are authorized to staff the facility. In order to provide adequate supervisory coverage at the CCFC, the Office of the Sheriff requires a total of six positions of Corrections Manager – two per shift. The operation of the CCFC falls under Wisconsin Administrative Code Chapter DOC 350. Staffing of the CCFC at six positions provides for 24/7 coverage on all shifts.

The 2012 Adopted Budget for the Office of the Sheriff included 11 Corrections Managers - five positions for the CCFC and six positions for the CCFS. The 2013 Adopted Budget reduced Corrections Manager staffing to ten positions – four positions for the CCFC and six positions for the CCFS.

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Throughout 2012, current Corrections Managers were often required to work 12-hour shifts to provide adequate coverage. In addition, to minimize the hours required of the Corrections Managers, one Corrections Manager would serve as the Site-commander for both the CCFC and the CCFS, which would require traveling between the two facilities at times during a shift. With the reestablishment of a Superintendent at the CCFS, it will no longer be possible for one site commander to oversee both facilities, which would leave the remaining four Corrections Managers to work additional hours and shifts.

Corrections Managers serve as the on-site shift commanders and make the final decision in the following areas:

- Correctional Emergency Response Team (CERT) activities and authorization of Use of Force activities
- Staffing and Overtime decisions
- Discipline for both staff and inmates
- Emergency preparedness – serves as Incident Commander and supervises and implements emergency procedures
- Updates and ensures compliance with Policies and Procedures
- Budget – manages the budget and performs long range planning
- Chapter 51/Psychiatric and Medical Issues – acts as liaison between medical and security staff
- Liaison to Courts
- Monitors daily operations of the facility

FISCAL NOTE

The creation of two positions of Corrections Manager will result in increased costs of \$179,729 for 2013 for salary and fringe benefit costs and \$215,676 in 2014 for salary and fringe benefit costs. The to create the positions will be offset by holding additional positions vacant within the Office of the Sheriff.



Richard Schmidt, Inspector, Milwaukee County Office of the Sheriff

cc: Supervisor Willie Johnson, Jr., Co-Chairman, Finance and Audit Committee
Supervisor David Cullen, Co-Chairman, Finance and Audit Committee
Kerry Mitchell, DAS-Division of Human Resources
Deputy Inspector Kevin Nyklewicz, Office of the Sheriff

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Post Date:

CORRECTION MANAGER

All names will be removed from eligible lists one (1) year after eligibility is expired.

Working on an assigned shift any day of the week including weekends and holidays is required.

SALARY: \$60,757 to \$78,985

MINIMUM QUALIFICATIONS

EDUCATION: Possession of a high school diploma or G.E.D. equivalent is required. Possession of valid Wisconsin driver's license at time of application and maintained during incumbency is required. Possession of an associates', bachelor's and/or masters degree in Criminal Justice, Police Science, or Public Administration is preferred.

EXPERIENCE: Five (5) years of experience in corrections and/or law enforcement and one (1) year of experience in a supervisory capacity that must have included directing the work of subordinates, participating in the hiring process and participating in the disciplinary process. Supervisory experience is preferred. Experience managing the daily operations and security functions of a correctional facility including non-community access facilities, community operations, and court functions is preferred. Experience in staff development and/or public speaking is desirable; experience working with personal computers is desirable; active participation in professional associations and/or civic/community-based organizations is desirable.

KNOWLEDGE, SKILLS, AND ABILITIES: Knowledge of correctional institutional procedures and regulations; knowledge of leadership, management, and supervisory principles and practices; oral and written communication skills; supervisory skills; analytical skills; organizational skills; skill in commanding respect, maintaining discipline and taking prompt and decisive action in emergencies; skill in working with multicultural populations; ability to perform public relations; ability to use a personal computer; ability to interpret, evaluate and implement regulations, standards and policies; ability to produce a large volume of work done in a timely manner with limited resources and appropriate use of delegation; ability to work effectively and harmoniously with employees, department heads, law enforcement agency representatives, community agencies and the general public.

DUTIES: Under direction, to maintain security and custody of inmates; to act as the onsite shift commander in the absence of a higher ranking officer; to inspect and monitor operations of the booking sections, housing units, segregation units, special units, and visiting sections; to manage electronic surveillance and Huber release programs; to monitor the probation/parole revocation process; to prepare and review policy and procedures as required; to establish emergency procedures; to direct inmate program development; to manage the Huber/Work Release cancellation process; to manage the jail population and supervise inmate disciplinary process; to oversee the inmate grievance process; to participate in the hiring and development of correctional employees; to recommend discipline; to prepare staffing schedules ; to monitor staff; to coordinate the training of staff; to prepare and maintain adequate staffing patterns and to project future needs; to determine, implement and track performance measures; to coordinate and monitor computer system upgrades; to respond to open records request made by inmates, the general public and media; to assist with long range planning of the department; to assist in the development of alternatives to incarceration; and to perform such other duties as may be required or assigned.

THE EXAMINATION is open to qualified residents of Milwaukee County.

AN ON LINE APPLICATION, may be filed, COMPLETELY FILLED IN at www.jobs.milwaukeecounty.org , by 11:59 p.m. on , 2011. APPLICANTS MUST POSSESS MINIMUM QUALIFICATIONS AT TIME OF FILING APPLICATION.

Candidates will be asked to submit a full account of their training and experience and to report for a written test, performance test and/or oral interview, if deemed necessary, at a time and place to be set by the Division of Human Resources.

Milwaukee County provides a competitive benefits package
Effective October 1, 1998, background checks must be conducted of all individuals appointed to positions covered by Wisconsin Act 27 of the laws of 1997 and Wisconsin State Statute 50.065. This requirement impacts all positions authorized in the Milwaukee County Department of Human Services, Behavioral Health Division as well as other positions in County Service.

In accordance with the provisions of a Federal Court Order, #74-C-374 issued by U.S. District Judge Myron L. Gordon, ratio hiring based on race may be used in selecting qualified employees for this classification.

Approved as amended above _____ Date _____
Final departmental approval Nancy L. [Signature] Date 4-02-12

CORRECTIONS MANAGER

Rating form

Name _____

70= Graduation from high school or G.E.D equivalent required. Possession of a valid Wisconsin driver's license at time of application and maintained during incumbency is required AND Five (5) years of experience within MCSO and one (1) year of supervisory experience which must have included directing the work of subordinates, participating in the hiring process and participating in the disciplinary process. Experience managing the daily operations and security functions of a correctional facility including non-community access facilities, community operations and court functions is preferred. Experience in staff development and/or public speaking is desirable. Experience working with personal computers is desirable. Active participation in professional associates and/or civic/community based organizations is desirable. An associate, bachelor's and/or master's degree in Criminal Justice, Police Science, Computer Science, Public or Business Administration or a related field preferred. Related continuing education coursework in law enforcement, management, fiscal, computer science or related fields preferred.

Education (Maximum of 10 points)

Possession of an associates' degree

3

Possession of an associate's degree in police science, criminal justice, public or business administration.

5

Possession of a bachelor's degree

6

Possession a bachelor's degree in police science, criminal justice, public or business administration

8

Possession of a master's degree in police science, criminal justice, public or business administration

10

Related Continuing education coursework in law enforcement, management, fiscal or computer science

(Maximum of 4 points)

Experience managing the daily operations and security functions of a correctional facility including non-community access facilities, community operations and court functions. (4 points)

Additional years of supervisory experience (Maximum of 10 points)

Any supervision, for 1 - 3 years 4 points

Any supervision, for 3 - 6 years 6 points

Any supervision for 6 or more years 10 points

Additional years of experience within a large law enforcement agency (2 points)

Total points _____ Analyst _____ Date _____

Final departmental approval Nancy Adams Date 4-2-12

-COUNTY OF MILWAUKEE-
INTEROFFICE COMMUNICATION

DATE : January 14, 2013

TO : Marina Dimitrijevic, Chair, County Board of Supervisors

FROM : Craig Kammholz, Fiscal and Budget Administrator, Department of Administrative Services

SUBJECT : Office of the Sheriff Request to Create 2.0 FTE Correction Manager Positions.

REQUEST

The Office of the Sheriff is requesting permission to create 2.0 FTE Correction Manager positions (title code 00077110, pay range 915E), with no offsetting position reductions.

BACKGROUND/ANALYSIS

The 2013 Adopted Budget includes 4.0 FTE Correction Manager positions at the County Correctional Facility-Central (CCFC), a reduction of 1.0 FTE from the 2012 Adopted Budget. The number of positions was reduced because of long-term vacancies in the positions between both the CCFC and the County Correctional Facility South (CCFS). For instance in March 2012 only three of the combined 12 positions were filled; in May four of the positions were filled.

According to the Sheriff's request, two additional positions are now required because the incumbents budgeted at both the CCFS and CCFC have routinely split time managing both facilities. The request envisions the positions being staffed on a 24 X 7 basis at the CCFC which would require more than four positions once transition of the CCFS to a Superintendent is complete.

However, subsequent to the submission of this request, the Office of the Sheriff initiated legal action against the County seeking to overturn the management transition of the CCFS to a Superintendent. The County Executive has submitted a request to delay the transition to a point in time at least four months after this legal issue is settled. Alternatively, if the Court rules in favor of the Sheriff, the Sheriff's request in this matter would be rendered moot as there would now be sufficient budgeted staff between the CCFS and CCFC to staff these positions.

Until this matter is resolved, there are sufficient staff between the two facilities in the interim. Therefore, because the timeframe of the transition is unknown at this time, it is recommended that this request be rejected at the present time, pending the legal action initiated by the Sheriff.

FISCAL NOTE

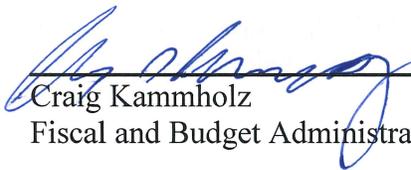
Creation of 2.0 FTE Correction Manager positions would cost approximately \$126,787 in salary and social security, assuming the positions are filled at the midpoint of pay range 915E. The active fringe benefit costs would total approximately \$42,627. The total cost would be \$169,414, which the Office of the Sheriff proposes to absorb within its 2013 Adopted Budget.

Rejection of this request, as recommended, would have no tax levy impact.

RECOMMENDATION

Due to the uncertainty of the transition of the CCFS, including one possible outcome which would render the Sheriff's request moot, it is recommended that the request to create 2.0 FTE Correction Manager Positions (title code 00077110, pay range 915E) be rejected. If the pending legal action related to the transition of the CCFS is settled in favor of the transition of the facility to a superintendent, then it is recommended that this request be reconsidered.

Prepared by:
Josh Fudge



Craig Kammholz
Fiscal and Budget Administrator, Department of Administrative Services

- Pc: Chris Abele, County Executive
David Clarke, Milwaukee County Sheriff
Amber Moreen, Chief of Staff, County Executive's Office
Richard Schmidt, Inspector, Office of the Sheriff
Kelly Bablitch, Chief of Staff, Board of Supervisors
Kerry Mitchell, Director, Department of Human Resources
Jennifer Collins, Research Analyst, Board of Supervisors

1 From the Committee on, Reporting on:
2

3 File No.
4

5 (ITEM NO.) A resolution requesting to create 2.0 FTE Correction Manager positions in the
6 Office of the Sheriff (title code 00077110, pay range 915E)
7

8 **A RESOLUTION**
9

10 WHEREAS, the Office of the Sheriff is requesting to create 2.0 FTE Correction
11 Manager positions ((title code 00077110, pay range 915E); and
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13 WHEREAS, the 2013 Adopted Budget reduced the number of these positions in the
14 County Correctional Facility-Central (CCFC) from 5.0 FTE to 4.0 FTE, mainly because the
15 positions had been vacant throughout 2012; and
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17 WHEREAS, the Sheriff's request indicates that 4.0 FTE would be insufficient for
18 operation of the CCFC because of its 24 X 7 operation; and that these positions had
19 historically managed both the CCFC and County Correctional Facility-South (CCFS) during
20 the same shift, an option that would not be available once the CCFS is transitioned to a
21 Superintendent; and
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23 WHEREAS, the Office of the Sheriff has initiated legal action to prevent the
24 transition of the CCFS to the Executive Branch under a Superintendent; and
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26 WHEREAS, the Office of the County Executive has requested a delay of four months
27 until legal certainty over the transition is determined; and
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29 WHEREAS, if the Office of the Sheriff is successful in preventing the transition of the
30 CCFS to the Executive Branch, the justification of this request would be moot; and
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32 WHEREAS, the Office of the Sheriff has not identified an offset to the approximate
33 cost of these positions of \$169,414; and
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35 WHEREAS, the Department of Administrative Services, Fiscal Affairs recommends
36 that the request to create 2.0 FTE Correction Manager positions (title code 00077110, pay
37 range 915E) be rejected
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39 BE IT RESOLVED, that the request to create 2.0 FTE Correction Manager positions
40 (title code 00077110, pay range 915E) is rejected.
41
42

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 21, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Reject creation of 2.0 FTE Corrections Manager positions.

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	\$0	\$0
	Revenue	\$0	\$0
	Net Cost	\$0	\$0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

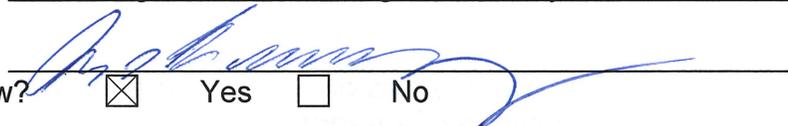
In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated. ¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

There is no fiscal impact of this item, as the recommendation is to reject the request to create additional positions.

Department/Prepared By Josh Fudge, Fiscal & Management Analyst III, DAS-Fiscal

Authorized Signature



Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Kerry Mitchell, Director of Human Resources 

SUBJECT : **Position Creation Under Consideration by the Committee**

A review of the duties to be assigned to the new position requested by the department resulted in the following recommendation:

Org. Unit	Title Code	No. of Positions	Recommended Title	Pay Range	Min/Max of Pay Range
4000	00077110	2	Corrections Manager	915E	\$60,757.63 - \$78,985.30

COUNTY OF MILWAUKEE
District Attorney's Office
Inter-Office Communication

Date: December 19, 2012

To: Marina Dimitrijevic, Chairwoman, Board of Supervisors

From: District Attorney's Office

Subject: Request to Abolish One Position of Secretarial Assistant Position and Create One Position of Intelligence Analyst

We are requesting, pursuant to section 17.05(1) of the county general ordinances (CGO), the abolishment of one position of secretarial assistant, job code 00066, position number 00000066000021, and the creation of a new position of intelligence analyst, proposed pay range 19L, effective March 1, 2013, in the district attorney's investigations unit.

The new intelligence analyst position will primarily support the public corruption and witness protection units, with a particular focus on serial domestic abusers and other violent crimes, as well as provide much-needed analytical support to prosecutors in other areas of the office including the homicide and violent crimes units. This request is in the best interests of the citizens we serve and in the efficiency of the district attorney's office; rather than requesting an additional position to do this vital work, we are actively reevaluating our existing staff and taking advantage of an unexpected vacancy to make our staff more responsive to the current needs of the office and to better serve the public.

The Milwaukee County District Attorney's Office is the largest prosecutor's office in the State of Wisconsin, with 119.50 full-time equivalent (FTE) prosecutors, 12 FTE sworn law enforcement investigators, and approximately 139 FTE other support and clerical staff (as of January 1, 2013). The investigators are further broken down with one serving as chief investigator, six assigned to witness protection, and five assigned to public corruption. The support staff does not currently include any intelligence analysts.

The public corruption unit focuses on complex investigations involving corruption allegations against public officials, the police, and significant cases in which Milwaukee County is the victim of fraud. It is also the prime investigating agency for election law violations. Public corruption cases routinely involve large volumes of data, frequently related to telephone calls or

financial information. Campaign finance investigations commonly involve voluminous financial data. A substantial amount of time is currently spent by public corruption investigators, who are sworn, full-fledged law enforcement officers, gathering and manipulating this raw data to develop additional leads and highlight prosecutable violations.

The witness protection unit is the only such specifically dedicated program run by a district attorney's office in the entire state of Wisconsin. It screens over 1,000 cases per year to identify high-risk victims and witnesses, adopting over 200 for further investigation, and provides prompt intervention in cases involving witness intimidation and threats or actual violence towards victims and witnesses. Investigations of such crimes frequently require data mining to determine the identities and best known locations for suspects, so that they can be arrested and prosecuted. A substantial amount of time is currently spent by witness protection investigators (sworn law enforcement personnel) doing such data mining.

Preparing clear, logical summaries of voluminous data is essential to effective prosecution of complex criminal cases. This is especially true when such material must be used in court. The link diagrams and other visual presentations prepared by an intelligence analyst in support of all areas of the district attorney's office will materially affect the quality of service provided to the public and enhance the effectiveness of the office in prosecuting crime.

The Milwaukee County District Attorney's Office currently has no indigenous capability to create link diagrams or cross-reference voluminous data to allow investigators to link subjects in investigations and fill intelligence gaps. The requested creation of an intelligence analyst will directly reduce the amount of time that sworn law enforcement personnel spend on gathering and manipulating raw telephone, financial, and other data, as well as performing data mining work to determine suspects' identities, criminal histories, and best known locations. The creation of this position will result in the reallocation of approximately 40 hours per week of time that is currently being spent by nine investigators (combined total hours) on analyzing telephone, financial, and other records. This will in turn result in a potential 12 percent increase in time devoted by law enforcement officers to actual criminal investigations.

The intelligence analyst will use the latest computer software, such as "i2 Analyst's Notebook," to provide comprehensive link analyses and graphical depictions of criminal associations. This information will directly support prosecution of public corruption cases in state and federal court, as well as assisting the witness protection unit in accomplishing its mission.

The intelligence analyst will be integrated immediately into the existing investigator structure; no new office space is required or requested. With time-tested, off-the-shelf software, the intelligence analyst will rapidly become a contributing member of the law enforcement arm of the District Attorney's Office.

There will be extensive coordination with intelligence analysts and other law enforcement personnel from the Federal Bureau of Investigation, the U.S. Marshals Office, the local HIDTA office, and the Wisconsin Department of Justice Division of Criminal Investigation, as well as the 19 other municipal police agencies in Milwaukee County. The public corruption and witness protection units already work closely with those law enforcement agencies and the addition of this intelligence analyst position will significantly improve the ability of the office to share intelligence with those other agencies and to augment and support criminal investigations in Milwaukee County.

The use of comprehensive financial and telephone record analyses in public corruption cases will facilitate investigation and prosecution of conduct in violation of statutes governing misconduct in public office, various campaign finance and election laws, and theft and fraud offenses, among other crimes. This will deter public officials and other figures dealing with public entities from committing crimes against government and administration and ultimately reduce crime in these areas through a combination of education, deterrence, and enforcement.

These same techniques may be used in the prosecution of other major criminal offenses, including violent crimes like serial homicides and serial or repeat offender domestic violence cases, as well as complex fraud and financial crimes, including cases in which the county is the victim.

Providing criminal histories and the most current suspect location data on witness protection investigations will facilitate successful investigation and prosecution of individuals for crimes such as intimidation of witness, battery to witness, perjury, violation of no contact order, and bail jumping, among other crimes. Successful prosecutions of these crimes will deter future witness intimidation and lead to greater community participation and faith in the criminal justice system.

The proposed pay range (19L) is the same pay range for paralegals in the district attorney's office and provides horizontal equity among positions with similar amounts of responsibility. In a survey of other intelligence analyst

positions within the metropolitan area, the proposed pay range falls in the middle of what is offered at other agencies. The Milwaukee High Intensity Drug Trafficking Area (HIDTA) drug enforcement agency has intelligence analyst positions with an annual salary ranging from \$35,000 to \$37,500. The Milwaukee Police Department has intelligence analyst positions with an annual salary ranging from \$52,170 to \$63,366. Copies of the position descriptions for those two agencies' positions are included in this packet. The packet also includes a completed Job Evaluation Questionnaire with attachment, the existing position description for the incumbent, a proposed position description for the proposed position, and a proposed organization chart showing the existing positions and the proposed position.

Fiscal Impact

The difference in base salary between the first step of the current secretarial assistant position and the requested pay range for the proposed intelligence analyst position is \$8,314. This increase could be offset by delaying the filling of the newly created position until March 1, 2013, as well as by additional vacancy and turnover savings from anticipated retirements and resignations in 2013. The district attorney's office has an unprecedented history of 20 consecutive years of budget surpluses and we believe this modest increase in personnel costs can be absorbed within our 2013 personnel budget.

John T. Chisholm
District Attorney

cc: Craig Kammholz, Fiscal & Budget Administrator, DAS
Josh Fudge, Fiscal and Strategic Planning Coordinator, DAS
Steve Cady, County Board Fiscal and Budget Analyst
Carol Mueller, Chief County Board Committee Clerk

H:\Intelligence Analyst County Board.doc

**-COUNTY OF MILWAUKEE-
INTEROFFICE COMMUNICATION**

DATE : January 2, 2013

TO : Marina Dimitrijevic, Chair, County Board of Supervisors

FROM : Craig Kammholz, Fiscal and Budget Administrator, Department of Administrative Services

SUBJECT : District Attorney Request to Abolish 1.0 FTE Secretarial Assistant position and Create 1.0 FTE Intelligence Analyst position.

REQUEST

The District Attorney is requesting permission to abolish 1.0 FTE Secretarial Assistant position (title code 00000066, pay range 04P) and create 1.0 FTE Intelligence Analyst (title code TBD, pay range 19L).

BACKGROUND/ANALYSIS

The District Attorney is requesting the creation of this position to provide additional capacity to perform highly-technical law-enforcement duties such as analysis of documents and records, data-mining, link analysis, preparation of affidavits, preparation of presentations for use in court proceedings, and use computerized tools to perform complex case analysis. According to the request, these duties were being performed partially by a former Secretarial Assistant and mostly by existing sworn Investigators.

The request indicates that an Intelligence Analyst would perform these analysis and preparation duties that would enable Investigators to focus more on their core duties as sworn officers and improve their performance. Data provided during the 2013 budget process showed that the caseload for Investigators has increased significantly in recent years, nearly doubling from 15 cases to 30. As a result, the 2013 Adopted Budget provided two additional Investigators to help alleviate the burden. Adding this position to provide additional support and would further improve efficiency; and would ensure that job duties are consistent with staff classifications by not requiring clerical positions to perform these tasks.

The District Attorney proposes to offset the cost of the new position by abolishing 1.0 FTE Secretarial Assistant position, which is presently vacant and of which 19.5 FTE are currently budgeted. The District Attorney indicates the remaining clerical workload can be absorbed by the remaining 18.5 FTE Secretarial Assistant positions, and other clerical positions.

FISCAL NOTE

The vacant position is budgeted at step 1 of pay range 04P in 2013, with an annual salary of approximately \$29,816. If the position is filled at step 1 of pay range 19L in 2013, the annual salary would be approximately \$37,908, an increase of \$8,711 including Social

Security costs at 7.65 percent of salary. The assumed pension rate of 15.51 percent would result in an additional pension cost of \$1,255, for a total impact of approximately \$9,966. Because the action would not take place until early February, the 2013 impact is approximately \$9,136. The request indicates this cost could be at least partially offset by not filling the new position until after March 1, 2013.

RECOMMENDATION

The Department of Administrative Services, Fiscal Affairs (DAS) recommends the request by the District Attorney to abolish 1.0 FTE Secretarial Assistant position (title code 00000066, pay range 04P) and create 1.0 FTE Intelligence Analyst (title code TBD, pay range 19L) be approved.

Prepared by:
Josh Fudge



Craig Kammholz

Fiscal and Budget Administrator, Department of Administrative Services

Pc: Chris Abele, County Executive
John Chisholm, Milwaukee County District Attorney
Amber Moreen, Chief of Staff, County Executive's Office
Kelly Bablitch, Chief of Staff, Board of Supervisors
Kerry Mitchell, Director, Department of Human Resources
Jennifer Collins, Research Analyst, Board of Supervisors

1 From the Committee on, Reporting on:

2

3

File No.

4

5 (ITEM NO.) A resolution requesting to abolish 1.0 FTE Secretarial Assistant (title code
6 00000066, pay range 04P) and create 1.0 FTE Intelligence Analyst (title code TBD, pay
7 range 19L).

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A RESOLUTION

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WHEREAS, the District Attorney is seeking to increase its staff capacity to perform law enforcement tasks such as analysis of documents and records, preparation of affidavits, preparation of presentations for use in court proceedings, and use computerized tools to perform complex case analysis; and

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WHEREAS, an individual in the Secretarial Assistant classifications was providing some of the duties but has since left the position; and

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WHEREAS, sworn Investigative staff are also performing these duties which takes away valuable time from core investigative duties; and

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WHEREAS, the caseload for the Investigators in the public corruption and victim witness units have nearly doubled in recent years, which further exacerbates the need for support staff; and

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WHEREAS, the fiscal impact of this position action would be a net cost increase during the final 11 months of 2013 of approximately \$9,136; and

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WHEREAS, all of the associated positions are presently vacant; and

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WHEREAS, the Department of Administrative Services, Fiscal Affairs recommends that the following request effective February 7, be approved: 1.0 FTE Secretarial Assistant (title code 00000066, pay range 04P) and create 1.0 FTE Intelligence Analyst (title code TBD, pay range 19L); now, therefore

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BE IT RESOLVED, that the following position actions are approved, for the District Attorney (agency 4500), 2013:

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<u>Action</u>	<u>Title</u>	<u>No. of FTEs</u>	<u>No. of Positions</u>
Abolish	Secretarial Assistant	1.0	1
Create	Intelligence Analyst	1.0	1

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 2, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Abolish 1.0 FTE Secretarial Assistant and create 1.0 FTE Intelligence Analyst.

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input checked="" type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	\$9,136	\$10,646
	Revenue	\$0	\$0
	Net Cost	\$9,136	\$10,646
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
 - B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
 - C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
 - D. Describe any assumptions or interpretations that were utilized to provide the information on this form.
-
- A. The District Attorney is requesting permission to abolish 1.0 FTE Secretarial Assistant (title code 0000066, pay range 04P) and create 1.0 FTE Intelligence Analyst (title code TBD, pay range 19L).
 - B. The Direct Cost, assuming the position was to be filled in early February, at step 1 of pay range 19L, is approximately \$9,136 for the 11 months of 2013. This includes salary at \$7,418, social security costs of \$567, and active pension costs of \$1,151. The District Attorney indicates these costs could be partially offset by holding the position vacant until March 1 and through other vacancy and turnover savings.
 - C. The estimated budget impact is the same as the direct cost. The estimated cost in 2014, assuming a 5 percent cost-to-continue increase, would be \$10,646.
 - D. Analysis is based on 2013 Adopted Budget salary and fringe benefit rates and assumes step 1 of all appropriate pay ranges.

Department/Prepared By Josh Fudge, Fiscal & Management Analyst III, DAS-Fiscal

Authorized Signature

Did DAS-Fiscal Staff Review?

Yes

No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Kerry Mitchell, Director of Human Resources 

SUBJECT : **Position Creation Under Consideration by the Committee**

A review of the duties to be assigned to the new position requested by the department resulted in the following recommendation:

Org. Unit	Title Code	No. of Positions	Recommended Title	Pay Range	Min/Max of Pay Range
4500	TBD	1	Intelligence Analyst	19L	\$37,908.42 - \$51,440.69

COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION

DATE: December 3, 2012

TO: Supervisor Marina Dimitrijevic, Chairwoman, Milwaukee County Board of Supervisors
Kerry Mitchell, Director, Department of Human Resources

FROM: Héctor Colón, Director, Department of Health & Human Services
Prepared by: Paula Lucey, Administrator, Behavioral Health Division

SUBJECT: **From the Director, Department of Health and Human Services, Requesting Authorization to Create One Position of ED1 - Environment of Care Administrator, One Position of Fiscal Specialist and Two Positions of Assistant Program Administrator, Abolish One Position of Operations Coordinator, Two Positions of Clerical Assistant 1 and Abolish Upon Vacancy Two Positions of Integrated Services Coordinator – NR at the Behavioral Health Division**

Issue

The Director, Department of Health and Human Services (DHHS) and the Administrator, Behavioral Health Division (BHD), are requesting authorization to create one position of ED1 - Environment of Care Administrator, one position of Fiscal Specialist and two positions of Assistant Program Administrator, abolish one position of Operations Coordinator, two positions of Clerical Assistant 1 and abolish upon vacancy two positions of Integrated Services Coordinator – NR.

Requesting Authorization

Create

1 ED1 - Environment of Care Administrator
1 Fiscal Specialist
2 Assistant Program Administrator

Abolish

1 Operations Coordinator
2 Clerical Assistant 1
2 upon vacancy - Integrated Services Coordinator - NR

BHD has been working on multiple initiatives over the past year including Joint Commission Accreditation, a new Electronic Medical Record, Mental Health Redesign and the Co-occurring initiative. As BHD positions became vacant over the past year, management took the opportunity to review job requirements and determine the proper talent to fill those needs with the new initiatives in mind. With minimal financial impact, these position changes will allow for better fit of skills and needs. In BHD Operations, BHD determined that the

environment of care requires a higher level of leadership. In Fiscal, the new Electronic Medical records system requires less clerical time but more advanced technical knowledge. In Adult Community Services, they are embarking on an initiative to become a co-occurring integrated system of care and leadership for that transition is vital to its success. BHD is now coming to the Board to request these position actions.

Background

In 2012 and continuing in 2013, BHD is embarking on an ambitious agenda of initiatives. To ensure that BHD is successful, some staffing adjustments are necessary. Below is a detailed description of the changes being proposed.

BHD Facility Oversight

The Mental Health Complex is a large 591,000 square foot building which houses a diverse set of services including long term care, day treatment, children's services and administrative offices. The Environment of Care (EOC) is a critical part of BHD and is included on site surveys and in the Joint Commission accreditation process. Due to recent staffing changes, an opportunity to reorganize the operations area for DHHS and BHD was presented. Due to the unique EOC guidelines related to hospitals, BHD is recommending the creation of a dedicated Environment of Care Administrator. This position will lead BHD's operations and maintenance staff to ensure that the EOC meets all regulatory and accreditation standards, create a positive, welcoming, safe and secure environment, oversee the maintenance, environmental services and emergency management areas and be in charge of any renovation or construction activities. This position will work closely with the Milwaukee County Department of Public Works as well in internal leadership but will bring a level of hospital expertise to BHD and the County. This creation is partially off-set by the abolishment of a vacant Operations Coordinator position.

Fiscal Services – Electronic Medical Record

In 2012 BHD started the implementation process of a new Electronic Medical Record. As part of that process, BHD has made significant billing and process changes which resulted in a reduction in the need for data entry and an increased need for analysis and creative problem resolution. The BHD Fiscal Services area will use the EMR to continue to perform the duties that were previously completed by their staff but will also be providing additional bill run functions, pharmacy billing and Nursing Home billing. These new duties create a need for better understanding of various regulations, authorization and coding. In addition, due to better data provided by the EMR, BHD hopes to provide enhanced analysis of claims including exploring reasons for claim denials which will result in an increased ability to improve billing and better manage and appeal claim denials and rejections. Finally, when the EMR is fully operational, BHD will provide billing functions for carve out Medicaid services for a wide array of BHD contract agencies. This will require the ability to work across agencies to operationalize and monitor the billing function. As a result BHD is recommending the creation on one Fiscal Specialist positions to assist with the enhanced fiscal functions related to the EMR. This creation is off-set by the abolishment of two Clerical Assistant 1 positions.

Adult Community Services Co-Occurring Initiative

In the BHD Community Services Branch (CSB), upwards of 65 to 80% of all clients served present with both mental health and substance abuse disorders simultaneously. As such, it is essential that integration becomes a standard of practice, which is why BHD has been working toward a co-occurring mental health and substance abuse disorders system of care.

In an effort to deliver services using an integrated care model, CSB plans to launch an extensive reorganization during 2013. Building on the systems framework established in Milwaukee Co-occurring Competency Cadre or MC3, services will be redesigned and staff realigned with a dedicated focus on a co-occurring integrated system of care philosophy. The goal is to have the dedicated branches for mental health (SAIL) and substance abuse (Wiser Choice) become one. Recognizing that there are currently two distinct systems with unique methods of entry, the staff of the CSB will be working to develop a system that is seamless for those entering it. There will be an emphasis placed on combined assessment and placement tools for co-occurring mental health and substance use disorders and the use of the Mental Health and AODA Functional Screen as a standard tool administered to all for Medicaid program eligibility. The ultimate goal will be for individuals seeking care to access services through a single point of entry for mental health and/or substance use disorders.

In addition to this philosophical and practical change, CSB will strive to expand the array of services available to best meet the needs of individuals with mental health and/or substance use disorders in our community. This will include an increased emphasis on both prevention and recovery support services, including the use of Peer Specialists as providers and employment. There are significant opportunities for expansion that will be explored throughout the course of 2013.

In planning for these changes, BHD feels it is essential to have the right leadership in place to achieve the many identified goals. CSB has been working on a revised organizational chart, with a strong emphasis on customer care. This includes a recognition that CSB has a multitude of customers; first and foremost the individuals receiving services and their families, but also the providers, funders and community stakeholders who interface with the system every day.

Therefore, CSB is requesting that two Assistant Program Coordinators – BHD be created to reflect the increased need in leadership to guide the department through this transformative process. One of the positions will be dedicated to the needs of the provider network to ensure that they are able to deliver quality, timely services to those in need, including payment and management of the staff who provide assistance to providers in the form of contracting and program evaluation and analysis. The other position will manage the access entry points for service recipients seeking to enroll in services, including management of the staff working to fulfill these functions. Both positions will be responsible for the management and oversight of the provider network and ensuring the provision of quality services and efficient use of programmatic and fiscal resources. These two positions are off-set by the abolishment upon vacancy of two Integrated Services Coordinator – NR positions.

Recommendation

To assist BHD in fully implementing multiple initiatives including Joint Commission Accreditation, a new Electronic Medical Record, Mental Health Redesign and the Co-occurring initiative, it is recommended that the County Board of Supervisors allow the Director, Department of Health and Human Services, or his designee, to make the following positions actions beginning on February 3, 2013:

Position Description	Pay Range	FTE	2013 Impact	2014 Impact
CREATIONS				
ED1 - Environment of Care Services Administrator	901E	1.0	\$ 115,042	\$ 124,628
Fiscal Specialist	05P	1.0	\$ 55,295	\$ 59,903
Assistant Program Administrator - BHD	33M	2.0	\$ 206,644	\$ 223,864
ABOLISHMENTS				
Operations Coordinator	30M	-1.0	\$ (92,228)	\$ (99,913)
Clerical Assistant 1	3P	-2.0	\$ (99,760)	\$ (108,073)
Integrated Service Coordinator NR	31M	-2.0	\$ (190,931)	\$ (206,842)
			\$ (5,938)	\$ (6,432)

Fiscal Impact

The recommended position actions contained in this report result in a surplus in 2013 and 2014, based on the projected salaries and 2013 Recommended Budget fringe rates. Therefore no additional tax levy is needed.

Respectfully Submitted:



Héctor Colón, Director
Department of Health & Human Services

cc: County Executive Chris Abele

Raisa Koltun, Legislative Affairs Director, County Executive's Office
Kelly Bablich, Chief of Staff, County Board
Patrick Farley, Director, DAS
Craig Kammholz, Fiscal & Budget Administrator, DAS
CJ Pahl, Assistant Fiscal & Budget Administrator, DAS
Ara Garcia, DHHS Human Resources Manager
Antionette Thomas-Bailey, Fiscal & Management Analyst
Steve Cady, County Board Analyst
Carol Mueller, Committee Clerk

**COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION**

Date: December 10, 2012

To: Supervisor Marina Dimitrijevic, Chairwoman, County Board of Supervisors

From: Craig Kammholz, Fiscal and Budget Administrator, DAS – Fiscal

Subject: Request to create 1.0 FTE ExDir 1- Environment of Care Administrator (Pay Range 901E), 1.0 FTE Fiscal Specialist (Title Code 00004042, Pay Range 05P), 2.0 FTE Assistant Program Administrator (Pay Range 33M) and abolish 1.0 FTE Operations Coordinator (Title Code 00020220, Pay Range 30M), 2.0 FTE Clerical Assistant 1 (Title Code 00000042, Pay Range 03P), and abolish upon vacancy 2.0 FTE Integrated Services Coordinator- NR (Title Code 00057760, Pay Range 31M) within the Behavioral Health Division (BHD).

REQUEST

The Department of Health and Human Services requests to create 1.0 FTE Ex Dir. 1- Environment of Care Administrator (Pay Range 901E), 1.0 FTE Fiscal Specialist (Title Code 00004042, Pay Range 05P), 2.0 FTE Assistant Program Administrator (Pay Range 33M) and abolish 1.0 FTE Operations Coordinator (Title Code 00020220, Pay Range 30M), 2.0 FTE Clerical Assistant 1 (Title Code 00000042, Pay Range 03P), and abolish upon vacancy 2.0 FTE Integrated Services Coordinator-NR (Title Code 00057760, Pay Range 31M) within BHD.

BACKGROUND/ANALYSIS

The organizational needs of BHD continue to change as the department continues the process of implementing several initiatives in 2013. The department continues to work towards achieving the Joint Commission Accreditation, full implementation of the EMR and the new co-occurring initiative. As a result, the department reviewed its vacant positions and determined the staffing needs to fully implement these initiatives.

BHD is currently in the process of applying for the Joint Commission Accreditation. In obtaining this accreditation, there are several components that have to be met with a critical component relating to the environment of care (EOC) and maintenance of the facility. In March 2012, the County Board approved the creation of an Administrative Specialist MH (NR) to assist with the requirements of the Joint Commission related to the EOC. However, due to the unique EOC guidelines related to hospitals, BHD is requesting the creation of 1.0 FTE Ex Dir. 1- Environment of Care Administrator position to ensure that these guidelines are being met. This position would primarily be responsible for managing the daily operation of BHD facilities,

county owned and leased spaces; ensuring that BHD is meeting or exceeding Joint Commission and other accreditation standards; ensuring adherence with life safety codes and developing and implementing safety procedures; coordinating renovations and reassignments of work space, or improvement to space; acting as the landlord of space that is rented to other agencies within BHD facilities; and leading the operations and maintenance staff at BHD. This position would be responsible for supervising approximately 18 staff members, as well as the security and cleaning contracts. The cost of this position is partially offset by abolishing 1.0 FTE Operations Coordinator, which has been vacant for approximately six months.

Implementation of the EMR occurred in the Crisis Services Division at the end of 2012. This implementation has resulted in changes to billing and other processes that require more technical assistance and analysis as opposed to data entry. As a result, the department is requesting to create 1.0 FTE Fiscal Specialist offset by abolishing 2.0 FTE Clerical Assistant 1 positions. The Fiscal Specialist would primarily be responsible for preparing deposits and reconciling receipts with bank deposit slips; maintaining the spreadsheet that supports daily cash and balancing to daily deposits, preparing cash receipts in the Advantage system for daily cash deposits, maintaining the petty cash fund including the request for replenishing the fund, and assisting in the preparation of Medicare and Medicaid cost reports.

In addition, the department is requesting to create 2.0 FTE Assistant Program Administrators, who will assist with the implementation of the co-occurring initiative in the Community Services Branch (CSB). This initiative will focus on moving to an integrated system of care for clients that present with both mental health and substance abuse disorders. This would consist of combining the dedicated branches for mental health and substance abuse. One position will be responsible for managing the access entry points and the staff, and the other will be dedicated to the needs of the provider networks. However, both positions are primarily responsible for monitoring and managing program screening capacity and service utilization; providing oversight and management of contracted services including program evaluation, research and development, and participation in program selection through the RFP process; participating in performance review of Community Service Branch (CSB) programs; assisting in the development of strategic initiatives; participating in state and federal task forces related to the development of mental health/substance abuse programs; and management and administration of the Access to Recovery (ATR) funding requirements. Currently the Integrated Services Coordinator- NR positions perform some of these functions. However, the new positions are expected to have a much greater emphasis on leadership and program development. As a result, the creations of the new positions are offset by abolishing upon vacancy 2.0 FTE Integrated Services Coordinator- NR positions.

FISCAL NOTE

Approval of the request to create 1.0 FTE Ex Dir. 1- Environment of Care Administrator, 1.0 FTE Fiscal Specialist, 2.0 FTE Assistant Program Administrator and abolish 1.0 FTE Operations Coordinator, 2.0 FTE Clerical Assistant, and abolish upon vacancy 2.0 FTE Integrated Services Coordinator-NR effective February 7, 2013, will result in a decrease in expenditures of \$4,883 for 2013 and a decrease of \$6,348 for subsequent years.

RECOMMENDATION

To assist BHD in the full implementation achieving the Joint Commission Accreditation, the Electronic Medical Records (EMR) system, and the co-occurring initiative, the Department of Administrative Services, Fiscal Affairs recommends that the request to create 1.0 FTE Ex Dir. 1- Environment of Care Administrator, 1.0 FTE Fiscal Specialist, 2.0 FTE Assistant Program Administrator and abolish 1.0 FTE Operations Coordinator, 2.0 FTE Clerical Assistant, and abolish upon vacancy 2.0 FTE Integrated Services Coordinator-NR, effective February 7, 2013, be approved.

Prepared by:
Antionette Thomas-Bailey
278-4250


Craig Kammholz
Fiscal and Budget Administrator

pc: Chris Abele, County Executive
Amber Moreen, Chief of Staff, County Executive's Office
Kerry Mitchell, Director of Human Resources
Kelly Bablitch, Chief of Staff, County Board
Jennifer Collins, County Board Fiscal and Budget Analyst
Hector Colon, Director, Department of Health and Human Services

1 From the Committee on, Reporting on:

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File No.

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5 (ITEM NO.) A resolution requesting to create 1.0 FTE Ex Dir. 1- Environment of Care
6 Administrator (Pay Range 901E), 1.0 FTE Fiscal Specialist (Title Code 00004042, Pay Range
7 05P), 2.0 FTE Assistant Program Administrator (Pay Range 33M) and abolish 1.0 FTE
8 Operations Coordinator (Title Code 00020220, Pay Range 30M), 2.0 FTE Clerical Assistant
9 1 (Title Code 00000042, Pay Range 03P), and abolish upon vacancy 2.0 FTE Integrated
10 Services Coordinator- NR (Title Code 00057760, Pay Range 31M) within the Behavioral
11 Health Division (BHD), effective February 7, 2013:

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A RESOLUTION

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46 WHEREAS, one Assistant Program Administrator would be responsible for
 47 managing the access entry points including the staff and one Assistant Program
 48 Administrator would be dedicated to the needs of the provider networks; however both
 49 positions would primarily be responsible for monitoring and managing program screening
 50 capacity and service utilization; providing oversight and management of contracted
 51 services including program evaluation, research and development, and participation in
 52 program selection through the RFP process; participate in performance review of
 53 Community Service Branch (CSB) programs; assisting in the development of strategic
 54 initiatives; participating in state and federal task forces related to the development of
 55 mental health/substance abuse programs; and management and administration of the
 56 Access to Recovery (ATR) funding requirements; and

57
 58 WHEREAS, the requested position actions are necessary to assist BHD in the full
 59 implementation of these initiatives; and

60
 61 WHEREAS, the Department of Administrative Services, Fiscal Affairs recommends
 62 that the following request effective February 7, 2013, be approved: create 1.0 FTE Ex Dir.
 63 1- Environment of Care Administrator (Pay Range 901E), 1.0 FTE Fiscal Specialist (Title
 64 Code 00004042, Pay Range 05P), 2.0 FTE Assistant Program Administrator (Pay Range
 65 33M) and abolish 1.0 FTE Operations Coordinator (Title Code 00020220, Pay Range 30M),
 66 2.0 FTE Clerical Assistant 1 (Title Code 00000042, Pay Range 03P), and abolish upon
 67 vacancy 2.0 FTE Integrated Services Coordinator- NR (Title Code 00057760, Pay Range
 68 31M); and

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 70 BE IT RESOLVED, that the following position actions are approved, for the
 71 Behavioral Health Division effective February 7, 2013

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<u>Action</u>	<u>Title</u>	<u>No. of Positions</u>	<u>Pay Range</u>
74 Create	ED1- Environment of Care Administrator	1	901E
75 Create	Fiscal Specialist	1	05P
76 Create	Assistant Program Administrators	2	33M
77 Abolish	Operations Coordinator	1	30M
78 Abolish	Clerical Assistant 1	2	03P
79 Abolish	Integrated Services Coordinator*	2	31M

80
 81 *To be abolished upon vacancy

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/4/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Request to create 1.0 FTE Ex Dir. 1- Environment of Care Administrator, 1.0 FTE Fiscal Specialist, 2.0 FTE Assistant Program Administrator and abolish 1.0 FTE Operations Coordinator, 2.0 FTE Clerical Assistant 1, and abolish upon vacancy 2.0 FTE Integrated Services Coordinator-NR at the Behavioral Health Division (BHD).

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact
<input type="checkbox"/> Existing Staff Time Required
<input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below)
<input type="checkbox"/> Absorbed Within Agency's Budget
<input type="checkbox"/> Not Absorbed Within Agency's Budget
<input checked="" type="checkbox"/> Decrease Operating Expenditures
<input type="checkbox"/> Increase Operating Revenues
<input type="checkbox"/> Decrease Operating Revenues | <input type="checkbox"/> Increase Capital Expenditures
<input type="checkbox"/> Decrease Capital Expenditures
<input type="checkbox"/> Increase Capital Revenues
<input type="checkbox"/> Decrease Capital Revenues
<input type="checkbox"/> Use of contingent funds |
|---|--|

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	-4,883	-6,348
	Revenue	0	0
	Net Cost	-4,883	-6,348
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

A. Approval of the request would create 1.0 FTE Ex Dir 1.- Environment of Care Administrator, 1.0 FTE Fiscal Specialist, 2.0 FTE Assistant Program Administrators and abolish 1.0 FTE Operations Coordinator, 2.0 FTE Clerical Assistant 1, and abolish upon vacancy 2.0 FTE Integrated Services Coordinator- NR in BHD.

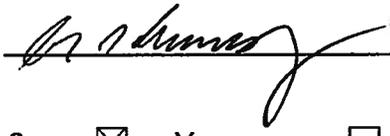
B. The estimated fiscal effect for 2013 related to the creation of 1.0 FTE Ex Dir 1.- Environment of Care Administrator, 1.0 FTE Fiscal Specialist, and 2.0 FTE Assistant Program Administrators including salary, social security, and active fringe benefits is \$316,452. This cost is completely offset by abolishing 1.0 FTE Operations Coordinator, 2.0 FTE Clerical Assistant 1, and abolishing upon vacancy 2.0 FTE Integrated Services Coordinator- NR including salary, social security, and active fringe benefits of \$319,064, resulting in decreased expenditures of \$2,612. The fiscal effect for 2014 is a decrease in expenditures of \$3,395.

C. In 2013, the budgetary impact is a decrease in expenditures of \$4,883 and in 2014 the budgetary impact is a decrease of \$6,348.

D. The 2013 fiscal effect will be achieved assuming that these positions start in late February at an hourly rate of \$43.13 for the Ex Dir 1.- Environment of Care Administrator, \$17.65 for the Fiscal Specialist, and \$38.13 each for the two (2) Assistant Program Administrators. In addition, position costs include a 1.5% COLA adjustment that will be given in the 12th pay period, which equates to an actual increase of .87% and the active fringe rates included in the 2013 Adopted Budget (\$15,089- Active Health, 15.51%- Active Pension, and 7.65% Social Security).

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

Department/Prepared By Antionette Thomas-Bailey

Authorized Signature 

Did DAS-Fiscal Staff Review? Yes No

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Kerry Mitchell, Director of Human Resources 

SUBJECT : **Position Creation Under Consideration by the Committee**

A review of the duties to be assigned to the new position requested by the department resulted in the following recommendation:

Org. Unit	Title Code	No. of Positions	Recommended Title	Pay Range	Min/Max of Pay Range
6300	TBD	1	Environmental Services Administrator	901E	\$60,756.80 - \$88,940.80

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Kerry Mitchell, Director of Human Resources 

SUBJECT : **Position Creation Under Consideration by the Committee**

A review of the duties to be assigned to the new position requested by the department resulted in the following recommendation:

Org. Unit	Title Code	No. of Positions	Recommended Title	Pay Range	Min/Max of Pay Range
6300	00004042	1	Fiscal Specialist	5P	\$31,885.36 - \$40,887.60

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Kerry Mitchell, Director of Human Resources 

SUBJECT : **Position Creation Under Consideration by the Committee**

A review of the duties to be assigned to the new position requested by the department resulted in the following recommendation:

Org. Unit	Title Code	No. of Positions	Recommended Title	Pay Range	Min/Max of Pay Range
6300	TBD	2	Assistant Program Administrator	33M	\$65,947.48 - \$78,631.90

COUNTY OF MILWAUKEE
Behavioral Health Division Administration
 INTER-OFFICE COMMUNICATION

DATE: December 28, 2012

TO: Supervisor Marina Dimitrijevic, Chairwoman – Milwaukee County Board

FROM: Héctor Colón, Director, Department of Health and Human Services
Prepared by Paula Lucey, Administrator, Behavioral Health Division

SUBJECT: **Report, from the Director of Health and Human Services, Requesting Authorization to Create a Trust Fund for the Behavioral Health Division’s Wraparound Milwaukee Program**

Issue

The establishment of a Trust Fund requires approval of the County Board per Resolution File No. 86-779 (a)(a), therefore the Director, Department of Health and Human Service (DHHS), is requesting approval to create a trust fund to be utilized for the receipt and disbursement of funds associated with the Behavioral Health Division’s (BHD) Wraparound Milwaukee Program (Wraparound).

In addition, Chapter 15.18 of the County’s General Ordinances governing departmental bank accounts must be revised to authorize the Director, DHHS, to establish the required accounts and to disburse funds from these accounts.

Background

BHD’s Child and Adolescent Community Services functions as a purchaser, provider and manager for the mental health services system for Milwaukee County youth and some young adults through the Wraparound Milwaukee Program and the Family Intervention and Support Services (FISS) Program. The Wraparound Milwaukee Program functions as a unique managed care entity under a contract and federal 1915(a) agreement with Medicaid for youth with serious emotional disturbance (SED) in Milwaukee County. Services are targeted to children and young adults up to age 24 with severe emotional and mental health needs, involved with two or more child or adult serving systems and who are at risk of residential treatment or other institutional settings.

Wraparound Milwaukee consists of five programs with different target groups of SED youth: Regular Wraparound – Child Welfare or Delinquency and Court Services referred youth who are court ordered into Wraparound; REACH – typically referrals are made through the school

systems and are for non-court involved SED youth; FOCUS – collaborative program with Delinquency and Court Services for SED youth at risk of juvenile correctional placement; Re-Entry Program - for SED youth transitioning out of the Department of Corrections and Healthy Transitions Program (Project O'YEAH) – for youth, age 16 to 24 with SED who need help obtaining mental health services, housing, employment, education, etc. as they transition to adulthood. There are currently approximately 1,000 slots available on a daily basis for Wraparound.

Wraparound currently receives a monthly capitated rate for each child enrolled in the program. This capitated rate is revised based on actuarial studies conducted at the discretion of the State. Based on correspondence from the State (see Attachment 1 and Attachment 2) and meetings with the Milwaukee County Comptroller, it has been determined that any excess funds received by Milwaukee County related to the Wraparound program must be spent on services for those clients and cannot be dropped to the County's bottom line. In 2012, Wrap is anticipating a significant surplus primarily due to clients in the newly developed and expanded REACH and Project O'Yeah (Healthy Transitions) programs are using less behavioral care and services than anticipated. Therefore, based on current 2012 projections, BHD is requesting that \$2.5 million in surplus capitated rate revenues be put into a trust fund for the Wraparound program to be used in the future for Wraparound clients. This trust fund will provide assurance to the policy makers and taxpayers of Milwaukee County that, even as capitated rates may change, the Wraparound program will be fully funded and not necessitate tax levy, barring any unforeseen major changes.

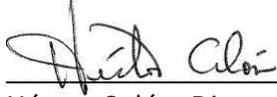
Fiscal Effect

The \$2.5 million that is recommended to be moved into the trust fund for Wraparound has not been anticipated in any BHD quarterly reports for 2012. Therefore there is no tax levy impact associated with this request. Establishing this trust fund will ensure that the financial stability of the Wraparound program is maintained in the future.

Recommendation

It is recommended that the Milwaukee County Board of Supervisors authorize the Director, DHHS, or his designee, to work with the Comptroller's Office to create a trust fund and change related Ordinances as detailed on the attached Resolution for the purposes of receiving and disbursing revenues received from the State for the Wraparound Milwaukee program. Approval of this recommendation will enable the Behavioral Health Division to comply with the requirements of CMS and the State in relation to the Wraparound Milwaukee program.

Respectfully Submitted,



Héctor Colón, Director
Department of Health and Human Services

cc: County Executive Chris Abele
Raisa Koltun, County Executive's Office
Kelly Bablich, County Board
Patrick Farley, Director, DAS
Craig Kammholtz, Fiscal & Budget Administrator, DAS
CJ Pahl, Assistant Fiscal & Budget Administrator, DAS
Antionette Thomas-Bailey, Fiscal & Management Analyst, DAS
Jennifer Collins, Analyst, County Board Staff
Jodi Mapp, Committee Clerk, County Board Staff

Department of Health & Human Services
Centers for Medicare & Medicaid Services
233 North Michigan Avenue, Suite 600
Chicago, Illinois 60601-5519



September 7, 2012

Mr. Brett Davis
Medicaid Director
Division of Health Care Access and Accountability
1 West Wilson Street
P.O. Box 309
Madison, Wisconsin 53701

Dear Mr. Davis:

This letter is in response to the Wisconsin Department of Health and Family Services' (DHS) request for the Centers for Medicare & Medicaid Services (CMS) review and approval of managed care contracts for the Wraparound Milwaukee and Children Come First programs. These programs operate under §1915(a) authority.

The DHS contracts with Milwaukee County Human Services and Dane County Human Services for services to Medicaid recipients in the Wraparound Milwaukee and Children Come First programs. The contracts are effective from September 1, 2011 to June 30, 2013 and the capitation rates are effective from September 1, 2011 to June 30, 2012.

Since the State administers these programs through risk-based contracts with public entities, CMS requests the State provide the Regional Office with a written assurance that any funds paid to these counties primarily benefits Medicaid beneficiaries. Please note that receipt of this written assurance is a condition of approval for these PIHP contracts. Upon receipt of the written assurance, CMS' review of these contracts and capitation rates will be complete and they will be deemed compliant with the Federal contracting requirements, including the capitation rate-setting requirements, found at §42 CFR Part 438.

If you have any questions or comments related to this approval, please contact Maria Chickering at (312) 886-0326 or by email at maria.chickering@cms.hhs.gov.

Sincerely,

Acting 
Verlon Johnson
Associate Regional Administrator
Division of Medicaid and Children's Health Operations

cc: Curtis Cunningham, Wisconsin Department of Health Services

ATTESTATION

I, Bruce Kamradt, hereby attest and affirm that any funds paid to the Milwaukee County Wraparound Milwaukee program through this contract with the State of Wisconsin Department of Health Services for services to Medicaid recipients primarily benefit these Medicaid recipients. I furthermore understand that since the State administers these programs through risk-based contracts with public entities, CMS requests the State provide the Regional Office with this written assurance that any funds paid to these counties primarily benefit Medicaid beneficiaries.

Bruce Kamradt
(Signature)

Oct 12, 2012
(Date)

Bruce Kamradt,
(Print Name and Title)
Project Director

10-12-12
(Print Date)

1
2 From the Director, Department of Health and Human Services, requesting authorization to
3 create a trust fund for the Behavioral Health Division’s Wraparound Milwaukee Program, and to
4 have such funds separately banked from the County Treasurer, by recommending adoption of
5 the following:
6

7 A RESOLUTION
8

9 WHEREAS, Director, Department of Health and Human Service, has requested approval to
10 create a trust fund to be utilized for the receipt and disbursement of funds associated with the
11 Behavioral Health Division’s Wraparound Milwaukee Program; and
12

13 WHEREAS, establishment of a Trust Fund requires approval of the County Board per
14 Resolution File No. 86-779 (a)(a); and
15

16 WHEREAS, the Wraparound Milwaukee Program functions as a unique managed care entity
17 under a contract and federal 1915(a) agreement with Medicaid for youth with serious emotional
18 disturbance (SED) in Milwaukee County; and
19

20 WHEREAS, services are targeted to children and young adults up to age 24 with severe
21 emotional and mental health needs, involved with two or more child or adult serving systems
22 and who are at risk of residential treatment or other institutional settings; and
23

24 WHEREAS, Wraparound currently receives a monthly capitated rate for each child enrolled
25 in the program and this capitated rate is revised based on actuarial studies conducted at the
26 discretion of the State; and
27

28 WHEREAS, based on correspondence from the State (see Attachment 1 and Attachment 2)
29 and meetings with the Milwaukee County Comptroller, it has been determined that any excess
30 funds received by Milwaukee County related to the Wraparound program must be spent on
31 services for those clients and cannot be dropped to the County’s bottom line; and
32

33 WHEREAS, based on current 2012 projections, BHD is requesting that \$2.5 million in surplus
34 capitated rate revenues be put into a trust fund for the Wraparound program to be used in the
35 future for Wraparound clients; and
36

37 WHEREAS, this trust fund will provide assurance to the policy makers and taxpayers of
38 Milwaukee County that, even as capitated rates may change, the Wraparound program will be
39 fully funded and not necessitate tax levy, barring any unforeseen major changes; and
40

41 WHEREAS, Chapter 15.18 of the County’s General Ordinances governing departmental bank
42 accounts must be revised to authorize the Director, Department of Health and Human Service to
43 establish the required accounts and to disburse funds from these accounts; now, therefore,
44

45 BE IT RESOLVED, that the Milwaukee County Board of Supervisors authorizes the
46 Comptroller, to create a trust fund for the purposes of receiving and disbursing revenues
47 received from the State for purposes of the Wraparound Milwaukee Program, and
48

49 BE IT FURTHER RESOLVED, the disbursement of such funds is subject alone to the rules of a cash
50 disbursement procedure prepared by the Department of Health and Human Services and
51 provided to Comptroller, and
52

53 BE IT FURTHER RESOLVED, that the Milwaukee County Board of Supervisors does hereby
54 adopt the following ordinance:
55

56 AN ORDINANCE

57 To amend Section 15.18 of the General Ordinances of Milwaukee County relating to
58 disbursement by other than the County Treasurer of other than department imprest funds.
59

60 The County Board of Supervisors of the County of Milwaukee does ordain as follows:
61

62 Section 1. Section 15.18 of the General Ordinances of Milwaukee County is amended by
63 adding the following:
64

65 Department and Account	65 Checks Signed By
66 (16)DHHS – Behavioral Health	66 Director, Department of Health and
67 Division – Wraparound Milwaukee	67 Human Services or Designee
68 Program	

69
70 SECTION 2: This ordinance shall become effective upon passage and publication.
71
72

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/7/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Report, from the Director of Health and Human Services, Requesting Authorization to Create a Trust Fund for the Behavioral Health Division’s Wraparound Milwaukee Program

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency’s Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency’s Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure		0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated. ¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

A. The establishment of a Trust Fund requires approval of the County Board per Resolution File No. 86-779 (a)(a), therefore the Director, Department of Health and Human Service (DHHS), is requesting approval to create a trust fund to be utilized for the receipt and disbursement of funds associated with the Behavioral Health Division's (BHD) Wraparound Milwaukee Program (Wraparound).

In addition, Chapter 15.18 of the County's General Ordinances governing departmental bank accounts must be revised to authorize the Director, DHHS, to establish the required accounts and to disburse funds from these accounts.

B. Based on correspondence from the State (see Attachment 1 and Attachment 2) and meetings with the Milwaukee County Comptroller, it has been determined that any excess funds received by Milwaukee County related to the Wraparound program must be spent on services for those clients and cannot be dropped to the County's bottom line. In 2012, Wrap is anticipating a significant surplus primarily due to clients in the newly developed and expanded REACH and Project O'Yeah (Healthy Transitions) programs are using less behavioral care and services than anticipated.

The \$2.5 million that is recommended to be moved into the trust fund for Wraparound has not been anticipated in any BHD quarterly reports for 2012. Therefore there is no tax levy impact associated with this request. Establishing this trust fund will ensure that the financial stability of the Wraparound program is maintained in the future.

C. See Section B above

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

D. No assumptions.

Department/Prepared By Alexandra Kotze, Fiscal and Policy Administrator - DHHS

Authorized Signature 

Did DAS-Fiscal Staff Review? Yes No

**COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION**

DATE: October 19, 2012

TO: Chairperson Michael Mayo Sr., Transportation, Public Works & Transit Committee
Co-Chair Willie Johnson, Jr., Finance, Personnel and Audit Committee
Co-Chair David Cullen, Finance, Personnel and Audit Committee

FROM: Brian Dranzik, Interim Director, Department of Transportation

SUBJECT: **SEMI-ANNUAL REPORT ON AIRPORT CAPITAL IMPROVEMENT PROJECTS**

POLICY

Informational Report

BACKGROUND

Per the adopted 2012 Capital Budget, the Airport Director shall continue to submit a semi-annual report to the Committees on Finance and Audit and Transportation and Public Works on the status of all currently authorized Capital Improvement Projects. In a form pre-approved by the DAS Capital Finance Manager, County Board staff and Director of Audits, the report shall provide the following information for each authorized Capital Improvement Project:

- Date of initial County Board approval
- Brief description of scope of project
- Estimated completion date
- Expenditures and revenues summary, including reconciliation of each revenue source (e.g. Passenger Facility Charges, Airport Reserve, Bonds and Miscellaneous Revenue) and amount of committed funds for each.
- Date, purpose and amount of any approved appropriation transfers

Attached is the second semi-annual report for 2012, which indicates the expenditure and revenue summaries of the Airport's active Capital Improvement projects through June, 2012. The capital projects shown are at various stages of development, several of which have reached completion and will be closed out as part of the 2012 year end activities. The next report will be submitted after the close of period 14, December 31, 2012.

Prepared by: Patricia M Walslager, Deputy Airport Director, Finance & Administration

Approved by:

Brian Dranziki, Interim Director
Department of Transportation

Barry Bateman
Airport Director

October 19, 2012
Page 2

Cc: Patrick Farley, Director, Department of Administrative Services
Pamela Bryant, Capital Finance Manager
Justin Rodriguez, Capital Finance Management Analyst
James Martin, Fiscal Management Analyst
Carol Mueller, Committee Clerk, Finance & Audit Committee
Jodi Mapp, Committee Clerk, Transportation, Public Works & Transit Committee

Attachment: Excel Spreadsheet summarizing Capital Improvement Projects through June 2012

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**GMIA Capital Projects
Summary of Revenue Funding by Source**

Project #	Project Name	Manager	Proj Approved	Proj Complete	GARB BONDS A/C 4907	PFC BACKED BONDS A/C 4907	INTEREST ON BONDS A/C 1841	STATE GRANT A/C 2299	FEDERAL GRANT A/C 2699	PFC REVENUE A/C 4901	CAPITAL RESERVE	TOTAL FUNDING	Approved by way of Capital Budget	Fund Transfer Revisions	Number of Fund Transfers	
ACTIVE GMIA PROJECTS																
WA005	Master Plan Update	Kevin Demitros	7/19/2001	Transfer								1,787,160			4	
WA006	C Concourse, Four Gate Expansion	Ed Baisch	1999	Adopted budget		59,586,366	1,079,000			3,992,853	583,300	65,241,519	32,915,070	32,326,448	15	
WA022	Abrasive Storage Building- Design	Paul Montalto	9/15/2009	Budget				283,758	1,702,545	283,758		2,270,060	2,270,060			
WA042	Baggage Claim Remodeling	Jim Zsebe	2006	Adopted Budget						7,131,750		7,131,750	7,131,750			
WA044	In-line Bag Screening, Phase 1 and 2	Tim Kipp	2002	Adopted Budget		26,236,300	289,500	393,312	17,289,018	7,880,000		52,088,130	26,565,800	25,522,330	1	
WA048	D Concourse Improvements	Ed Baisch	2003	Adopted Budget			10,791,950			8,522,630	375,000	20,007,580	10,084,950	9,922,630	6	
WA061	E Concourse Stem Remodeling & Electrical	Ed Baisch	2004	Adopted Budget	9,455,299	17,000	4,000			350,000	1,204,000	11,030,299	9,455,299	1,575,000	2	
WA064	Phase II Mitigation Program	Kim Berry	9/15/2009	Budget				2,775,260	22,202,080	2,775,260		27,752,600	27,752,600			
WA072	LJT Runway Crack Repair and Sealcoating	Paul Montalto	2006	Adopted Budget				59,525	2,135,220	35,750	25,775	2,256,270	1,979,270	277,000	3	
WA094	Runway Safety Area Improvements - RSA-Runway 1L, 19R, 7R	Jim Zsebe	2005	Adopted Budget			10,711,184	8,624,434	53,736,604	947,750		74,019,972	58,316,831	15,703,141	3	
WA095	Terminal Cable Tray System	Wilfredo Rivera	9/15/2009	transfer							347,000	347,000	347,000		1	
WA096	Parking Structure Relighting	Tim Kipp	9/15/2009	transfer		1,616,000				195,000		1,811,000	1,616,000	195,000	1	
WA100	Security Sys Fiber Optic Cable Replacement	Steve Dragosz	11/7/2006	adopted budget				186,375	1,118,250	522,375		1,827,000	1,827,000			
WA108	HVAC Equipment Replacement	V. Mehla	5/31/2006	Budget	6,412,700		46,700				400,000	6,859,400	5,933,150	926,250	1	
WA122	Airfield Pavement Rehabilitation	Paul Montalto	11/7/2006	adopted budget				552,625	3,315,750	816,725	490,000	5,175,100	4,325,100	850,000	1	
WA123	Airfield Safety Improvements	Tim Kipp	11/7/2006	adopted budget				289,500	1,737,000	165,250	128,250	2,320,000	2,320,000		1	
WA124	Install Ground Power Units & Preconditioned Air	Ed Baisch	11/3/2007	Adopted Budget				278,625	1,923,750	288,025		2,490,400	1,269,400	1,221,000	1	
WA125	Security and Wildlife Perimeter Fence	Paul Montalto	11/3/2007	Adopted Budget				147,750	886,500	147,200		1,181,450	866,450	315,000	2	
WA127	GMIA Terminal Expansion Design Study	Mac Malas	11/3/2007	Adopted Budget						500,000		500,000	500,000			
WA130	Noise Barner Study	Kim Berry	11/3/2007	Adopted Budget				35,510	284,080	36,410		356,000	180,900	175,100	1	
WA131	Part 150 Ramp Electrification	Ed Baisch	11/3/07	Adopted Budget				50,562	357,375	50,063		458,000	458,000			
WA133	D Hammerhead Restroom Remodel	J. Zsebe	11/11/2008	Adopted Budget	2,190,000					221,000		2,411,000	221,000	2,190,000	1	
WA135	Runway 1L/19R & 7R/25L Intersection	Ed Baisch	10/8/2008	Adopted Budget				1,709,045	10,244,184	1,688,228		13,641,458	8,750,000	4,891,458	1	
WA139	Redundant Main Electrical Feed	Jim Zsebe	11/11/2008	Adopted Budget		160,500				4,184,000	3,702,500	8,047,000	8,047,000			
WA141	Admin BLDG Ground Level Build Out GMIA TRAINING FACILITY	Bernie Mielcarek	11/11/2008	Adopted Budget	2,415,000						489,000	2,904,000	2,904,000			
WA142	LJT Runway 15L - 33R Extension	Ed Baisch	11/11/2008	Adopted Budget				13,450	511,100		13,450	538,000	538,000			
WA145	Runway Guard Lights	T. Kipp	11/11/2008	Transfers	1,648,000			168,000	1,008,000	168,000		2,992,000		2,992,000	2	
WA147	Deicing pads at Cargo	Jim Zsebe	2011	Transfers						100,000		100,000	100,000		1	
WA148	Expand Fleet Building	Paul Montalto	1/1/2010	Budget						3,616,000		3,616,000	3,366,000	250,000	1	
WA149	Snow Equipment Storage Building	Jim Zsebe	1/1/2010	Budget		13,272,000				330,000		13,602,000	13,272,000	330,000	1	
WA151	Part 150 Noise Monitoring	Kim Berry	2013	Budget				214,000	1,712,000	214,000		2,140,000	1,850,000	290,000	1	
WA152	Part 150 Vacant land Acquisition	Kim Berry	2009	Budget				156,000	1,248,000	156,000		1,560,000	1,040,000	520,000	1	
WA153	Purchase Non-County owned jet bridges	Tom Heller	2012	Budget	3,000,000	2,000,000				5,500,000		10,500,000	6,550,000	3,950,000	1	
WA158	GMIA Deicing Pad	Tim Kipp	2013	Budget						300,000		300,000	300,000			
WA160	GMIA Narrow Band Conversion	Terry Blue	2011	Budget		2,000,000						2,000,000	2,000,000			
WA161	GMIA Terminal Roadway Signage	Bernie Mielcarek	2011	Budget						3,100,000		3,100,000	3,100,000			
WA162	GMIA CESSNA SERVICE APRON RECONSTRUCTION	Paul Montalto	2012	Budget				139,500	837,000	11,875	127,625	1,116,000	1,116,000			
WA163	GMIA PERIMETER ROAD BRIDGE OVER HOWELL AVENUE	Karl Stave	2012	Budget				750,000	4,500,000	750,000		6,000,000	3,500,000	2,500,000	1	
WA165	Taxiway B Reconstruction	Tim Kipp	2011	Budget				2,373,600			593,400	2,967,000	2,140,000	827,000	2	
WA166	GMIA Perimeter Road Extension	Tim Kipp	1/1/2012	Adopted Budget				137,500	825,000	137,500		1,100,000	1,100,000			
WA167	GMIA Terminal Escalator Replacement	Pete Asfari	1/1/2012	Adopted Budget							600,000	600,000	600,000			
WA169	LJT Runway and Taxiway Lights	Paul Montalto	1/1/2012	Adopted Budget				6,250	237,500		6,250	250,000	250,000			
WA173	GMIA Fuel Farm Electrical Service	Mary Turner	1/1/2012	Adopted Budget							150,000	150,000	150,000			
WA175	GMIA Concourse C Checkpoint	Ed Baisch	2011	Fund Transfer							472,000	472,000		472,000	1	
Data shown is as of end of June 2012					Grand total GMIA Projects	27,120,999	124,391,300	1,737,200	19,344,581	127,810,956	56,904,563	9,707,550	367,017,148	256,561,630	110,455,518	67

**GMIA Capital Projects
Summary of Expenditures**

Project #	Project Name	Manager	Proj Approved	Proj Complete	Amounts Per Advantage					Expedition Remaining Commitments Note A	Currently Uncommitted Funds	Future Commitments Per A&E Note B	Net Available		
					Cumulative Budget	Cumulative Expended	Cumulative Unrealized	Currently Encumbered	Available Funds						
ACTIVE GMIA PROJECTS															
WA005	Master Plan Update	Kevin Demitros	7/19/2001	Transfer	2013	1,787,160	1,775,399	11,761	0	11,761	0	11,761	11,760	1	
WA006	C Concourse, Four Gate Expansion	Ed Baisch	1999	Adopted Budget	2010	65,241,519	64,318,518	923,001	28,257	894,744	0	894,744	169,402	725,342	
WA022	Abrasive Storage Building- Design	Paul Montalto	9/15/2009	Budget	2012	2,270,060	261,612	2,008,448	318,500	1,689,948	0	1,689,948	1,687,738	2,210	
WA042	Baggage Claim Remodeling	Jim Zsebe	2006	Adopted Budget	2014	7,131,750	3,598,458	3,533,292	2,384,720	1,148,572	822	1,147,750	999,429	148,321	
WA044	In-line Bag Screening, Phase 1 and 2	Tim Kipp	2002	Adopted Budget	2013	52,088,130	34,035,741	18,052,389	9,345,353	24,690,389	15,609,314	9,081,075	9,081,075	0	
WA048	D Concourse Improvements	Ed Baisch	2003	Adopted Budget	2012	20,007,580	18,539,495	1,468,085	10,255	1,457,830	9,820	1,448,010	74,971	1,373,039	
WA061	E Concourse Stem Remodeling & Electrical	Ed Baisch	2004	Adopted Budget	2011	11,030,299	10,677,224	353,075	27,707	325,368	6,843	318,525	316,932	1,593	
WA064	Phase II Mitigation Program	Kim Berry	9/15/2009	Budget	2014	27,752,600	9,964,879	17,787,721	2,556,451	15,231,270	0	15,231,270	14,218,270	1,013,000	
WA072	LJT Runway Crack Repair and Sealcoating	Paul Montalto	2006	Adopted Budget	2012	2,256,270	1,038,602	1,217,668	247,806	969,862	1,040,210	232,729	232,729	0	
WA094	Runway Safety Area Improvements - RSA-Runway 1L, 19R, 7R and	Jim Zsebe	2005	Adopted Budget	2013	74,019,972	59,813,715	14,206,257	10,005,354	4,200,903	141,982	4,058,921	4,023,616	35,305	
WA095	Terminal Cable Tray System	Wilfredo Rivera	9/15/2009	transfer	2011	347,000	266,258	80,742	0	11,618	69,124	34,316	34,808	0	
WA096	Parking Structure Relighting	Tim Kipp	9/15/2009	transfer	2012	1,811,000	383,512	1,447,488	57,623	1,389,865	431,078	958,787	958,787	0	
WA100	Security Sys Fiber Optic Cable Replacement	Steve Dragosz	11/7/2006	adopted budget	2010	1,827,000	1,721,861	105,139	0	105,139	0	105,139	105,139	0	
WA108	HVAC Equipment Replacement	V. Mehla	5/31/2006	Budget	2011	6,859,400	6,624,245	235,155	0	235,155	99,028	136,127	106,297	29,830	
WA122	Airfield Pavement Rehabilitation	Paul Montalto	11/7/2006	adopted budget	2012	5,175,100	4,053,208	1,121,892	967,052	154,839	10,118	144,721	68,263	76,458	
WA123	Airfield Safety Improvements	Tim Kipp	11/7/2006	adopted budget	2012	2,320,000	2,284,229	35,771	0	35,771	0	35,771	17,570	18,201	
WA124	Install Ground Power Units & Preconditioned Air	Ed Baisch	11/3/2007	Adopted Budget	2011	2,490,400	1,011,652	1,478,748	408,114	1,070,635	93,183	977,452	975,209	2,243	
WA125	Security and Wildlife Perimeter Fence	Paul Montalto	11/3/2007	Adopted Budget	2012	1,181,450	773,248	408,202	162,869	245,333	8,525	236,808	236,763	45	
WA127	GMIA Terminal Expansion Design Study	Mac Malas	11/3/2007	Adopted Budget	2016	500,000	0	500,000	0	500,000	0	500,000	500,000	0	
WA130	Noise Barrier Study	Kim Berry	11/3/2007	Adopted Budget	2011	356,000	1,000	355,000	0	355,000	0	355,000	355,000	0	
WA131	Part 150: Ramp Electrification	Ed Baisch	11/3/2007	Adopted Budget	2012	458,000	0	458,000	0	458,000	0	458,000	458,000	0	
WA133	D Hammerhead Restroom Remodel	J. Zsebe	11/11/2008	Adopted Budget	2012	2,411,000	1,174,723	1,236,277	59,184	1,177,092	0	1,177,092	1,177,092	0	
WA135	Runway 1L/19R & 7R/25L Intersection	Ed Baisch	10/8/2008	Adopted Budget	2013	13,641,458	5,825,559	7,815,899	4,834,597	4,037,913	20,588	4,017,325	2,707,139	1,310,186	
WA139	Redundant Main Electrical Feed	Jim Zsebe	11/11/2008	Adopted Budget	2013	8,047,000	428,275	7,618,725	36,830	7,581,895	836	7,581,059	7,561,331	19,728	
WA141	Admin BLDG Ground Level Build Out GMIA TRAINING FACILITY	Bernie Mielcarek	11/11/2008	Adopted Budget	2013	2,904,000	97,363	2,806,637	0	2,754,133	9,025	2,745,108	2,740,728	4,380	
WA142	LJT Runway 15L - 33R Extension	Ed Baisch	11/11/2008	Adopted Budget	2011	538,000	172,682	365,318	30,158	335,160	28,809	306,351	306,351	0	
WA145	Runway Guard Lights	T. Kipp	11/11/2008	Transfers	2012	2,992,000	1,107,072	1,884,928	0	1,884,928	11,718	1,873,210	225,210	1,648,000	
WA147	Deicing pads at Cargo	Jim Zsebe	2011	Transfers	2013	100,000	15,527	84,473	0	84,473	0	84,473	84,473	0	
WA148	Expand Fleet Building	Paul Montalto	1/1/2010	Budget	2012	3,616,000	445,967	3,170,033	341,635	2,828,398	0	2,828,398	2,821,660	6,738	
WA149	Snow Equipment Storage Building	Jim Zsebe	1/1/2010	Budget	2012	13,602,000	576,338	13,025,662	494,560	12,531,102	0	12,531,102	12,530,513	589	
WA151	Part 150 Noise Monitoring	Kim Berry	2010	Adopted Budget	2013	2,140,000	0	2,140,000	0	2,140,000	0	2,140,000	2,140,000	0	
WA152	Part 150 Vacant Land Acquisition	Kim Berry	2010	Adopted Budget	2012	1,560,000	0	1,560,000	0	1,560,000	0	1,560,000	1,560,000	0	
WA153	Purchase Non-County owned jet bridges	Tom Heller	2010	Transfer 2009	2014	10,500,000	1,825,260	8,674,740	0	8,674,740	0	8,674,740	8,674,740	0	
WA158	GMIA Deicing Pad	Paul Montalto	2013	Budget	2013	300,000	0	300,000	0	300,000	0	300,000	275,000	25,000	
WA160	GMIA Narrow Band Conversion	Terry Blue	2010	Budget	2013	2,000,000	1,663,167	336,833	89,552	247,280	0	247,280	0	247,280	
WA161	GMIA Terminal Roadway Signage	Bernie Mielcarek	2011	Budget	2013	3,100,000	143,245	2,956,755	137,445	2,819,310	70,893	2,748,417	2,748,417	0	
WA162	GMIA CESSNA SERVICE APRON RECONSTRUCTION	Paul Montalto	2012	Budget	2013	1,116,000	0	1,116,000	0	1,116,000	75,000	1,041,000	1,041,000	0	
WA163	GMIA PERIMETER ROAD BRIDGE OVER HOWELL AVENUE	Karl Stave	2012	Budget	2013	6,000,000	403,005	5,596,995	113,742	5,483,253	1	5,483,252	5,472,508	10,744	
WA165	Taxiway B Reconstruction	Tim Kipp	2011	Budget	2012	2,967,000	2,736,361	230,639	4,551	226,088	-320	226,408	226,308	100	
WA166	GMIA Perimeter Road Extension	Tim Kipp	1/1/2012	Adopted Budget	2013	1,100,000	26,519	1,073,481	12,808	1,060,673	19,451	1,041,222	1,028,804	12,418	
WA167	GMIA Terminal Escalator Replacement	Pete Asfari	1/1/2012	Adopted Budget	2013	600,000	238	599,762	0	599,762	11,449	588,313	587,957	356	
WA169	LJT Runway and Taxiway Lights	Paul Montalto	1/1/2012	Adopted Budget	2013	250,000	0	250,000	764	249,236	20,219	229,017	228,232	785	
WA173	GMIA Fuel Farm Electrical Service	Mary Turner	1/1/2012	Adopted Budget	2013	150,000	0	150,000	0	150,000	75,000	75,000	75,000	0	
WA175	GMIA Concourse C Checkpoint	Ed Baisch	2011	Fund Transfer	2013	472,000	313,075	158,925	73,922	85,003	14,775	70,228	67,711	2,517	
Data shown is as of end of June 2012						Grand total GMIA Projects	367,017,148	238,077,232	128,939,915	32,781,427	113,165,948	17,842,685	95,626,339	88,891,277	6,735,062

Note A: Defined as total commitments per Expedition, less expenditures to date and less encumbrances
In other words, planned future expenditures not yet recognized in Advantage.

Note B: Estimates by A&E of future commitments, not yet in either Advantage or Expedition
These are being picked up as uncommitted funds as per Project Listing report

CHRIS ABELE, MILWAUKEE COUNTY EXECUTIVE
JAMES KEEGAN, INTERIM DIRECTOR OF PARKS, RECREATION AND CULTURE

Date: January 15, 2013
To: Chairwoman Marina Dimitrijevic, Milwaukee County Board of Supervisors
From: James Keegan, Interim Director, Department of Parks, Recreation and Culture
Subject: **Administrative Appropriations Transfer - ACTION**

ISSUE

An administrative appropriations transfer from Org. Unit 9125 to Org. Unit 9136 by the Department of Administrative Services (DAS) for the Department of Parks, Recreation and Culture (DPRC).

BACKGROUND

The DPRC operates three regions within its Operations Division including North, Central and South. Three Deputy Regional Managers oversee these regions and are responsible for the expenditure and revenue budgets for their respective areas. Currently, the Central and North Region budgets are contained under one Org. Unit of 9125. The South Region is separate under Org. Unit 9155.

To be more accountable for and effectively manage the budgets within each region the DPRC is requesting the transfer of funds used and/or made by the Central Region out of Org. Unit 9125 into Org. Unit 9136. This separation of Org. Units will allow management to more accurately assign expenditures and revenues and will aid the department in future budget preparation.

This is a reallocation of funding as provided to the DPRC in the 2013 Adopted Budget. No added tax levy is being requested.

The Department of Administrative Services (DAS) has the ability, with authorization from the County Board, to administratively transfer funds from Org. Unit 9125 into Org. Unit 9136.

RECOMMENDATION

The Interim Parks Director respectfully recommends authorizing DAS to perform an administrative appropriations transfer in order to establish budget authority in Org. Unit 9136 by reallocating appropriations from Org. Unit 9125.

Prepared by: Laura Schloesser, Chief of Administration & External Affairs

Recommended by:

Approved by:

Laura Schloesser, Chief of Administration
and External Affairs

James Keegan, Interim Parks
Director

Cc: County Executive Chris Abele
Amber Moreen, Chief of Staff, County Executive's Office
Kelly Bablitch, Chief of Staff, County Board
Supv. Willie Johnson, Jr., Co-Chair, Finance, Personnel and Audit Committee
Supv. David Cullen, Co-Chair, Finance, Personnel and Audit Committee
Supv. Jason Haas, Vice-Chair, Finance, Personnel and Audit Committee
Vince Masterson, Fiscal & Strategic Asset Coordinator, Admin & Fiscal Affairs/DAS
Dan Laurila, Fiscal Mgt. Analyst, Admin & Fiscal Affairs/DAS
Carol Mueller, Finance, Personnel and Audit Committee Clerk
Steven Cady, Research Analyst, Finance, Personnel and Audit Committee

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4
5 (ITEM NO.) From the Interim Director, Department of Parks, Recreation and Culture,
6 seeking authorization for an administrative appropriations transfer from Org. Unit 9125 to
7 Org. Unit 9136

8
9 **A RESOLUTION**

10
11 WHEREAS, the DPRC operates three regions within its Operations Division; and

12
13 WHEREAS, three Deputy Regional Managers oversee these regions and are
14 responsible for the expenditure and revenue budgets for their respective areas; and

15
16 WHEREAS, currently the Central and North Region budgets are allocated within one
17 Org. Unit of 9125; and

18
19 WHEREAS, to be more accountable for and effectively manage budgets within each
20 region the DPRC is requesting the transfer of funds used for the Central Region out of Org.
21 Unit 9125 into Org. Unit 9136; and

22
23 WHEREAS, this separation of Org. Units will allow management to more accurately
24 assign expenditures and revenues and will aid the DPRC in future budget preparation; and

25
26 WHEREAS, this is a reallocation of funds as provided to the DPRC in the 2013
27 Adopted Budget and no additional tax levy is being requested; and

28
29 WHEREAS, the Department of Administrative Services has the ability, with
30 authorization from the County Board, to transfer funds; now, therefore

31
32 BE IT RESOLVED, that the Milwaukee County Board of Supervisors does hereby
33 direct the Department of Administrative Services to perform an administrative
34 appropriations transfer in order to establish budget authority in Org. Unit 9136 by
35 reallocating appropriations from Org. Unit 9125.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 15, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Administrative appropriations transfer from Org. Unit 9125 to Org. Unit 9136

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

- A. The DPRC is requesting an administrative appropriations transfer from Org. Unit 9125 to Org. Unit 9136.
- B. This is a reallocation of existing funds provided to the DPRC in the 2013 Adopted Budget as such there is no added tax levy being requested.
- C. No Impact
- D. None

Department/Prepared By Laura Schloesser/DPRC

Authorized Signature _____

Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

DRAFT

1-11-2013 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
A DEPARTMENTAL - RECEIPT OF REVENUE File No. 12-1
(Journal, December 15, 2011)

Action Required

Finance, Personnel and Audit Committee
County Board (2/3 Vote)

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2012 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>2000 – Combined Court Related Operations</u>		
6148 – Professional Services – Recurring		\$97,550
2699 – Other Fed Grants & Reimb	\$97,550	

A transfer of \$97,550 is requested by the Milwaukee County Clerk of Courts to recognize the receipt of federal revenue and to establish related expenditure authority.

In late 2011, Marquette University informed the U.S. Department of Justice (USDOJ) that it was no longer interested in administering the Milwaukee Foreclosure Mediation Program. The program is designed to help people avoid foreclosure on their home through mediation services with their lenders, which must be entered into mutually. Funding for the program is provided by the USDOJ as the result of legal action against Countrywide Financial Corporation. The program is operated on a contract basis by Metro Milwaukee Mediation Services Inc.

In the January 2012 Board Cycle, the Clerk of Circuit Courts sought and received approval to accept the program funds and to enter into a professional services contract agreement with Metro Milwaukee Mediation Services Inc. (County Board file #12-89) for the payment of the contract and the recognition of the funds from the USDOJ. The Circuit Courts has acted as a pass-through administrator of the program, which will require only minimal staff time to implement. During the May 2012 Board Cycle a

fund transfer in the amount of \$177,519 was approved in order to receive revenue and establish expenditure authority for this program.

The Clerk of Circuit Courts has since been informed that additional funding is available from the Wisconsin Department of Justice to continue this program. Of this funding, \$97,550 was made available for expenditures incurred in 2012 and \$316,000 has been made available for 2013. The Clerk of Circuit Courts requested and received permission to increase the contract with Metro Milwaukee Mediation Services by a like amount into 2013 (County Board file #12-878) in the December 2012 Board Cycle.

This fund transfer includes the \$97,550 in funding and related expenditure authority for 2012. A separate fund transfer includes the \$361,000 in funding and expenditure authority for 2013.

This transfer will allow the department to receive revenue, and establish related expenditure authority.

Approval of this transfer would result in a \$0 tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

	<u>From</u>	<u>To</u>
2) <u>6533- Facilities Maintenance- Main Building</u>		
6330 – Steam		\$140,000
<u>6503 – Security</u>		
6023 – Security Fees		\$250,000
<u>6402- Community Services Administration</u>		
3790 – Other Health Revenues	\$390,000	

An appropriation transfer of \$390,000 is requested by the Director of the Department of Health and Human Services to recognize additional revenue and to realign revenues and expenditures accordingly within the Behavioral Health Division (BHD).

At the close of every year, BHD submits its Wisconsin Medicaid Cost Report (WIMCR) to the State. This report includes all services provided by BHD and its contracted providers that were not paid for by private insurance. The state then allocates additional prior year revenue to cover a portion of these costs. In December 2012, BHD received its 2011 WIMCR payment, which resulted in increased revenue of \$390,000.

This increase is partially offset by increased expenditures of \$140,000 to cover a utilities deficit due to increased steam costs. In addition, security fees are increased by \$150,000 due to increased use of security in the BHD facility.

Per the 2012 Adopted Budget, any new or unanticipated revenue actually received in the current year that is not identified in that year's budget shall be transferred to the Appropriation for Contingencies (Org. Unit 1945) Budget. Approval of this transfer would amend the adopted policy and allow the department to recognize revenue and to realign revenues and expenditures accordingly to offset the deficit.

Approval of this transfer would result in a \$0 tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

DRAFT

1-11-13 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
B UNALLOCATED CONTINGENCY File No. 12-1
(Journal, December 15, 2011)

Action Required
Finance, Personnel and Audit Committee
County Board (2/3 Vote)

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2012 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>3010 – Election Commission</u>		
6403 – Ballots and Election Services		\$38,579
<u>1945 – Appropriation for Contingency</u>		
8901 – Appropriation for Contingencies	\$38,579	

A transfer in the amount of \$38,579 is being requested by the Department Administrator of the Election Commission to increase the appropriations relating to elections held in 2012.

In accordance with Wisconsin Statute Sec. 5.68(2) and 7.03(1)(bm), Milwaukee County is responsible for all election related costs for County, State and Federal elections. The Election Commission, as a result of a ruling by the Government Accountability Board that affirmed that counties are responsible for ballots, programming, publication, and election supplies for recall elections, requested a fund transfer in July 2012 in the amount of \$540,000 that provided a total of \$1,165,000 in funding for ballot and election supplies and advertising costs. It was intended that this level of funding would be sufficient to cover costs related to the recall elections and the regularly-scheduled fall elections, which included the Presidential election in November.

However, due primarily to extremely high turnout during the Presidential election, the Election Commission has exhausted its budget in the Services appropriation unit, including a \$27,030 deficit in the ballots and election supplies line item, leaving insufficient funding for outstanding costs. Two invoices remain to be paid related to the 2012 Presidential Election. This fund transfer seeks to utilize funding from the Appropriation for Contingencies to provide sufficient expenditure authority to pay these related items.

There is no tax levy impact from this transfer.

TRANSFER SUBMITTED TO THE COUNTY EXECUTIVE _____.

DRAFT

1-11-2013 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
C CAPITAL IMPROVEMENTS

File No. 12-1
(Journal, December 15, 2011)

Action Required

Finance, Personnel and Audit Committee
County Board (Majority Vote)

WHEREAS, your committee has received from the Department of Administrative Services, Fiscal Affairs, departmental requests for transfer to the 2012 capital improvement accounts and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2012 capital improvement appropriations:

	<u>From</u>	<u>To</u>
1) <u>WH022012 N. 107th St. (Brown Deer Road to North County Line Road) Construction #</u>		
6146 – Prof Serv- Cap/Major Maintenance	\$40,000	
<u>WH022013 N. 107th St. (Brown Deer Road to North County Line Road) Right of Way #</u>		
8526 – Land Improvements- (CAP)		\$40,000

Existing Project, + Included in 5-Year Plan, * New Project

A **2012** appropriation transfer of \$40,000 is requested by the Interim Director of Transportation to reallocate expenditure authority from the construction phase to the right of way phase of Project WH022012, North 107th St., Brown Deer Road to North County Line.

The 2009 2nd Financing included an appropriation of \$701,000 for the basic design phase of the North 107st. project. A March 2011 fund transfer included an appropriation of \$2,140,700 for the construction phase of the project.

This appropriation transfer will reallocate \$40,000 of expenditure authority from the construction phase to the right of way phase of the project. The reallocation is needed in the right of way phase in order to accommodate and expedite the final completion of the project. Construction on the project is estimated to be substantially complete by November 2013.

DRAFT

This fund transfer has no tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

	<u>From</u>	<u>To</u>
2) <u>WH03013 Whitnall Park Bridge over Root River</u>		
<u>Bridge Number (P-40-0713) +</u>		
6146 – Prof Serv- Cap/Major Maintenance		\$187,500
9706 – Professional Division Services		\$62,500
2699 – Federal Grants and Reimbursements	\$200,000	
<u>WH03006 Whitnall Park Bridge over Root River</u>		
<u>Bridge Number (P-40-0721) # (2010C BABs)</u>		
8530 – Roadway Planning & Construction- (CAP)	\$250,000	
2699 – Federal Grants and Reimbursements		\$200,000
–		

Existing Project, + Included in 5-Year Plan, * New Project

A 2012 appropriation transfer of \$250,000 is requested by the Interim Director of Transportation (MCDOT) to create expenditure authority and revenue for Project WH03013 – Whitnall Park Bridge over Root River – Bridge Number P-40-0713 (Whitnall Park Bridge #713) and to decrease expenditure authority and revenue from Project WH03006 Whitnall Park Bridge over Root River – Bridge Number P-40-0721 (Whitnall Park Bridge #721).

The 2010 Budget included an appropriation of \$150,000 for design work and \$800,000 for the replacement of Whitnall Park Bridge #721, which is located 0.1 mile south of West College Avenue and extends into the City of Franklin. It is a single-span, concrete flat slab bridge, with stone facade, timber and stone railing that was constructed in 1934. The existing bridge is in very poor condition and has a sufficiency rating of 43.5. The concrete slab is deteriorated, cracked and spalled, however, the bridge abutments are secured. Financing was budgeted 80% in Surface Transportation Program (STP) funds and the 20% local match was provided from Build America Bonds.

DRAFT

This appropriation transfer will reallocate \$250,000 of expenditure authority from Whitnall Park Bridge #721 to Whitnall Park Bridge #713, which is located 0.1 mile north of W. College Avenue and extends into Hales Corners. Both bridges have bridge sufficiency ratings that are below desirable levels and that indicate replacement of these structures is necessary. Since the Whitnall Park Birdge #721 has been delayed and the two bridges are similar in type and located in close physical proximity to each other, it is advisable to complete the design and construction for replacement of these structures simultaneously in order to minimize public interruption during the construction period and capture any potential cost savings that may be available.

Future budget appropriation requests are anticipated to replace the \$250,000 of construction funds utilized from Whitnall Park Bridge #721 and to fund the construction phase of Whitnall Park Bridge #713. In addition, MCDOT anticipates submitting an application to the Wisconsin Department of Transportation in June 2013 for Surface Transportation Program (STP) funds to cover 80 percent of construction costs for these bridge projects.

This fund transfer has no tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

DRAFT

Action Required
Finance, Personnel and Audit Committee
County Board (Majority Vote)

WHEREAS, department requests for transfers between separate departmental accounts have been received by the Department of Administration, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administration, is hereby authorized to make the following transfers in the 2012 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>WT071 Transit Bus Protector Shields *</u>		
8588 – Other Capital Outlay- (EXP)		\$745,000
2699 – Federal Grants and Reimbursements	\$596,000	
<u>Org. 5600 Milwaukee County Transit System</u>		
8201 – Transit Operations	\$149,000	

Existing Project, + Included in 5-Year Plan, * New Project

A **2012** appropriation transfer is requested by the Interim Director of the Milwaukee County Department of Transportation (MCDOT) to create Project **WT071** - Bus Protector Shields. The project will be financed by \$596,000 of Federal revenue and the local match will be financed by \$149,000 of surplus expenditure authority in Org. 5600 Milwaukee County Transit System (MCTS).

The **2013** Adopted Capital Improvements Budget included Project **WT070** - Bus Protector Shields. The local share of the project was anticipated to be financed by general obligation bonds. However, the costs of the individual units fall below the County’s threshold for capitalization. Therefore, the project is not eligible for general obligation bond financing.

This **2012** appropriation transfer will create a new Bus Protector Shield project, but the local share will be financed by surplus expenditure authority in transit operations rather than general obligation bond proceeds. The project will retrofit 326 MCTS buses with operator protective shields. This appropriation transfer will in effect replace the **2013** capital improvement project for bus protector shields. The **2013** project will be lapsed at year end and will have no impact to the County’s bottom line.

Approval of this appropriation transfer will reduce the **2012** operating surplus for Transit by \$149,000.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

DRAFT

Action Required

Finance, Personnel and Audit Committee

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2012 appropriations of the respective listed departments:

		<u>From</u>	<u>To</u>
1) <u>3700 – Office of the Comptroller</u>			
5199	- Salaries & Wages	\$6,762	
7910	- Office Supplies		\$1,546
7973	Minor Office Equipment		\$4,777
7915	Computer Software		\$339
9799	Other Crosscharges		\$100

A transfer of \$6,762 is requested by the Office of the Comptroller to realign expenditure authority to utilize a surplus in personnel costs for the purchase of office equipment.

The Office seeks to purchase a scanner mainly in order to eliminate older paper records in the payroll section. The Office is utilizing a surplus in salaries in wages that is the result of several vacancies experienced during the year. The Office is on pace to have a surplus of approximately \$75,000 for the year before this transfer.

This fund transfer has no tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

2012 BUDGETED CONTINGENCY APPROPRIATION SUMMARY

2012 Budgeted Contingency Appropriation Budget \$1,550,000

Approved Transfers from Budget through January 11, 2013

1000 - Membership in the Wisconsin Counties Association for the remainder of 2012 \$ (21,115)

3010 - Additional cost due to 2012 Recall elections \$ (540,000)

Unallocated Contingency Balance January 11, 2013 \$988,885

Transfers Pending in Finance, Personnel & Audit Committee through January 11, 2013

3010 - Additional cost due to high turnout in the 2012 Presidential election \$ (38,579)

Total Transfers Pending in Finance, Personnel & Audit Committee \$ (38,579)

Net Balance \$ 950,306

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1-11-2013 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
 A DEPARTMENTAL - RECEIPT OF REVENUE File No. 13-1
 (Journal, December 20, 2012)

Action Required

Finance, Personnel and Audit Committee
 County Board (2/3 Vote)

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2013 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>2000 – Combined Court Related Operations</u>		
6148 – Professional Services – Recurring		\$361,000
2699 – Other Fed Grants & Reimb	\$361,000	

A transfer of \$361,000 is requested by the Milwaukee County Clerk of Courts to recognize the receipt of federal revenue and to establish related expenditure authority.

In late 2011, Marquette University informed the U.S. Department of Justice (USDOJ) that it was no longer interested in administering the Milwaukee Foreclosure Mediation Program. The program is designed to help people avoid foreclosure on their home through mediation services with their lenders, which must be entered into mutually. Funding for the program is provided by the USDOJ as the result of legal action against Countrywide Financial Corporation. The program is operated on a contract basis by Metro Milwaukee Mediation Services Inc.

In the January 2012 Board Cycle, the Clerk of Circuit Courts sought and received approval to accept the program funds and to enter into a professional services contract agreement with Metro Milwaukee Mediation Services Inc. (County Board file #12-89) for the payment of the contract and the recognition of the funds from the USDOJ. The Circuit Courts has acted as a pass-through administrator of the program, which will require only minimal staff time to implement. During the May 2012 Board Cycle a

fund transfer in the amount of \$177,519 was approved in order to receive revenue and establish expenditure authority for this program.

The Clerk of Circuit Courts has since been informed that additional funding is available from the Wisconsin Department of Justice to continue this program. Of this funding, \$97,550 was made available for expenditures incurred in 2012 and \$316,000 has been made available for 2013. The Clerk of Circuit Courts requested and received permission to increase the contract with Metro Milwaukee Mediation Services by a like amount into 2013 (County Board file #12-878) in the December 2012 Board Cycle.

This fund transfer includes the \$361,000 in funding and expenditure authority for 2013. A separate fund transfer includes the \$97,550 in funding and related expenditure authority for 2012

This transfer will allow the department to receive revenue, and establish related expenditure authority.

Approval of this transfer would result in a \$0 tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

	<u>From</u>	<u>To</u>
2) <u>4021 – Expressway Patrol</u>		
8522 – Machinery & Equipment-New		\$118,000
2299 – Other State Grants & Reimb	\$118,000	

An appropriation transfer of \$118,000 is requested by the Office of the Sheriff to recognize grant revenue and to realign revenues and expenditures accordingly within the Patrol Division.

In late 2012 the State of Wisconsin Department of Transportation (WisDOT) requested that the Office of the Sheriff provide enhanced patrol services during the planned reconstruction of the Zoo Interchange. The timeframe for the enhanced patrol envisioned by WisDOT would be January 28, 2013 through December 31, 2014. To fund these enhanced patrol efforts, WisDOT has indicated it will provide grant funding to the Office of the Sheriff, similar to past construction projects at the Marquette Interchange and the Mitchell Interchange. WisDOT has provided a grant notification (State Project I.D. 1060-37-93) that

indicates it will provide \$706,864 in funding for patrol staff time on a straight time and overtime basis, and \$179,700 for equipment purchases, for a total of \$886,564.

This specific fund transfer is related to the purchase of one enhanced patrol squad car and one freeway service patrol truck, with detailed specifications as required by the grant. The operation of the two vehicles is to be dedicated to patrolling the construction zone. This portion of the grant provides \$48,000 for the purchase of the squad car and \$70,000 for the truck, with fuel and maintenance to be billed to WisDOT at actual cost. The grant agreement indicates that WisDOT will reimburse the County for the equipment purchases at a rate of \$480 per week for the squad car and \$700 per week for the truck through December 2014. As a result, expenditure and revenue authority will need to be carried into 2014. Separate fund transfers can be expected for other portions of the grant agreement in future months.

This transfer would allow the department to recognize revenue and to realign revenues and expenditures accordingly.

There is no levy impact as a result of this transfer.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

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1-11-2013 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
B UNALLOCATED CONTINGENCY File No. 13-1
(Journal, December 20, 2012)

Action Required
Finance, Personnel and Audit Committee
County Board (2/3 Vote)

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2013 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>4000 – Office of the Sheriff</u>		
6503 – Equipment Rental-Short Term	\$57,500	
<u>4300 – House of Correction</u>		
6503 – Equipment Rental-Short Term	\$600,000	
<u>1945 – Appropriation for Contingency</u>		
8901 – Appropriation for Contingencies		\$657,500

The Fiscal and Budget Administrator requests a transfer of \$657,500 to shift expenditure authority out of the Office of the Sheriff (agency 4000) and the House of Correction (agency 4300) into the Appropriation for Contingencies.

The 2013 Adopted Budget includes a total of \$800,000 in expenditure authority for costs related to the Electronic Monitoring Program (EMU). This total includes 75 percent, or \$600,000, in agency 430-House of Correction and 25 percent, or \$200,000, in agency 400-Office of the Sheriff. This split is based on the transition of management of the House of Correction and transfer of responsibility for the EMU at the start of the second quarter of the year.

In addition to the expenditure authority, \$570,000 in revenue is budgeted for 2013 for fees charged to EMU participants. As with the expenditure authority, 75 percent (\$427,500) of this total is budgeted in agency 430-House of Correction and 25 percent (\$142,500) is budgeted in agency 400-Office of the Sheriff.

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Late in December 2012, the vendors that supply equipment for the EMU program reported that the Office of the Sheriff had cancelled the contract for equipment rental and it became clear that the Office of the Sheriff would not be operating an EMU program in 2013.

Based on this decision, it is requested that the net expenditure authority provided to the Office of the Sheriff for operation of the EMU be transferred out of the Office of the Sheriff and into the Appropriation for Contingencies. This net amount is \$57,500, which reflects the expenditure authority of \$200,000 less the budgeted revenue of \$142,500. This action would leave both expenditure and revenue budgets of \$142,500, which would hold the budget of the Office of the Sheriff harmless if the EMU program is not operated (i.e. the Sheriff can offset the revenue not earned by not spending the available funds).

With regard to the House of Correction, it is anticipated that once the transfer of management occurs, the expenditure authority of \$600,000 can be transferred back to agency 430, which will enable the Superintendent to operate the EMU program as envisioned by the terms of the 2013 Adopted Budget.

There is no tax levy impact from this transfer.

TRANSFER SUBMITTED TO THE COUNTY EXECUTIVE _____.

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Action Required

Finance, Personnel and Audit Committee
County Board (Majority Vote)

WHEREAS, your committee has received from the Department of Administrative Services, Fiscal Affairs, departmental requests for transfer to the 2013 capital improvement accounts and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2013 capital improvement appropriations:

	<u>From</u>	<u>To</u>
1) <u>WA16301 Perimeter Road Bridge over Howell Avenue</u>		
8527 – Land Improvements (CAP)		\$1,900,000
9706 – Prof Serv Div Services		\$50,000
6146 – Prof. Serv-CAP/Major Maintenance		\$250,000
2299 – Other State Grants and Reimbursement	\$275,000	
2699 – Other Fed Grants and Reimbursement	\$1,650,000	
4901 – Passenger Facility Charges	\$275,000	

A **2013** appropriation transfer of \$2,200,000 is requested by the Interim Director of Transportation to increase expenditure authority and revenues for Project WA16301 GMIA Perimeter Road Bridge over Howell Avenue.

The 2012 Adopted Capital Improvements Budget included an appropriation of \$3,200,000 for the construction phase of the Perimeter Road Bridge over Howell Avenue Project. This project is Airport Improvement Program (AIP) eligible as well as Passenger Facility Charge (PFC) financing eligible. The purpose of the project is to provide and maintain a secured perimeter roadway system whereby airport support vehicles can access all reaches of the airfield without the need to cross open taxiways and runways or travel on public streets.

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A May 2012 appropriation transfer added \$2,500,000 of additional expenditure authority to the project. The bridge, to be located on the south side of Runway 7R-25L near Citation Way, was originally anticipated to be similar to the existing bridge that crosses Howell Avenue and provides access from the Terminal to the Cargo Ramp and hangars located north of Runway 7R-25L. The 2012 Adopted Budget of \$3,200,000 was based on the bridges being similar. However, the proposed bridge site was found to be very restricted by existing site conditions, utilities and environmental restrictions. A number of significant enhancements to the bridge project will be necessary to address these requirements.

Of the various enhancements, the primary driver of the increase in costs was the necessity of a retaining wall that is included in the revised scope of work. There were minimal retaining walls anticipated in the original scope. The original scope considered the need for a retaining wall at the abutment on the east side of Howell to improve site distance for traffic entering and exiting Citation Way. Due to site restriction associated with FAA facilities, the drainage way, wetlands, flood plain, and limits on how far north Citation Way can be relocated, retaining wall structures totaling 2000 feet will be needed.

In addition to the lengthy retaining walls, other modifications were also determined to be needed due to site restrictions. The proposed bridge will need to be longer to minimize costly utility relocations and provide adequate site distance at the new intersection of Citation Way and Howell Avenue. Geotechnical investigation has revealed compressible soil conditions that required additional investigation so that the appropriation actions can be taken. Finally, the May 2012 appropriation transfer provided funding to change the security gate features for the project from manual to an automated gate and will include security cameras in order to provide better control access.

Subsequent to the approval of the May 2012 appropriation transfer, additional soil investigation was undertaken to better understand a potential settlement issue in the embankment areas that create the bridge approaches. The additional soil investigation revealed the potential for settlement up to three times the settlement that was initially projects.

This January 2013 appropriation transfer, will be used to cover the increase in the construction cost estimate primarily due to the settlement issue for the retaining walls and associated perimeter road fill material. The current settlement is estimated to be as much as 14.5 inches, which is more than the initially designed mechanically stabilized earth retaining walls can tolerate. This resulted in a change in retaining wall type and a construction time frame of two years instead of one year. To accommodate the

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estimated settlement, the mechanically stabilized earth retaining walls were changed to a wire-faced wall system that would be constructed in the first year. Fill retained by the wire-faced wall system in the bridge approach areas will consolidate the underlying soils. In the second year after the settlement has occurred, construction of the retaining wall system will be completed by placing precast concrete wall panels to cover the wire-faced wall. The perimeter road pavement and associated storm sewer system will be constructed in the second year rather than the first year.

This fund transfer will increase the total expenditure authority for the project by \$2,200,000 in order to address the enhancements required to construct the perimeter road bridge. Financing will be provided from \$1,650,000 of Federal Airport Improvement Program Revenue, \$275,000 of State Revenue, and \$275,000 of Passenger Facility Charge Revenue. Including this appropriation transfer, the estimated total project cost is \$8,200,000.

This fund transfer has no tax levy impact

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

	<u>From</u>	<u>To</u>
2) <u>WA178012 GMIA Parking Structure Ramp Infill *</u>		
8527 – Land Improvements (CAP)		\$575,000
9706 – Professional Division Services		\$52,000
6146 – Professional Services- Capital/Major Maintenance		\$78,000
0774 – Airport Development Fund (Reserve)	\$705,000	

Existing Project, + Included in 5-Year Plan, * New Project

A **2013** appropriation transfer of \$705,000 is requested by the Interim Director of the Department of Transportation and the Airport Director of the Department of Administrative Services to create expenditure authority and revenue for Project WA178012 GMIA Parking Structure Ramp Infill.

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There are seven rental car companies that operate out of the parking garage across from the baggage claim area of the terminal building at GMIA. The rental car companies located at GMIA, have identified a need for additional on airport parking spaces to coincide with their car rental business. The rental companies pay the County the higher of a Minimum Annual Guarantee or ten percent of all annual gross revenues.

An October 2012 report by GRAEF & K. Singh and Associates investigated the feasibility of the removal of four second level ramps and infilling two of the ramps with structural slabs and restoring at grade slabs under the ramps for the purpose of increased available parking space. The plan identified by the airport contractors will add about 11,500 square feet to their space and will not reduce the number of publicly accessible spaces. This will allow the car rental companies to operate more efficiently.

This 2013 appropriation transfer will remove four unused ramps at the second floor in the parking structure and infill the spaces with a structural slab. These modifications will increase the available space for the various rental car companies at the airport.

Financing is being provided from the Airport Development Fund (ADF), but the fund will be reimbursed by a Customer Facility Charge (\$1.00 per rental fee) that will be imposed by rental car companies. The payback period (including an interest charge of 3.5%) is estimated to be a maximum of five years. A new five-year contract with the rental car companies is anticipated to begin on July 1, 2013 and the financing provision for this project will be included in the contracts. The same Customer Facility Charge process was used to fund the \$2,000,000 rent-a-car center in 2000.

This fund transfer has no tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

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	<u>From</u>	<u>To</u>
3) <u>WS032011 Coggs Center VAV Upgrade</u>		
9706 – Professional Division Services		\$35,378
8509 – Other Building Improvement – (CAP)		\$29,984
9780 – Interest Allocation		\$20,000
4907 – Bond Notes and Proceeds	\$85,362	
<u>WA148012 GMIA Fleet Maint Expansion</u>		
6146 – Prof Serv- Capital/Major Maintenance		\$50,000
4907 – Bond Notes and Proceeds	\$50,000	
<u>WM005012 Museum Air Handling and Piping</u>		
8509 – Other Building Improvement – (CAP)		\$32,579
4907 – Bond Notes and Proceeds	\$32,579	
<u>WV018012 Underground Storage Tanks</u>		
9706 – Professional Division Services		\$23,657
8527 – Land Improvements (CAP)		\$142,155
4907 – Bond Notes and Proceeds	\$165,812	
<u>WO143012 Fleet/Vel Phillips Ind Heating</u>		
6030 – Advertising		\$500
6050 – Contract Pers Serv-Short		\$56,595
6080 – Postage		\$500
6146 – Prof Serv- Capital/Major Maintenance		\$76,928
7930 – Photo, Printing, Reprdctns, & Binding		\$784
8502 – Major Maintenance Bldg (EXP)		\$1,271,900
9706 – Professional Division Services		\$101,205
4907 – Bond Notes and Proceeds	\$1,508,412	
<u>WP131031 Oak Leaf Trail NW to Downtown</u>		
6050 – Contract Pers Serv-Short		\$260
6080 – Postage		\$99
6146 – Prof Serv- Capital/Major Maintenance		\$56,000
7930 – Photo, Printing, Reprdctns, & Binding		\$167
9706 – Professional Division Services		\$433,382
4907 – Bond Notes and Proceeds	\$489,908	
<u>WP232012 Oak Leaf Trail Meaux Park</u>		

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6146	– Prof Serv- Capital/Major Maintenance	\$10,000
8530	– Roadway, Planning, & Constr (CAP)	\$98,748
9706	– Professional Division Services	\$2,479
4907	– Bond Notes and Proceeds	\$111,227

WP131011 Oak Leaf Trail Bluemound to Rainbow

6146	– Prof Serv- Capital/Major Maintenance	\$39,500
9706	– Professional Division Services	\$14,444
8527	– Land Improvements (CAP)	\$192,367
4907	– Bond Notes and Proceeds	\$246,311

WP131042 Oak Leaf Trail Phase 4

6146	– Prof Serv- Capital/Major Maintenance	\$54,936
9706	– Professional Division Services	\$60,262
4907	– Bond Notes and Proceeds	\$115,198

WP252012 Root River Parkway Lighting System

6146	– Prof Serv- Capital/Major Maintenance	\$15,650
8589	– Other Capital Outlay (CAP)	\$125,765
9706	– Professional Division Services	\$23,369
4907	– Bond Notes and Proceeds	\$164,784

WP227012 Grant Park Pedestrian Bridge

9706	– Professional Division Services	\$12,884
4907	– Bond Notes and Proceeds	\$12,884

WO060142 Lake Park Ravine North

6030	– Advertising	\$100
6080	– Postage	\$100
6146	– Prof Serv- Capital/Major Maintenance	\$4,000
7930	– Photo, Prtg, Repro & Bindg	\$100
8530	– Roadway, Planning, & Constr (CAP)	\$14,301
4907	– Bond Notes and Proceeds	\$18,601

WV009022 Countywide Sanitary Sewer Repair

8527	– Land Improvements- (CAP)	\$130,299
9706	– Professional Division Services	\$6,087
4907	– Bond Notes and Proceeds	\$136,386

WP129042 Barnard & Copernicus Park Basketball

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8527	–	Land Improvements- (CAP)	\$180,315
9706	–	Professional Division Services	\$98,564
4907	–	Bond Notes and Proceeds	\$278,879

WP129052 Tennis Courts

6030	–	Advertising	\$200
6050	–	Contract Pers Serv-Short	\$5,000
6080	–	Postage	\$50
7930	–	Photo, Printing, Reprdctns, & Binding	\$200
8527	–	Land Improvements (CAP)	\$476,532
9706	–	Professional Division Services	\$141,393
9780	–	Interest Allocation	\$2,000
4907	–	Bond Notes and Proceeds	\$625,375

WO114072 GMIA Façade

6050	–	Contract Pers Serv-Short	\$1,786
6146	–	Prof Serv- Capital/Major Maintenance	\$75,415
8502	–	Major Maint Bldg (EXP)	\$265,865
9706	–	Professional Division Services	\$57,171
4907	–	Bond Notes and Proceeds	\$400,237

WP070252 Lindberg Park Wading Pool Roof

6050	–	Contract Pers Serv-Short	\$8,000
8509	–	Other Building Improvement (CAP)	\$900,041
9706	–	Professional Division Services	\$73,735
4907	–	Bond Notes and Proceeds	\$981,776

WC023012 CH Complex Automation & Access

6146	–	Prof Serv- Capital/Major Maintenance	\$97,022
6050	–	Contract Pers Serv-Short	\$5,000
9706	–	Professional Division Services	\$5,000
4907	–	Bond Notes and Proceeds	\$107,022

WP090132 Sheridan Park Pool

8527	–	Land Improvements- (CAP)	\$68,321
9706	–	Professional Division Services	\$6,585
4907	–	Bond Notes and Proceeds	\$74,906

WP090142 Washington Park Pool

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8527	–	Land Improvements- (CAP)	\$10,000
6050	–	Contract Pers Serv-Short	\$1,000
4907	–	Bond Notes and Proceeds	\$11,000

WP090152 Jackson Park Pool

8527	–	Land Improvements- (CAP)	\$68,000
9706	–	Professional Division Services	\$8,038
4907	–	Bond Notes and Proceeds	\$76,038

WP090162 Kosci Park Pool

8527	–	Land Improvements- (CAP)	\$11,000
9706	–	Professional Division Services	\$9,780
4907	–	Bond Notes and Proceeds	\$20,780

WA163012 Perimeter Rd Bridge over Howell Ave

8527	–	Land Improvements- (CAP)	\$1,440,904
9706	–	Professional Division Services	\$298,000
4907	–	Bond Notes and Proceeds	\$1,738,904

WP192011 Bradford Beach Parking Lot

6146	–	Prof Serv- Capital/Major Maintenance	\$500
4907	–	Bond Notes and Proceeds	\$500

WP192041 Oak Creek Pwry Erosion

6146	–	Prof Serv- Capital/Major Maintenance	\$5,000
4907	–	Bond Notes and Proceeds	\$5,000

WP192051 Estabrook Park Tunnel Erosion

6146	–	Prof Serv- Capital/Major Maintenance	\$1,500
4907	–	Bond Notes and Proceeds	\$1,500

WP192071 Grant Park Erosion

6146	–	Prof Serv- Capital/Major Maintenance	\$5,000
4907	–	Bond Notes and Proceeds	\$5,000

WP192091 Riverside Park Erosion

6146	–	Prof Serv- Capital/Major Maintenance	\$2,500
4907	–	Bond Notes and Proceeds	\$2,500

WP192101 Juneau Park Erosion

6146	–	Prof Serv- Capital/Major Maintenance	\$2,500
9706	–	Professional Division Services	\$1,601

DRAFT

4907	–	Bond Notes and Proceeds	\$4,101	
<u>WP192021 Estabrook Park Erosion</u>				
6146	–	Prof Serv- Capital/Major Maintenance		\$5,000
4907	–	Bond Notes and Proceeds	\$5,000	
<u>WC070012 Domestic Violence</u>				
6146	–	Prof Serv - Capital/ Major Maintenance		\$186,135
6050	–	Contract Pers Serv-Short		\$10,000
9706	–	Professional Division Services		\$243,732
9780	–	Interest Allocation		\$3,000
4907	–	Bond Notes and Proceeds	\$442,867	
<u>WO114052 Museum Façade</u>				
6146	–	Prof Serv - Capital/ Major Maintenance		\$265,048
6050	–	Contract Pers Serv-Short		\$6,683
8509	–	Other Building Improvement – (CAP)		\$704,739
9706	–	Professional Division Services		\$118,740
9780	–	Interest Allocation		\$15,000
4907	–	Bond Notes and Proceeds	\$1,110,210	
<u>WO114092 Research Park Infrastructure</u>				
6146	–	Prof Serv - Capital/ Major Maintenance		\$8,280
8502	–	Major Maintenance Bldg- (EXP)		\$13,800
9706	–	Professional Division Services		\$4,140
6050	–	Contract Pers Serv-Short		\$1,380
4907	–	Bond Notes and Proceeds	\$27,600	
<u>WO114112 Courthouse Complex Improvements</u>				
6050	–	Contract Pers Serv-Short		\$20,345
6146	–	Prof Serv - Capital/ Major Maintenance		\$45,000
8502	–	Major Maintenance Bldg- (EXP)		\$930,532
6148	–	Prof Serv-Recurring Oper		\$150,000
9706	–	Professional Division Services		\$153,731
4907	–	Bond Notes and Proceeds	\$1,299,608	
<u>WO114031 City Campus Façade</u>				
6030	–	Advertising		\$500
6050	–	Contract Pers Serv-Short		\$4,750

DRAFT

6146	–	Prof Serv - Capital/ Major Maintenance	\$123,250
7930	–	Photo, Prtg, Repro & Bindg	\$1,500
6146	–	Prof Serv - Capital/ Major Maintenance	\$70,000
9706	–	Professional Division Services	\$40,000
4907	–	Bond Notes and Proceeds	\$240,000

WO114102 County Grounds Improvements

6050	–	Contract Pers Serv-Short	\$2,000
6146	–	Prof Serv - Capital/ Major Maintenance	\$14,000
8502	–	Major Maintenance Bldg- (EXP)	\$15,000
9706	–	Professional Division Services	\$5,000
4907	–	Bond Notes and Proceeds	\$36,000

WO114132 DHHS Infrastructure Improvements

6050	–	Contract Pers Serv-Short	\$200
6148	–	Prof Serv-Recurring Oper	\$1,200
8502	–	Major Maintenance Bldg- (EXP)	\$2,000
9706	–	Professional Division Services	\$600
4907	–	Bond Notes and Proceeds	\$4,000

WO114142 Senior Center Infrastructure Imp

6050	–	Contract Pers Serv-Short	\$1,800
6146	–	Prof Serv - Capital/ Major Maintenance	\$10,800
8502	–	Major Maintenance Bldg- (EXP)	\$5,400
8509	–	Other Building Improvement – (CAP)	\$12,600
9706	–	Professional Division Services	\$5,400
4907	–	Bond Notes and Proceeds	\$36,000

WO114152 Trimborn Farm Improvements

6050	–	Contract Pers Serv-Short	\$1,200
6146	–	Prof Serv - Capital/ Major Maintenance	\$7,200
8502	–	Major Maintenance Bldg- (EXP)	\$12,000
9706	–	Professional Division Services	\$3,600
4907	–	Bond Notes and Proceeds	\$24,000

WO114162 Transit Infrastructure Improvements

8502	–	Major Maintenance Bldg- (EXP)	\$185,054
9706	–	Professional Division Services	\$8,000

DRAFT

4907 – Bond Notes and Proceeds \$193,054

WO114172 Parks Infrastructure Improvements

6050 – Contract Pers Serv-Short \$16,000

6146 – Prof Serv - Capital/ Major Maintenance \$97,000

8502 – Major Maintenance Bldg- (EXP) \$47,376

9706 – Professional Division Services \$40,446

4907 – Bond Notes and Proceeds \$200,822

WO114182 Zoo Infrastructure Imp

6050 – Contract Pers Serv-Short \$11,700

6146 – Prof Serv - Capital/ Major Maintenance \$25,132

9706 – Professional Division Services \$34,960

4907 – Bond Notes and Proceeds \$71,792

WP172182 MLK Comm Center Roof Replc

6050 – Contract Pers Serv-Short \$10,000

9706 – Professional Division Services \$423,079

8502 – Major Maintenance Building- (EXP) \$23,903

4907 – Bond Notes and Proceeds \$456,982

WP167052 Veterans Park Comfort Station

6050 – Contract Pers Serv-Short \$986

8509 – Other Building Improvement – (CAP) \$39,479

4907 – Bond Notes and Proceeds \$40,465

WO606011/14 Rewire County Facilities

6147 – Prof Serv-Data Process \$25,809

9706 – Professional Division Services \$20,000

8509 – Other Building Improvement – (CAP) \$303,971

8557 – Computer Equipment-New >\$500 \$39,785

4907 – Bond Notes and Proceeds \$389,565

WZ063011/2 Zoo Winter Quarters

7930 – Photo, Printing, Reprdctns, & Binding \$50

8509 – Other Building Improvement – (CAP) \$337,086

9706 – Professional Division Services \$258,363

9780 – Interest Allocation \$15,500

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4907	–	Bond Notes and Proceeds	\$610,999
<u>WZ083012 Zoo Paving & Lighting</u>			
6146	–	Prof Serv - Capital/ Major Maintenance	\$210,000
8530	–	Roadway, Planning, & Constr (CAP)	\$888,838
9706	–	Professional Division Services	\$14,714
9780	–	Interest Allocation	\$20,000
4907	–	Bond Notes and Proceeds	\$1,133,552
<u>WM009011 Museum Roof Replacement</u>			
6050	–	Contract Pers Serv-Short	\$3,051
4907	–	Bond Notes and Proceeds	\$3,051
<u>WP143011/2 Mitchell Park Greenhouse</u>			
6146	–	Prof Serv - Capital/ Major Maintenance	\$1,120,236
9706	–	Professional Division Services	\$317,064
8509	–	Other Building Improvement – (CAP)	\$13,000,000
4907	–	Bond Notes and Proceeds	\$14,437,300
<u>WP167032 Jackson Park Boat House Pavilion</u>			
6050	–	Contract Pers Serv-Short	\$100
6146	–	Prof Serv - Capital/ Major Maintenance	\$100
8501	–	Bldg/Structures New (CAP)	\$93,905
9706	–	Professional Division Services	\$6,720
4907	–	Bond Notes and Proceeds	\$100,825
<u>WP167022 Greenfield 15th Tee Restroom</u>			
8509	–	Other Building Improvement – (CAP)	\$36,400
9706	–	Professional Division Services	\$1,240
4907	–	Bond Notes and Proceeds	\$37,640
<u>WP172152 South Shore Pavilion Roof</u>			
6050	–	Contractual Professional Services- Short	\$1,000
8527	–	Land Improvements (CAP)	\$63,199
9706	–	Professional Division Services	\$2,660
4907	–	Bond Notes and Proceeds	\$66,859
<u>WP172172 Mitchell Park Domes Roof</u>			
8501	–	Bldg/Structures New (CAP)	\$51,529

DRAFT

4907	–	Bond Notes and Proceeds	\$51,529
<u>WP191011/2 Moody Pool Renovation</u>			
6030	–	Advertising	\$10,000
6146	–	Prof Serv - Capital/ Major Maintenance	\$325,374
8502	–	Major Maintenance Bldg- (EXP)	\$500,000
8551	–	Major Maintenance Bldg- (EXP)	\$758,380
9706	–	Professional Division Services	\$444,868
4907	–	Bond Notes and Proceeds	\$2,038,622
<u>WP197012 Zoo Humboldt Bandshell Roof</u>			
9706	–	Professional Division Services	\$3,255
4907	–	Bond Notes and Proceeds	\$3,255
<u>WP200012 Jackson Park Boat House Roof</u>			
6050	–	Contract Pers Serv-Short	\$3,000
6146	–	Prof Serv - Capital/ Major Maintenance	\$10,000
8509	–	Other Building Improvement – (CAP)	\$85,593
9706	–	Professional Division Services	\$11,976
4907	–	Bond Notes and Proceeds	\$110,569
<u>WP250012 Parks Admin Bldg Roof</u>			
6050	–	Contract Pers Serv-Short	\$4,752
6146	–	Prof Serv - Capital/ Major Maintenance	\$20,000
8509	–	Other Building Improvement – (CAP)	\$130,000
4907	–	Bond Notes and Proceeds	\$154,752
<u>WP253012 McGovern Park S&C Bldg Roof</u>			
6050	–	Contract Pers Serv-Short	\$2,000
8509	–	Other Building Improvement – (CAP)	\$3,839
4907	–	Bond Notes and Proceeds	\$5,839
<u>WS034011 Washington Park Senior Ctr Roof</u>			
6050	–	Contract Pers Serv-Short	\$2,000
8509	–	Other Building Improvement – (CAP)	\$10,312
4907	–	Bond Notes and Proceeds	\$12,312
<u>WC027012 Courthouse Light Court Window</u>			
6050	–	Contract Pers Serv-Short	\$20,000
6030	–	Advertising	\$50

DRAFT

6080	– Postage	\$100
7930	– Photo, Printing, Reprdctns, & Binding	\$100
6146	– Prof Serv - Capital/ Major Maintenance	\$75,143
8551	– Mach & Equip-Repl>\$2500	\$510,416
9706	– Professional Division Services	\$14,034
9780	– Interest Allocation	\$15,000
4907	– Bond Notes and Proceeds	\$634,843

WP252012 Parks Maintenance Shop Roof

6050	– Contract Pers Serv-Short	\$3,000
6146	– Prof Serv- Capital/Major Maintenance	\$14,375
8509	– Other Building Improvement – (CAP)	\$100,000
9706	– Professional Division Services	\$1,826
4907	– Bond Notes and Proceeds	\$119,201

WC071011 DA Security Card System

6050	– Contract Pers Serv-Short	\$2,000
6030	– Advertising	\$500
8501	– Bldg/Structures New (CAP)	\$5,411
9706	– Professional Division Services	\$1,135
9780	– Interest Allocation	\$500
4907	– Bond Notes and Proceeds	\$9,546

WJ043012 HOC Slider Security Door

6050	– Contract Pers Serv-Short	\$5,000
8501	– Bldg/Structures New (CAP)	\$158,421
9780	– Interest Allocation	\$1,546
4907	– Bond Notes and Proceeds	\$164,967

WO509012 Villa Terrace Security

6050	– Contract Pers Serv-Short	\$1,000
6030	– Advertising	\$313
6080	– Postage	\$488
6146	– Prof Serv- Capital/Major Maintenance	\$3,000
7930	– Photo, Printing, Reprdctns, & Binding	\$839
8501	– Bldg/Structures New (CAP)	\$24,688
9706	– Professional Division Services	\$1,342

DRAFT

9780	–	Interest Allocation		\$1,000
4907	–	Bond Notes and Proceeds	\$32,670	

WO511012 Charles Allis Security

6030	–	Advertising		\$313
6080	–	Postage		\$488
7930	–	Photo, Printing, Reprdctns, & Binding		\$365
8551	–	Mach & Equip-Repl>\$2500		\$41,518
9706	–	Professional Division Services		\$848
9780	–	Interest Allocation		\$1,000
4907	–	Bond Notes and Proceeds	\$44,532	

WO514022 War Memorial Window Replacement

6146	–	Prof Serv- Capital/Major Maintenance		\$3,310
8502	–	Major Maint Bldg (EXP)		\$33,000
9706	–	Professional Division Services		\$2,800
4907	–	Bond Notes and Proceeds	\$39,110	

WO515011 War Memorial Window Ledge Repair

6146	–	Prof Serv- Capital/Major Maintenance		\$1,530
8502	–	Major Maint Bldg (EXP)		\$12,750
9706	–	Professional Division Services		\$1,020
4907	–	Bond Notes and Proceeds	\$15,300	

WO949011 Inventory and Assessment

6050	–	Contract Pers Serv-Short		\$5,000
6030	–	Advertising		\$500
6146	–	Prof Serv- Capital/Major Maintenance		\$1,071,028
7930	–	Photo, Printing, Reprdctns, & Binding		\$41
9706	–	Professional Division Services		\$24,654
4907	–	Bond Notes and Proceeds	\$1,101,223	

WP275012 Menomonee River Pkwy Wetlands

6146	–	Prof Serv- Capital/Major Maintenance		\$22,000
8528	–	Major Maint Land Improvement (EXP)		\$183,000
9706	–	Professional Division Services		\$15,000
4907	–	Bond Notes and Proceeds	\$220,000	

WO129012 Wil-O-Ways Underwood Wading Pool

DRAFT

6146	– Prof Serv- Capital/Major Maintenance		\$5,000
9706	– Professional Division Services		\$3,817
4907	– Bond Notes and Proceeds	\$8,817	
<u>WP276011 McKinley Marina BMP's</u>			
6146	– Prof Serv- Capital/Major Maintenance		\$93,405
4907	– Bond Notes and Proceeds	\$93,405	
<u>WO118011 Historical Society Ext Renovation</u>			
6146	– Prof Serv- Capital/Major Maintenance		\$145,400
6503	– Equipment Rental-Short Term		\$3,600
8502	– Major Maint Bldg (EXP)		\$125,000
4907	– Bond Notes and Proceeds	\$274,000	
<u>WM003011 Electrical Distribution Replacement</u>			
8509	– Other Bldg Improvement (CAP)		\$126,891
9780	– Interest Allocation		\$1,000
9706	– Professional Division Services		\$3,000
4907	– Bond Notes and Proceeds	\$130,891	

An appropriation transfer of \$33,675,522 is requested by the Director of the Department of Administrative Services to increase expenditure authority and revenue for various capital projects.

This fund transfer will provide the projects expenditure authority in the first half of the year prior to the approval of the carryovers. This is being done so that work can continue on existing projects without having to wait until May. Subsequent, to the final approval of the carryovers, this fund transfer will be reversed through an administrative appropriation transfer. Below is a brief explanation for the transfer of funds by project:

* WS032011 Coggs Center VAV Upgrade

Project has been bid and construction is in progress with a completion date estimated for July of 2013.

* WA148012 GMIA Fleet Maint Expansion

Bid documents are anticipated to be let by March of 2013, with construction anticipated to be completed by the first quarter of 2014.

DRAFT

- * WM005012 Museum Air Handling and Piping

Construction for the project is ongoing and estimated to be completed by February of 2013.

- * WV018012 Underground Storage Tanks

A consultant was hired in October of 2012 to produce and assemble specifications for the removal of underground storage tanks. Construction bids are anticipated in February of 2013 with construction to commence in April of 2013. Construction is estimated to be complete in July of 2013.

- * WO143012 Fleet/Vel Phillips Ind Heating

The construction bids for the project will occur January of 2013, with construction to begin around the end of February. Construction is expected to be completed by August 2013.

- * WP131031 Oak Leaf Trail NW to Downtown

Project to be bid in January 2013, with construction work to begin around April of 2013. Depending on weather conditions, construction completion is anticipated in late first quarter or early second quarter of 2013.

- * WP232012 Oak Leaf Trail Meaux Park

Project to be bid in January 2013, with construction work to begin around May of 2013. Depending on weather conditions, construction completion is anticipated in late first quarter or early second quarter of 2013.

- * WP131011 Oak Leaf Trail Bluemound to Rainbow

Project to be bid in January 2013, with construction work to begin around May of 2013. Depending on weather conditions, construction completion is anticipated in late first quarter or early second quarter of 2013.

- * WP131042 Oak Leaf Trail Phase 4

Project to be bid in January 2013 for design and real estate consultants. Real estate acquisition to occur in 2013 with construction anticipated in 2014.

- * WP252012 Root River Parkway Lighting System

The project is currently in construction, with project completion estimated by February of 2013.

DRAFT

- * WP227012 Grant Park Pedestrian Bridge

The project is currently in construction, with project completion estimated by February of 2013.

- * WO060142 Lake Park Ravine North

The project is currently under design. Construction bids are anticipated sometime in the early first quarter of 2013, with construction completion anticipated later in the first quarter or early second quarter (depending on weather conditions).

- * WV009022 Countywide Sanitary Sewer Repair

Construction is anticipated to begin in February of 2013 and to be substantially complete by the end of July 2013.

- * WP129042 Barnard & Copernicus Park Basketball

The construction bid award is in process and construction is anticipated to begin towards the end of the first quarter of 2013. Construction completion is estimated to be early second quarter of 2013.

- * WP129052 Tennis Courts

Project bids and construction is anticipated for the first quarter of 2013, with construction to be completed early second quarter of 2013.

- * WO114072 GMIA Façade

Project construction is in progress and expected to be completed in 2013.

- * WP070252 Lindberg Park Wading Pool Roof

The project is currently under design. Depending on weather conditions, construction completion is anticipated in late first quarter or early second quarter 2013.

- * WC023012 CH Complex Automation & Access

Project construction is in progress and funds are required for anticipated work in the first quarter of 2013.

- * WP090132 Sheridan Park Pool

Project construction is anticipated to begin at the end of the first quarter of 2013 with completion during the second quarter of 2013.

- * WP090142 Washington Park Pool

Project construction is anticipated to begin at the end of the first quarter of 2013 with

DRAFT

completion in the fourth quarter of 2013.

* WP090152 Jackson Park Pool

Project construction is anticipated to begin at the end of the first quarter of 2013 with completion during the second quarter of 2013.

* WP090162 Kosci Park Pool

Project construction is anticipated to begin at the end of the first quarter of 2013 with completion during the second quarter of 2013.

* WA163012 Perimeter Rd Bridge over Howell Ave

Project bids have been awarded with construction to begin near the end of the fourth quarter of 2013. Construction completion is estimated near the end of the third quarter of 2013.

* WP192011 Bradford Beach Parking Lot Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

* WP192041 Oak Creek Pwry Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

* WP192051 Estabrook Park Tunnel Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and

DRAFT

awarded (which is again, dependent on FEMA's review and response).

* WP192071 Grant Park Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

* WP192091 Riverside Park Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

* WP192101 Juneau Park Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

* WP192021 Estabrook Park Erosion

FEMA is currently in the process of providing comments on project designs and costs estimates. Projects are anticipated to be bid in either the first or second quarter of 2013, however, project bids are dependent upon the timeliness of FEMA review and response which may extend the project timeframe. Construction is expected to take 8 – 10 weeks, but the construction start date will be dependent upon when the project bids are actually let and awarded (which is again, dependent on FEMA's review and response).

DRAFT

* WC070012 Domestic Violence

Project bids for construction have been awarded and a pre-construction meeting will occur in January of 2013. Construction is anticipated to be completed in July of 2013.

* WO114052 Museum Façade

Project bids are expected in February of 2013 with construction to commence in the second quarter. Construction is expected to be substantially completed by September of 2013.

* WO114092 Research Park Infrastructure

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June 2013.

* WO114112 Courthouse Complex Improvements

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114031 City Campus Façade

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114102 County Grounds Improvements

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114132 DHHS Infrastructure Improvements

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114142 Senior Center Infrastructure Imp

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114152 Trimborn Farm Improvements

Project bids for design and construction are anticipated to occur in the first quarter of 2013. Construction is expected to begin early in the second quarter with completion by June of 2013.

* WO114162 Transit Infrastructure Improvements

Project bids for design are expected in February of 2013 and construction bids are anticipated for April of 2013. Construction is to begin in April and expected to be completed by June of

DRAFT

2013.

* WO114172 Parks Infrastructure Improvements

The project is currently under construction and is anticipated to be completed in the second quarter of 2013.

* WO114182 Zoo Infrastructure Imp

The project is currently under construction and is anticipated to be completed in the second quarter of 2013.

* WP172182 MLK Comm Center Roof Reple

This project is substantially complete and is in the process of final billing.

* WP167052 Veterans Park Comfort Station

Project bids for construction are expected in May of 2013 with construction expected to be completed by October of 2013.

* WO606011/14 Rewire County Facilities

Project is under construction and expected to be completed by the end of the third quarter, 2013.

* WZ063011/2 Zoo Winter Quarters

Project bids for construction are expected in April of 2013 with construction expected to begin in May and estimated to be completed by September of 2013.

* WZ083012 Zoo Paving & Lighting

Design work for this project is ongoing and anticipated to be completed in March of 2013. Project bids for construction are expected in March of 2013 with construction expected to begin in May and estimated to be completed by September of 2013.

* WM009011 Museum Roof Replacement

Design work for this project is ongoing and anticipated to be completed in October of 2013. Project bids for construction are expected in the first quarter of 2014 with construction estimated to be complete by July of 2014.

* WP143011/2 Mitchell Park Greenhouse

Construction is in progress and anticipated to be completed by September of 2013.

DRAFT

* WP167032 Jackson Park Boat House Pavilion

Design work for this project is ongoing and anticipated to be completed in May of 2013. Project bids for construction are expected in June of 2013 with construction estimated to be complete by September of 2013.

* WP167022 Greenfield 15th Tee Restroom

Design work for this project is ongoing and anticipated to be completed in April of 2013. Project bids for construction are expected in June of 2013 with construction estimated to be complete by August of 2013.

* WP172152 South Shore Pavilion Roof

Design work for this project is ongoing and anticipated to be completed in March of 2013. Project bids for construction are expected in April of 2013 with construction estimated to be complete by July of 2013.

* WP172172 Mitchell Park Domes Roof

Construction for this project is ongoing and is expected to be complete by March of 2013.

* WP191011/2 Moody Pool Renovation

Construction and (possible) design bids are anticipated for the first quarter of 2013 along with demolition of the existing facility.

* WP197012 Zoo Humboldt Bandshell Roof

Design work for this project is ongoing and anticipated to be completed in March of 2013. Project bids for construction are expected in April of 2013 with construction estimated to be complete by July of 2013.

* WP200012 Jackson Park Boat House Roof

Construction for this project is ongoing and funds are required for anticipated work in the first quarter of 2013.

* WP250012 Parks Admin Bldg Roof

Project design is expected to begin in January of 2013 with roof replacement anticipated by March of 2013.

* WP253012 McGovern Park S&C Bldg Roof

Construction for this project is ongoing and funds are required for anticipated work in the first

DRAFT

quarter of 2013.

* WS034011 Washington Park Senior Center Roof

Design work for this project is completed and construction is expected in the first quarter of 2013 with completion expected in early March of 2013.

* WC027012 Courthouse Light Court Window

Design work will begin in February of 2013 with construction anticipated to begin in April of 2013.

* WP252012 Parks Maintenance Shop Roof

Construction bids for this project are expected by mid-January of 2013 with construction to begin in March of 2013.

* WC071011DA Security Card System

Construction for this project is in progress and is expected to be completed in 2013.

* WJ043012 HOC Slider Door

Design work for this project is expected in February of 2013 and construction is expected to begin in March of 2013.

* WO509012 Villa Terrace Security

Construction for this project is ongoing and funds are required for anticipated work in the first quarter of 2013.

* WO511012 Charles Allis Security

Construction for this project is ongoing and funds are required for anticipated work in the first quarter of 2013.

* WO514022 War Memorial Window Replacement

Design work for this project is expected in January of 2013 and construction is expected to begin in March of 2013.

* WO515011 War Memorial Window Ledge Repair

DRAFT

Work on this project is currently on hold pending resolution of the Art Museum's plans for renovation and expansion. Funds are required for anticipated work in the first quarter of 2013.

* WO949011 Inventory and Assessment

This project is currently on-going and relates to the work being done assess the building condition of the County's various facility assets. Funds are required for anticipated work in the first quarter of 2013.

* WP257012 Menomonee River Parkway Wetlands

Project bids are anticipated for the first quarter of 2013 and funds are required for anticipated work in the first quarter of 2013.

* WO129012 Wil-O-Ways Underwood Wading Pool

Project bids are anticipated for the first quarter of 2013 and funds are required for anticipated work in the first quarter of 2013.

* WP1276011 McKinley Marina BMP's

This project is for a study to be conducted regarding Best Management Practices to improve storm water runoff quality at McKinley Marina and is expected to be performed in 2013. Funds are required for anticipated work in the first quarter of 2013.

* WO118011 Historical Society Exterior Renovation

The design bid for this project is expected in January of 2013 with design work to begin in July of 2013. Construction is expected to begin in 2014.

* WM003011 Electrical Distribution Replacement

Construction for this project in ongoing and funds are required for anticipated work in the first quarter of 2013.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

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	<u>From</u>	<u>To</u>
4) <u>WA04401 GMIA In-Line Baggage Screening</u>		
8509 – Other Building Improvement (CAP)		\$1,000,000
4914 – Revenue Bond Proceeds	\$1,000,000	
<u>WA07202 LJT Pavement Rehabilitation</u>		
8527 – Land Improvements (CAP)		\$54,000
2699 – Federal Grants and Reimbursements	\$54,000	
<u>WA09401 Runway Safety Area Improvements – NEPA Compliance</u>		
8527 – Land Improvements (CAP)		\$400,000
2699 – Federal Grants and Reimbursements	\$400,000	
<u>WA09601 Parking Structure Relighting</u>		
8509 – Other Building Improvement (CAP)		\$1,300,000
4907 – Bond Notes and Proceeds	\$1,300,000	
<u>WA13101 Part 150 Study- Ramp Electrification</u>		
6146 – Professional Services- Capital/Major Maintenance		\$100,000
2699 – Federal Grants and Reimbursements	\$100,000	
<u>WA13901 Redundant Main Electric Service Feed</u>		
6146 – Professional Services- Capital/Major Maintenance		\$100,000
2699 – Federal Grants and Reimbursements	\$100,000	
<u>WA14101 GMIA Training Facility</u>		
8501 – Building/Structures-New (CAP)		\$200,000
2699 – Federal Grants and Reimbursements	\$200,000	
<u>WA15101 Part 150 Study- Noise Monitor Study</u>		
6146 – Professional Services- Capital/Major Maintenance		\$300,000
2699 – Federal Grants and Reimbursements	\$300,000	
<u>WA153 Purchase Non-County Owned Jet Bridges</u>		
8557 – Computer Equipment- New (>\$500)		\$150,000
2699 – Federal Grants and Reimbursements	\$150,000	

DRAFT

WA16301 GMIA Perimeter Road Bridge

8527	– Land Improvements (CAP)		\$1,537,000
2699	– Federal Grants and Reimbursements	\$1,537,000	

WA16701 GMIA Terminal Escalator Replacement

8527	– Land Improvements (CAP)		\$30,000
4707	– Contribution from Reserves	\$30,000	

WA17501 C Concourse Checkpoint Expansion

6146	– Professional Services- Capital/Major Maintenance		\$200,000
2699	– Federal Grants and Reimbursements	\$200,000	

WO11407 GMIA and LJT Improvements

8502	– Major Maintenance Building- (EXP)		\$200,000
4707	– Contribution from Reserves	\$200,000	

A **2013** appropriation transfer of \$5,571,000 is requested by the Interim Director of the Department of Transportation and the Airport Director to provide expenditure authority and revenue for 13, 2012 Airport Capital Improvement Projects to allow work to move forward during the carryover process. Subsequent, to the final approval of the carryovers, this fund transfer will be reversed through an administrative appropriation transfer.

Below is a brief explanation for the transfer of funds by project:

- **WA04401 In-Line Baggage Screening:** The design work is complete and now will be bid and construction contracts are anticipated to be awarded in the first quarter of 2013. The final construction contract is anticipated to be substantially complete in 2014.
- **WA07201 LJT Pavement Rehabilitation:** This project is substantially complete. These funds are needed to process a change order prior to closeout.
- **WA09401 Runway Safety Area Improvements – NEPA Compliance:** This project is substantially complete. These funds are needed to process a change order prior to closeout.

DRAFT

- **WA09601 Parking Structure Relighting:** The design work on the project is complete and funds are needed so that construction work can be bid. The project is anticipated to be substantially complete by the end of 2013.
- **WA13101 Part 150 Study- Ramp Electrification:** This appropriation will provide funding to begin design work on the project. A consultant will be retained to assist with the Voluntary Airport Low Emissions (VALE) eligibility and funding process.
- **WA13901 Redundant Main Electric Service Feed:** This appropriation will be used to extend a consultant contract for the bidding and construction phase. The project is anticipated to be substantially complete by the end of 2014.
- **WA14101 GMIA Training Facility:** This appropriation will provide funding for contingency and potential change orders on the project. The project is anticipated to be substantially complete by the 3rd quarter of 2013.
- **WA15101 Part 150 Study- Noise Monitor Study:** This appropriation will provide funding for a consultant to work on the design phase of the project. The project is anticipated to be substantially complete by the end of 2014.
- **WA153 Purchase Non-County Owned Jet Bridges:** The appropriation will provide funding for purchases to begin in the 1st quarter of 2013. In total, 19 bridges are anticipated to be purchased in 2013.
- **WA16301 GMIA Perimeter Road Bridge:** This appropriation will provide funding necessary to encumber the remainder of the construction contract when the Airport Improvement Program (AIP) grant is received. The grant is anticipated to be received in April 2013. The project is anticipated to be substantially complete by the end of 2015.
- **WA16701 GMIA Terminal Escalator Replacement:** This appropriation will provide funding for contingency and change orders for the construction phase of the project for the first set of escalators. This is a multi-phase project with one set of escalators be replaced by the end of 2013. A second set is anticipated to be replaced by the end of the first quarter of 2014. Two additional sets will need to be replaced after the 1st quarter of 2014.

DRAFT

- **WA17501 C Concourse Checkpoint Expansion** Additional passenger traffic on the C Concourse has necessitated the expansion of the C Concourse Checkpoint lanes. Funds are needed to perform design work on the project.
- **WO11407 GMIA and LJT Improvements (Façade Repairs):** This appropriation will provide funding for precast panel evaluation and reinforcement. The project is anticipated to be substantially complete by the end of 2013.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

	<u>From</u>	<u>To</u>
5) <u>WH00201 Interjurisdictional Traffic Signal System</u>		
6146 – Professional Services- Capital/Major Maintenance		\$16,979
8530 – Roadway, Planning, and Construction (CAP)		\$350,000
4907 – Bond Notes and Proceeds	\$366,979	
<u>WH01002 W. Mill Rd. (N. 43rd St. to N. Teutonia Ave.)</u>		
6146 – Professional Services- Capital/Major Maintenance		\$17,362
9706 – Professional Division Services		\$180,588
4907 – Bond Notes and Proceeds	\$197,950	
<u>WH01005 W. College Ave. (S. 51st St. to S. 27th St.)</u>		
6146 – Professional Services- Capital/Major Maintenance		\$178,562
9706 – Professional Division Services		\$30,000
4907 – Bond Notes and Proceeds	\$208,562	
<u>WH01017 S. 76th St. (W. Puetz Rd. to W. Imperial Dr.)</u>		
9706 – Professional Division Services		\$143,300
8526 – Land (CAP)		\$340,097

DRAFT

4907	– Bond Notes and Proceeds	\$483,397
<u>WH02005 W. Oklahoma Ave (S. 108th St. to S. 76th)</u>		
6146	– Professional Services- Capital/Major Maintenance	\$420,000
9706	– Professional Division Services	\$140,000
4907	– Bond Notes and Proceeds	\$560,000
<u>WH02201 N. 107th St. (W. Brown Deer Rd. to North County Line Rd.)</u>		
9706	– Professional Division Services	\$609,761
6146	– Professional Services- Capital/Major Maintenance	\$1,024,222
8526	– Land (CAP)	\$40,000
4907	– Bond Notes and Proceeds	\$1,673,983
<u>WH03006 Whitnall Park Bridge- P-40-0721</u>		
6146	– Professional Services- Capital/Major Maintenance	\$89,931
9706	– Professional Division Services	\$60,000
4907	– Bond Notes and Proceeds	\$149,931
<u>WH03013 Whitnall Park Bridge- P-40-0713</u>		
6146	– Professional Services- Capital/Major Maintenance	\$220,000
9706	– Professional Division Services	\$30,000
4907	– Bond Notes and Proceeds	\$250,000
<u>WH08003 Lake Park Bridge over Drainage Ravine</u>		
6146	– Professional Services- Capital/Major Maintenance	\$300,555
9706	– Professional Division Services	\$60,000
4907	– Bond Notes and Proceeds	\$360,555
<u>WH08201 E. College Ave. (S. Howell Ave. to S. Pennsylvania Ave.)</u>		
9706	– Professional Division Services	\$486,676
8526	– Land (CAP)	\$222,510
4907	– Bond Notes and Proceeds	\$709,186

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An appropriation transfer of \$4,960,543 is requested by the Interim Director of the Department of Transportation to provide expenditure authority and revenue for seven, 2012 Highways Capital Improvement Projects to allow work to move forward during the carryover process.

This fund transfer will provide the projects expenditure authority in the first half of the year prior to the approval of the carryovers. This is being done so that work can continue on existing projects without having to wait until June. Subsequent, to the final approval of the carryovers, this fund transfer will be reversed through an administrative appropriation transfer. Below is a brief explanation for the transfer of funds by project:

- **Project WH00201 Interjurisdictional Traffic Signal System:** The proposed project will reduce traffic congestion along Milwaukee County Trunk Highways by establishing a comprehensive, inter-jurisdictional traffic communication system that will connect Milwaukee County and State signalized intersections systems utilizing both existing and new fiber optic systems.

The design (WH002011) is complete and MCDOT is awaiting WISDOT approval to advertise and bid the project. The construction (WH002012) is anticipated to begin in February 2013 and be substantially complete by November 2013.

- **Project WH01002 W. Mill Rd. (N. 43rd St. to N. Teutonia Ave.):** The proposed 1.2 mile segment of W. Mill Rd. (CTH S) from N. 43rd St. to N. Teutonia Ave. will be reconstructed as a two-lane urban section with an auxiliary lane from N. 43rd St. to N. 40th St. From N. 40th St. to N. Teutonia Ave. the roadway will be a two-lane rural section with paved shoulders. The limits of the project will be extended from N. Teutonia Ave. to N. Sydney Pl. in 2013. The design (WH010021) is 30 percent complete and will continue in 2013.
- **Project WH01005 College Avenue (S. 51st St. to S. 27th St.):** The proposed 1.5 mile segment of W. College Ave. (CTH ZZ) from S. 51st St. to S. 27th St. will be reconditioned by milling and asphalt overlay along with shoulder paving and minor spot drainage improvements. The design (WH010051) is 100% complete. The construction (WH010052) project has been partially accepted and will be finalized before June 2013.

DRAFT

- **Project WH01017 S. 76th St. (W. Puetz Rd. to W. Imperial Dr.):** The 2.0 mile segment of S. 76th St. (CTH U) from W. Puetz Rd. to W. Imperial Dr. will be reconstructed and widened from a two-lane rural section to a four-lane urban section with bicycle and pedestrian accommodations. The design (WH010171) is 75% complete. The right-of-way acquisition (WH010173) is 10% complete and will be completed by August 2013. The final design and right-of-way acquisition of the project will continue in 2013.
- **Project WH02005 W. Oklahoma Ave. (108th St. to S. 76th St.):** The proposed 1.7 mile segment of W. Oklahoma Ave. (CTH NN) from S. 108th St. to S. 76th St. was rehabilitated by milling and asphalt overlay along with minor spot improvements. The Oklahoma Ave. (CTH NN) construction was divided into two (2) construction phases due to the length of the project. Phase 1 construction (WH020052) has been completed and Phase 2 (WH020052) has been partially accepted and will be finalized before June 2013.
- **Project WH02201 N. 107th St. (W. Brown Deer Road to North County Line Rd.):** The proposed 1.0 mile segment of N. 107th St. (CTH F) from W. Brown Deer Rd. to N. County Line Rd. will be reconstructed by replacing the existing concrete pavement, including widened paved shoulders and other drainage and safety improvements. The design (WH022011) is 90% complete. Right-of-way acquisition (WH022013) will take place prior to construction and Construction (WH022012) is planned to begin in March 2013 and be substantially complete by fall 2014.
- **Project WH03006 and WH03016 Whitnall Park Bridges over Root River #721 and #713:**
The 2010 Budget included an appropriation of \$150,000 for design work and \$800,000 for the replacement of Whitnall Park Bridge #721, which is located 0.1 mile south of West College Avenue and extends into the City of Franklin. The project was delayed, but now will be combined with the replacement of Bridge #713 in order to gain efficiencies and lessen the impact to the public of replacing the two bridges at different times. Design work for both bridges will begin in the 1st quarter of 2013.
- **WH08003 Lake Park Bridge over Drainage Ravine:** The bridge was rehabilitated/restored by strengthening and restoration of the masonry arch and reconstruction of the roadway over the bridge. The design (WH080031) is 100% complete. The construction (WH080032) project has been partially accepted and will be finalized before June 2013.

DRAFT

- **WH08201 E. College Avenue (S. Howell Ave. to S. Pennsylvania Ave.):** The 1.0 mile segment of E. College Ave. (CTH ZZ) from S. Howell Ave. to S. Pennsylvania Ave. was reconstructed and widened from a two-lane rural section to a four-lane urban section with bicycle and pedestrian accommodations. The design (WH082011) and right-of-way acquisition (WH082013) are 100% complete. The construction (WH082012) is 95% complete and will be substantially complete by the end of 2012 to early 2013. The remaining 2012 construction funds will be utilized in 2013 to complete the construction, specifically the additional street lighting along the project, as well as, the overall finalizing and close out of the project by June 2013.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

DRAFT

Action Required
Finance, Personnel and Audit Committee
County Board (Majority Vote)

WHEREAS, department requests for transfers between separate departmental accounts have been received by the Department of Administration, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administration, is hereby authorized to make the following transfers in the 2013 appropriations of the respective listed departments:

	<u>From</u>	<u>To</u>
1) <u>8381- DD Contracts</u>		
8164 – Purchase of Service		\$250,000
<u>6402 - Community Services Administration</u>		
8164 – Purchase of Service	\$110,000	
<u>6443 – Psychiatric Crisis Services</u>		
8164 – Purchase of Service	\$140,000	

An appropriation transfer of \$250,000 is requested by the Director of the Department of Health and Human Services (DHHS) to transfer expenditures from the Behavioral Health Division (BHD) to the Disabilities Services Division (DSD).

The 2013 Adopted Budget for DSD included the expansion of crisis respite beds from four to eight in order to support the downsizing of Hilltop as well as the Mental Health Redesign Initiative. However, the funding for the expansion was included in BHD’s adopted budget as part of the community resource investment funds.

This transfer would allow BHD to transfer \$250,000 in expenditures to DSD to better reflect the management of the Crisis Respite contract.

There is no levy impact as a result of this transfer.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

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1-11-2013 FINANCE, PERSONNEL AND AUDIT COMMITTEE APPROPRIATION TRANSFERS
E DEPARTMENTAL – OTHER CHARGES File No. 13-1
(Journal, December 20, 2012)

Action Required
Finance, Personnel and Audit Committee
County Board (Majority Vote)

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2013 appropriations of the respective listed departments:

		<u>From</u>	<u>To</u>
1)	<u>1199- DAS Econ Development - Milw Cnty</u> <u>Automated Mapping & Land Info System</u>		
6149	- Professional Services – Non- Recurring		\$125,000
0747	- Balance Sheet Account (RESV FOR AUTO LAND INFO)	\$125,000	

The Director of Administrative Services – Economic Development requests a transfer of \$125,000 from the MCAMLIS Balance Sheet Account 0747 (MCAMLIS Reserve) to the Department of Administrative Services – Economic Development –MCAMLIS Section (MCAMLIS).

This fund transfer increases expenditure authority in MCAMLIS by \$125,000 and decreases the MCAMLIS Reserve by the same amount. This project was originally funded in 2012, however, no expenses were incurred due to delays encountered in drafting RFP specifications and subsequent issuance, response review and tentative award of the RFP contract. Once drafted the RFP was released on 9/18/2012. RFP Responses were closed on 11/16/2012. Four vendors responded to the RFP and the

DRAFT

initial review of the responses has been completed and qualified vendors have been short-listed. Final review and a vendor recommendation will be completed 12/14/2012 whereby materials will be in place to formally execute a contract in January. Additionally, since the contract amount is over \$50,000, MCAMLIS staff is also requesting approval to execute this contract at the January 2013 Economic and Community Development Committee.

At the end of 2012, any surplus funds in MCAMLIS are deposited into the MCAMLIS Reserve. As a result, \$125,000 in unexpended appropriations for this project will be automatically transferred into the MCAMLIS Reserve at year-end.

The MCAMLIS Reserve is anticipated to have a 2012 year-end balance of approximately \$1,275,000 (the beginning 2013 fund balance will reflect this amount). If this fund transfer is approved, the remaining fund balance is estimated to be \$1,150,000 for the beginning of 2013. The MCAMLIS Reserve has sufficient funds to support this contract.

No tax levy impact results from this fund transfer.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

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Action Required

Finance, Personnel and Audit Committee

WHEREAS, department requests for transfers within their own accounts have been received by the Department of Administrative Services, Fiscal Affairs, and the Director finds that the best interests of Milwaukee County will be served by allowance of such transfers;

THEREFORE, BE IT RESOLVED, that the Director, Department of Administrative Services, is hereby authorized to make the following transfers in the 2013 appropriations of the respective listed departments:

		<u>From</u>	<u>To</u>
1) <u>3470 – Land Records Modernization</u>			
7930	- Photo, Printing, Repro & Binding		\$10,000
6148	- Prof Services – Recurring Oper	\$10,000	

A transfer of \$10,000 is requested by the Register of Deeds to realign expenditures within the Land Records Modernization program.

The Land Records Modernization program is operated by the Register of Deeds, and projects are approved by the Steering Committee of the Milwaukee County Automated Mapping and Land Information System (MCAMLIS).

The MCAMLIS Steering Committee has approved a project to scan plat surveys and historical aerial photography. The service will be purchased using a master price agreement that is coded to the Commodities appropriation unit. This program was approved after the 2013 Budget request was completed and the cost of the project would be more appropriately coded as a commodity than a professional service. This fund transfer realigns expenditure authority to resolve this issue.

This fund transfer has no tax levy impact.

TRANSFER SIGNED BY THE COUNTY EXECUTIVE _____.

2013 BUDGETED CONTINGENCY APPROPRIATION SUMMARY

2013 Budgeted Contingency Appropriation Budget \$4,103,329

Approved Transfers from Budget through January 11, 2013

Unallocated Contingency Balance January 11, 2013 \$4,103,329

Transfers Pending in Finance, Personnel & Audit Committee through
January 11, 2013

4000 - Equipment rental for EMU	\$ 57,500
4300 - Equipment rental for EMU	\$ 600,000

Total Transfers Pending in Finance, Personnel & Audit Committee \$ 657,500

Net Balance \$ 4,760,829

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Department of Human Resources

INTER-OFFICE COMMUNICATION

Date: JANUARY 14, 2013
To: Marina Dimitrijevic, County Board Chairwoman
FROM: Kerry Mitchell, Director, Department of Human Resources *Kerry Mitchell*
SUBJECT: **Classification and rates of pay for positions created in the 2013 Adopted Budget – Phase II**

Subsequent to the adoption of the annual budget, the Department of Human Resources reviews the positions created in the budget and recommends the final classification and rate of pay for those positions. Action is required by the County Board to adopt the recommendations prior to opening the positions for recruitment.

For the 2013 Adopted Budget, the Department is making recommendations in two phases. The first phase, which addressed existing title codes, was approved by the Board in December 2012 (File No. 12-960).

Phase II is submitted here for Board consideration and is reflected in the attached position list, resolution, and fiscal note. This phase addresses positions that have not previously been established and/or those positions that required a more thorough evaluation of the duties to be assigned prior to making recommendations on classification and compensation.

Upon approval by the County Board, County departments may begin the recruitment process to fill the positions.

Requested Action

The Department requests that this report, along with the attached position list, resolution and fiscal note, be referred to the Committee on Finance, Personnel and Audit for consideration at the January 31, 2013 meeting.

Cc: C. Kammholz
 S. Cady
 C. Mueller

NEW BUDGET CREATES FY 2013 – PHASE II

DEPT.	JOB CODE	JOB TITLE	PAY RANGE	NO. OF POSITIONS	BARGAINING UNIT STATUS
1140	TBD	Wellness Coordinator	29M	1	NR
1140	TBD	Senior Employment Relations Specialist	29M	1	NR
1140	TBD	Senior Pension Analyst	32M	1	NR
1160	TBD	Business Solutions Manager	38M	1	NR
4000	4605	Accounting Manager	32M	1	NR
5700	85580	Engineering Intern	05M	5	NR
5700	TBD	Construction Cost Estimator	28A	1	TC
5700	TBD	Managing Engineer Environment	34A	1	TC
6300	TBD	Health Prevention Coordinator	31	1	DC
6300	58035	Quality Assurance Specialist	16C	1	DC
6300	TBD	Psychology Postdoc Fellow	21	2	DC
6300	TBD	Pharmacy Services Director	916A	1	NR
6300	TBD	Volunteer Coordinator – Hourly	15	1	DC
7990	TBD	Medicare Coordinator	31M	1	NR
7990	TBD	Nutritional Program Coordinator	30	1	DC
8000	55330	Quality Assurance Technician	15	1	DC
8000	TBD	Community Intervention Specialist	24	1	DC
9500	TBD	Program/Audience Evaluation Specialist	17M	1	NR
9500	TBD	Vehicle Mechanical Operator/Engineer/Welder	15Z	1	DC

1 **A RESOLUTION**

2 WHEREAS, the Compensation Division of the Department of Human Resources
3 (DHR) has reviewed the recommended position creations contained in the 2013 Adopted
4 County Budget relative to classification and rate of compensation; and

5 WHEREAS, the Director, DHR, submitted Phase I of the Department's
6 recommendations relative to classification and rate of compensation for existing title
7 codes in December 2012, which was approved by the County Board on December 20,
8 2012 (File No. 12-960); and,

9 WHEREAS, in a report dated January 14, 2013 (copy attached), the Director of
10 DHR has submitted the recommendations relative to classification and rate of
11 compensation for new title codes; and,

12 WHEREAS, the creation of positions in the 2013 Adopted Budget require action
13 by the Committee on Finance, Personnel and Audit to establish the classification and rate
14 of compensation for those positions; now, therefore,

15 BE IT RESOLVED, that the Director of Human Resources is authorized and
16 directed to implement the classifications and rates of compensation (as attached and
17 contained in a report from the Director of Human Resources dated January 14, 2013) for
18 positions recommended for creation in the 2013 Adopted Budget.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 14, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: A resolution establishing the classification and rates of pay for the first phase of positions created within the 2013 Adopted Budget -- PHASE II.

FISCAL EFFECT:

- | | |
|--|--|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

A. Classification and rates of pay for positions created within in the annual budget adoption process must be approved by the County Board prior to those positions being filled.

B. There are no 2012 costs or revenues associated with this action. 2013 costs and revenues associated with the created positions are included within the 2013 Adopted Budget.

C. There are no 2012 budgetary impacts associated with this action. Budgetary impacts for 2013 are included within the 2013 Adopted Budget.

D. No additional assumptions or interpretations were used to provide information for this fiscal note.

Department/Prepared By Human Resources/ Ceschin

Authorized Signature



Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

**COUNTY OF MILWAUKEE
INTEROFFICE COMMUNICATION**

DATE: January 11, 2013

TO: Chairwoman Marina Dimitrijevic, County Board of Supervisors

FROM: Kerry Mitchell, Director, Department of Human Resources 

SUBJECT: **Ordinance Change Request – Assistant Chief of Airport Rescue & Firefighting**

Background

Within the last two years, five new non-represented positions of Assistant Chief of Airport Rescue & Firefighting were created to provide 24/7/365 management coverage of Airport Rescue and Firefighting operations. Because of the need to schedule shifts that roughly correspond to Airport Firefighter shifts, each of these positions maintains an average work week of 52 hours, or 2,704 hours annually.

Under 17.10 of Milwaukee County General Ordinances (MCGO) – Advancement Within the Pay Range - employees are allowed to advance to next highest rate of pay in their pay range “upon the meritorious completion of 2,080 straight time hours paid.” The historical intention of this ordinance was to provide that employees work a full year prior to being eligible for a step increase. As the ordinance currently stands, an Assistant Chief of Airport Rescue and Firefighting would be permitted to receive a step increase upon completion of 2,080 straight time hours, which constitutes only 77% of their normally scheduled work year.

Recommend Action

The Department of Human Resources recommends amending Chapter 17.10 MCGO as presented in the attached draft to specify that Assistant Chief of Airport Rescue & Firefighting may be advanced to the next highest step upon the meritorious completion of 2,704 straight time hours paid. This revision would prevent Assistant Chiefs from receiving step increases every nine months and would make ordinances consistent with the principle of no more than one step increase within a 12-month period.

(Item) From the Director of Human Resources requesting an amendment to Chapter 17.10 of the Milwaukee County Ordinances to address advancement in the pay ranges for Assistant Chiefs of Airport Rescue & Firefighting at General Mitchell International Airport, by adoption of the following:

RESOLUTION

WHEREAS, the Adopted 2010 County Budget and a series of subsequent actions approved by the County Board in 2010 and 2011 resulted in the creation of five (5) new positions of Assistant Chief of Airport Rescue & Firefighting; and

WHEREAS, the Assistant Chiefs of Airport Rescue & Firefighting will work a modified schedule not uncommon to the fire service that will have incumbents working an average of 52 hours per week, or 2,704 hours annually; and

WHEREAS, under 17.10 of Milwaukee County General Ordinances (MCGO) – Advancement Within the Pay Range – general employees are allowed to advance to next highest rate of pay in their pay range “upon the meritorious completion of 2,080 straight time hours paid”; and

WHEREAS, the historical intention of this ordinance was to provide that employees work a full year prior to being eligible for a step increase; and,

WHEREAS, as the ordinance currently stands, an Assistant Chief of Airport Rescue and Firefighting would be permitted to receive a step increase upon completion of 2,080 straight time hours, which constitutes only 77% of their normally scheduled work year, which equates to a step increase every nine months; and

WHEREAS, Airport Fire Department costs are paid by airport revenues; there is no tax levy impact; now, therefore,

BE IT RESOLVED, that the Milwaukee County Board of Supervisors hereby amends Section 17.10 of the Milwaukee County Code of General Ordinances by adopting the following:

AN ORDINANCE

The County Board of Supervisors of the County of Milwaukee does ordain as follows:

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SECTION 1. Section 17.10 of the General Ordinances of Milwaukee County is amended as follows:

17.10. - Advancement within a pay range.

The incumbent of a position shall be advanced to the next highest rate of pay in the pay range provided for the classification only upon meritorious completion of two thousand eighty (2,080) straight time hours paid, the incumbents of the position of Assistant Chiefs of Airport Rescue & Firefighting shall be advanced to the next highest rate of pay in the pay range provided for the classification only upon meritorious completion of two thousand seven hundred and four (2,704) straight time hours paid. Deviation from this requirement is permissible under the following conditions:

[remainder of section 17.10 is unchanged]

SECTION 2. The provisions of this ordinance shall be upon passage and publication.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 01/14/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Ordinance Change Request-Assistant Chief of Airport Rescue & Firefighting

ORDINANCE CHANGE REQUEST – Assistant Chief of Airport Rescue & Firefighting

FISCAL EFFECT:

No Direct County Fiscal Impact Expenditures

Increase Capital

Existing Staff Time Required

Decrease Capital

Expenditures

Increase Operating Expenditures
(If checked, check one of two boxes below)

Increase Capital Revenues

Absorbed Within Agency's Budget

Decrease Capital Revenues

Not Absorbed Within Agency's Budget

Decrease Operating Expenditures

Use of contingent funds

Increase Operating Revenues

Decrease Operating Revenues

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	(4,000)	(4,000)
	Revenue	(4,000)	(4,000)
	Net Cost	0	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

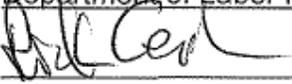
DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated. ¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

Approval of this resolution will amend Chapter 17.10 MCGO to change the how the positions of Assistant Chief of Airport Rescue & Firefighting move through steps in the pay range, from 2080 hours to 2704 hours. Currently Assistant Chiefs of Airport Rescue & Firefighting receive a step increase every nine months instead of one every year. The average difference between steps is \$136.59 per pay period. Currently it takes 20 pay periods instead of the normal 26 pay periods for each Assistant Chief to move from one step to another. This change in ordinance will reduce expenditures by approximately \$820 per position per year that is not at the top step. The Airport Fire Department costs are paid by airport revenues; there is no tax levy impact.

Department/Prepared By Department of Labor Relations Michael Bickerstaff

Authorized Signature 

Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.



Milwaukee County
Department of Human Resources
 INTER-OFFICE COMMUNICATION

Date: JANUARY 14, 2013
 To: Marina Dimitrijevic, County Board Chairwoman
 From: Kerry Mitchell, Director, Department of Human Resources
 Subject: **Relocation Reimbursement Policy**

Kerry Mitchell

Issue

Milwaukee County competes with other employers in both the public and private sector to recruit for top talent in leadership and difficult-to-fill positions. Reimbursement of relocation expenses is one key compensation area where Milwaukee County is at a significant competitive disadvantage to other motivated employers.

Policy

Many public and private sector employers have successfully employed relocation reimbursement policies to help attract candidates to leadership positions or positions that require extra recruitment efforts. The City of Milwaukee has had such a policy since 1993; the Federal Government adopted its policy in 2000.

The attached draft resolution/ordinance establishes Milwaukee County policy for reimbursing the relocation expenses for FLSA-exempt positions and positions certified by the Director of Human Resources as having limited qualified applicants. Specifically, the draft ordinance allows department heads, with prior approval from Human Resources, to offer reimbursement for reasonable and actual moving expenses up to \$15,000.

To be eligible for relocation reimbursement, the appointed candidate must not be a current employee and must be relocating from outside Milwaukee County to a residence within Milwaukee County. Additionally, the appointing authority must establish an agreement with the candidate including the following terms:

- (1) The employee shall be required to repay 100% of the reimbursed amounts if the employee voluntarily terminates employment within 24 months from the date of hire.
- (2) If the employee is terminated from employment for any reason other than reasonable cause, no repayment will be required; if the employee is terminated for cause repayment will be required according to item (1) of this subsection.

(3) Milwaukee County shall not reimburse for any other moving expenses not listed in the agreed-upon moving estimate.

(4) The parties agree that the relocation reimbursement shall be repaid to Milwaukee County within 30 days of separation as described in (1) and (2) above, and that Milwaukee County may use any legal recourse, including payroll withholding, to ensure full repayment.

Recommended Action

The Department of Human Resources recommends amending Chapter 17.09 of the Milwaukee County Code of General Ordinances as presented in the attached draft to establish a relocation reimbursement policy to better position Milwaukee County to compete with private and public sector employers to recruit top talent to Milwaukee County service.

CC: County Executive
A. Moreen
K. Bablitch
S. Cady
C. Mueller

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3 (ITEM) From the Director, Department of Human Resources,
4 recommending adoption of a resolution/ordinance to amend Chapter 17.09 of the
5 Milwaukee County Code of General Ordinances to establish guidelines for
6 reimbursement of certain moving expenses for new appointees to Milwaukee County
7 positions, by recommending adoption of the following:

8 **A RESOLUTION**

9 WHEREAS, in order to recruit and hire the best possible candidate for high-
10 level or difficult to fill positions, Milwaukee County periodically conducts national
11 employment searches; and,

12 WHEREAS, it has become common practice for quality employers to offer
13 relocation assistance as part of any strategic recruitment effort; employers who do
14 not offer some form of relocation assistance find themselves at a competitive
15 disadvantage in recruiting top flight candidates; and,

16 WHEREAS, while relocation reimbursement has been a common practice with
17 both private and public sector employers – including the City of Milwaukee since
18 1993 – and is recognized as a vital instrument for recruiting and hiring high quality
19 employees into public service; and

20 WHEREAS, Milwaukee County currently has vacancies in a variety of health
21 care positions, including several Medical Director positions in the Sheriff's Office and
22 the Behavioral Health Division, as well as several departmental leadership positions,
23 and relocation reimbursement may prove to be the difference in hiring a top
24 candidate or losing out to a competing employer; now, therefore,

25 BE IT RESOLVED, that the Milwaukee County Board of Supervisors hereby
26 amends Section 17.09 of the Milwaukee County Code of General Ordinances by
27 adopting the following:

28 **AN ORDINANCE**

29 The County Board of Supervisors of the County of Milwaukee does ordain as
30 follows:

31 **SECTION 1.** Section 17.09 of the General Ordinances of Milwaukee County is
32 amended as follows:

33 **17.09. - Salary rate on nNew appointments.**

- 34 1) Salary rate on new appointments. Appointments to newly created or vacant
35 positions in pay ranges shall be made at the first step of the range except as
36 follows, unless otherwise specified in a collective bargaining agreement:

37 ~~(1)~~ (a) When an employee is promoted from one classification to
38 another he/she shall be placed in that step of the new range immediately
39 above the compensation he/she is receiving at the date of promotion
40 without further review.

41 ~~(2)~~ (b) A department head may appoint an individual whose training and
42 experience exceed the minimum qualifications to a non-ECP position at a
43 rate of pay higher than the first step of the pay range which shall be
44 effective immediately upon appointment.

45 ~~(3)~~ (c) The director of human resources shall provide a monthly report
46 to the committee on finance, personnel and audit which lists all new
47 appointments at an advanced step of the pay range, along with a fiscal
48 note for each. This report shall be distributed to all county supervisors and
49 placed on the committee on finance, personnel and audit agenda for
50 informational purposes.

51 2) Relocation Reimbursement.

- 52 a) Certain new appointees may be eligible for reimbursement for relocation
53 expenses under this subsection provided the appointee qualifies under each of
54 the following requirements:

- 55
56 (1) The appointee must not be a current Milwaukee County employee.
57 (2) The appointee must be relocating from outside Milwaukee County.
58 (3) The new place of residence must be within the geographic boundaries of
59 Milwaukee County.
60 (4) The position must be FLSA exempt or must be certified by the Director of
61 Human Resources as having limited qualified applicants.
62 (5) Relocation reimbursement must be authorized by the Director,
63 Department of Human Resources, prior to the appointing authority
64 extending a formal offer of employment to the candidate.
65 (6) The Department Head is responsible for ensuring that the relocation costs
66 negotiated are within the appointing department's budget allocation.

- 67
68 b) The relocated employee will be reimbursed for reasonable and actual moving
69 expenses not to exceed \$15,000 according to the following:
70

- 71 (1) Milwaukee County agrees to reimburse the employee for the cost of
72 moving normal household items and full value insurance protection from
73 origin to destination, up to the amount agreed-upon during job offer
74 negotiations (not to exceed \$15,000).
75 (2) The appointing authority must receive three relocation cost estimates from
76 the candidate; Milwaukee County will reimburse the lowest of the three
77 estimates.
78 (3) Applicable receipts will be required prior to reimbursement and must be
79 submitted within 90 days of occupancy of the new residence. The claim
80 for reimbursement shall conform to the County's standard procedures.

81
82 c) The following moving expenses are not authorized:

- 83 (1) Special services such as maid service, carpet service, disassembly of
84 unusual articles.
85 (2) Transportation of vehicles (including mileage claims), camping or utility
86 trailers, building materials, firewood, landscape materials, animals, boats,
87 or items not considered normal household items.
88 (3) Visits to the new location to secure housing.
89 (4) Storage costs at destination.
90 (5) Temporary living expenses at destination.
91 (6) Costs associated with the sale or purchase of residences, or forfeiture of
92 deposit, penalty, etc. as a result of breaking or terminating a
93 lease/agreement.

94
95 d) The appointing authority, representing Milwaukee County, and the employee
96 shall enter into a Reimbursement of Relocation Expenses agreement that shall
97 include the following:

- 98 (1) The employee shall be required to repay 100% of the reimbursed amounts
99 if the employee voluntarily terminates employment within 24 months from
100 the date of hire.
101 (2) If the employee is terminated from employment for any reason other than
102 reasonable cause, no repayment will be required; if the employee is
103 terminated for cause repayment will be required according to item (1) of
104 this subsection.
105 (3) Milwaukee County shall not reimburse for any other moving expenses not
106 listed in the agreed-upon moving estimate.
107 (4) The parties agree that the relocation reimbursement shall be repaid to
108 Milwaukee County within 30 days of separation as described herein, and
109 that Milwaukee County may use any legal recourse, including payroll
110 withholding, to ensure full repayment.

111
112 e) Reimbursement of the expenses defined in item (1) are considered qualified
113 expenses per current IRS regulations and are not subject to tax withholding and
114 will be processed as reimbursements per the County's standard procedures.
115 Appointees are encouraged to check with a tax accountant if they have any

116 questions on what relocation expenses may be listed as deductions in personal
117 income statements.

118
119 f) Appointee will be responsible for all expenses not listed above that relate to
120 relocating to the new job location.

121
122 g) The appointing authority will establish a record that details the terms of the
123 Reimbursement of Relocation Expenses agreement, including the final
124 reimbursement amount.

125
126 **SECTION 2.** The provisions of this ordinance shall be effective upon passage and
127 publication.

MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: January 14, 2013

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: A resolution/ordinance to amend Chapter 17.09 of the Milwaukee County Code of General Ordinances to establish guidelines for reimbursement of certain moving expenses for new appointees to Milwaukee County positions.

FISCAL EFFECT:

- | | |
|---|--|
| <input type="checkbox"/> No Direct County Fiscal Impact | <input type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input checked="" type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input type="checkbox"/> Increase Capital Revenues |
| <input checked="" type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	20,000	0
	Revenue	0	0
	Net Cost	20,000	0
Capital Improvement Budget	Expenditure		
	Revenue		
	Net Cost		

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated.¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

A. Adoption of this resolution would establish a policy for reimbursing allowable expenses for a candidate for County employment to relocate to Milwaukee County.

B. The policy allows for reimbursement of up to \$15,000 in allowable and actual moving expenses with prior approval from the Director of Human Resources. Because each reimbursement is conditional, the frequency and actual cost of such reimbursements is unknown.

C. Relocation reimbursements approved in 2013 will be absorbed within the departmental budget of the requesting department. No additional tax levy is required. To help offset costs associated with hiring certain medical positions in the Sheriff's Office, the 2013 Adopted Budget included funds in an allocated contingency account that may be available for reimbursement of relocation expenses.

D. This fiscal note assumes an average reimbursement of \$10,000 for actual authorized expenses and assumes two such reimbursements that are not otherwise covered by the allocated contingency account.

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

Department/Prepared By Human Resources/Ceschin

Authorized Signature

Bob Card

Did DAS-Fiscal Staff Review? Yes No

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013
To : Committee on Finance, Personnel & Audit
FROM : Rick Ceschin, Deputy Director of Human Resources *RC*
SUBJECT : **Informational Report for 01/31/2013**
Finance, Personnel & Audit Committee Meeting

Attached are a series of informational reports listing various personnel transactions that the Director of Human Resources intends to approve for implementation.

These reports (*reclassifications, advancements within the pay range, reallocations, and revisions to Executive Compensation Plan [ECP]*) are provided in accordance with the provisions of Chapter 17 and may be included on the agenda of the January 31, 2013 Finance, Personnel & Audit Committee Meeting for informational purposes.

In the event the Finance, Personnel & Audit Committee takes no action, the transactions noted on the reports will be implemented.

RC:jam

Copy: HR Managers

RECLASSIFICATION REPORT
Finance, Personnel & Audit Committee: January 2013

In accordance with the provisions of 17.05 of the Milwaukee County General Ordinances, the Director of Human Resources intends to reclassify the position noted below. The Department of Administration has verified that funds are available within the adopted budget to cover the cost associated with this action.

Requestor	Org	Number of Positions	Current Classification	Current Pay Grade	Proposed Classification	Proposed Pay Grade	Pay Rate Steps	Pay Rates (Hrly)	Annual Pay Rate	Justification
DA's Office	4500	2	Investigator Dist City	22DM	Deputy Chief Investigator	36M	01	\$36,1423	\$75,175.98	Change in Duties
							02	\$37,8038	\$78,631.90	
							03	\$39,4385	\$82,032.08	
							04	\$41,1010	\$85,490.08	
							05	\$42,7638	\$88,948.70	

* Change in Duties has to reflect a weight of 25% or more.

RECLASS
DAS FISCAL FORM
1/14/2013

REALLOCATION DAS FISCAL FORM

Department: District Attorney
Date of Reallocation Request: 12/21/2012
Date of anticipated reallocation classes: 2/7/2013

Item	Org Unit	Low Org	Title Code	Position Name	Pay Range	Step	No. of Positions	FTEs	Hrly Rate	Biweekly Salary	Social Security	Fringe Benefits**	Pay Periods Remaining	2012 total	Annual Total
EXISTING POSITION(S):															
1	4500	4501	00086150	Chief Investigator	23DM	5	1	1.0	\$1,7365	2,638	194	974	21	77,844	96,378
2	4500	4501	00086100	Investigator District Attorney	22DM	5	9	9.0	28,8337	2,367	176	938	21	846,556	800,497
													SUBTOTAL:	724,398	896,875
RECLASS POSITION(S):															
1	4500	4501	00086150	Chief Investigator	38M	1	1	1.0	38,4365	3,195	241	1,089	21	93,782	118,111
2	4500	4501	00086100	Investigator District Attorney	32M	1	9	9.0	30,3527	2,428	186	957	21	874,842	835,518
													SUBTOTAL:	768,623	951,629
													TOTAL COST:	44,224	94,794

* Pension Flood Rate for 2013 = 15.51% of salary

DOES THE DEPARTMENT HAVE SUFFICIENT FUNDS FOR THE RECLASS: Yes

DOES DAS APPROVE THE ABOVE MENTIONED RECLASSIFICATIONS? Yes

COMMENT/NARRATIVE (optional): Reallocation is requested for recruitment purposes


FISCAL AND BUDGET ADMINISTRATOR


DATE

**ADVANCEMENT WITHIN THE PAY RANGE REPORT
Finance, Personnel & Audit Committee: January 2013**

In accordance with the provisions of 17.10 of the County General Ordinances, the Director of Human Resources intends to approve the

~Currently, There are NO "Advancements within the Pay Range" to Report for January 2013~

Advancement Within Pay Range Report - January 2013.xls

Prepared by Sally Garski 01/11/2013
Reviewed/Approved by Katrina Evans 01/11/2013

**Reallocation Report
Finance, Personnel & Audit Committee Meeting
January 2013**

In accordance with the provisions of 17.055 of the County General Ordinances, the Director of Human Resources intends to reallocate the positions noted below.
The Department of Administration has verified that funds are available within the adopted budget.

DEPT	TITLE CODE	NO. OF POSITIONS	CURRENT CLASSIFICATION	CURRENT PAY RANGE	STEPS	CURRENT PAY RATE	RECOMMENDED PAY RANGE	STEPS	RECOMMENDED PAY RATE	PAY STEP	NEW PAY RATE	CURR YEAR DIFF	SUB YEAR DIFF	MAX YEAR DIFF	REASON
4500 - DA Office	00086150	1	Chief Investigator	23DM	01	\$ 28,4658	38M	01	\$ 39,4385	TBD	\$0	\$0	\$0	\$0	*Change in Duties
					02	\$ 29,2580		02	\$ 41,1010						
					03	\$ 30,0246		03	\$ 42,7636						
					04	\$ 30,7502		04	\$ 44,8001						
					05	\$ 31,7356		05	\$ 47,2294						
4500 - DA Office	00086100	8	Investigator Dist Atty	22DM	01	\$ 25,4447	32M	01	\$ 30,3527	TBD	\$0	\$0	\$0	\$0	*Change in Duties
					02	\$ 26,9211		02	\$ 31,7185						
					03	\$ 26,8956		03	\$ 33,1122						
					04	\$ 27,8992		04	\$ 34,4813						
					05	\$ 28,8337		05	\$ 36,1423						

* Being submitted outside of the Job Analysis Project based on critical need: retention.

RECLASS
DAS FISCAL FORM
1/14/2013

RECLASSIFICATION DAS FISCAL FORM
 Department: District Attorney
 Date of Reclass Request: 12/21/2012
 Date of anticipated reclass: 2/7/2013

Item	Org Unit	Low Org	Title Code	Position Name	Pay Range	Step	No. of Positions	FTEs	Hrly Rate	Weekly Salary	Social Security	Fringe Benefits**	Pay Periods Remaining	2013 total	Annual Total
EXISTING POSITION(S)*:															
1	4500	4501	000086100	Investigator-District Attorney	220M	5	2	2.0	28,837	2,307	176	936	21.0	143,679	177,868
													SUBTOTAL:	143,679	177,868
RECLASS POSITION(S)*:															
1	4500	4501	TBD	Deputy Chief Investigator	36M	1	2	2.0	35,142	2,891	221	987	21.0	172,201	213,201
													SUBTOTAL:	172,201	213,201
													TOTAL COST:	28,622	36,213

* Pension Fixed Rate for 2013 = 15.51% of salary

DOES THE DEPARTMENT HAVE SUFFICIENT FUNDS FOR THE RECLASS:

DOES DAS APPROVE THE ABOVE MENTIONED RECLASSIFICATIONS? Yes

COMMENT/NARRATIVE (optional): Both positions have additional and higher level responsibilities.


 FISCAL AND BUDGET ADMINISTRATOR

1/14/13
 DATE

**REVISIONS TO Executive Compensation Plan (ECP) REPORT
Finance, Personnel & Audit Committee Meeting
January 31, 2013**

Currently, there are no "Revisions to ECP" to report.

1/14/2013 2:50 PM

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 14, 2013

To : Committee on Finance, Personnel & Audit

FROM : Rick Ceschin, Deputy Director of Human Resources *RC*

SUBJECT : **Informational Reports 1/31/13**
For Finance, Personnel & Audit Committee Meeting

Attached is an informational report listing *appointments at an advanced step of the pay range*, which the Director of Human Resources has approved.

These reports are provided in accordance with the provisions of Chapter 17 of the County General Ordinances and may be included on the agenda of the January 31, 2013 Finance, Personnel & Audit Committee Meeting for informational purposes

RC:jam

Attachment

**Appointments at an Advanced Step of the Pay Range
Finance, Personnel & Audit Committee Report
January 2013**

REQUESTOR	ORG UNIT	PREVIOUS CLASSIFICATION	CURRENT CLASSIFICATION	PAY GRADE	# OF STEPS A	REQUESTED HOURLY RATE	ANNUALIZED SALARY BY STEPS	APPOINTED STEP	APPOINTED DATE	EST. YEAR (2013) FISCAL IMPACT TM	JUSTIFICATION
Office of the Comptroller	3700	Accountant 3	Accountant 4-NR	25M	01	\$ 23,282.6	\$ 48,427.81	03	11/19/2012	\$ 3,559.30	Promotional Appointment / 16+ Experience
					02	\$ 24,138.3	\$ 50,207.66				
					03	\$ 24,993.8	\$ 51,987.10				
					04	\$ 26,125.2	\$ 54,340.42				
					05	\$ 26,465.0	\$ 55,047.20				
DOT - Airport	5040	N/A	Airport Ops Mgr Landside	34M	01	\$ 33,112.2	\$ 68,873.38	03	1/21/2013	\$ 5,939.00	New Hire Appointment / 20+ Experience
					02	\$ 34,481.3	\$ 71,721.10				
					03	\$ 36,142.3	\$ 75,175.98				
					04	\$ 37,803.8	\$ 78,631.90				
					05	\$ 39,438.5	\$ 82,032.08				
DOT - Airport	5040	N/A	Asst Chief of Air Res & Firefg	29FM	01	\$ 26,465.0	\$ 71,561.36	02	1/6/2013	\$ 3,406.23	New Hire Appointment / 15+ Experience
					02	\$ 27,724.7	\$ 74,967.59				
					03	\$ 28,957.7	\$ 78,301.82				
					04	\$ 30,352.7	\$ 82,073.70				
					05	\$ 31,718.5	\$ 85,766.82				
DOT - Airport	5040	N/A	Maintenance Supv Airport	28M	01	\$ 26,125.2	\$ 54,340.42	03	11/7/2012	\$ 3,326.96	New Hire Appointment / 20+ Experience
					02	\$ 26,465.0	\$ 55,047.20				
					03	\$ 27,724.7	\$ 57,667.38				
					04	\$ 28,957.7	\$ 60,232.02				
					05	\$ 30,352.7	\$ 63,133.62				
DOT - Airport	5040	Accountant 3	Accountant 4-NR	25M	01	\$ 23,282.6	\$ 48,427.81	03	1/2/2013	\$ 3,559.30	Promotional Appointment / 26+ Experience
					02	\$ 24,138.3	\$ 50,207.66				
					03	\$ 24,993.8	\$ 51,987.10				
					04	\$ 26,125.2	\$ 54,340.42				
					05	\$ 26,465.0	\$ 55,047.20				
DOT - Airport	5040	Public Relats Coord	Airport Mktg & PR Coord	28M	01	\$ 26,125.2	\$ 54,340.42	03	11/25/2012	\$ 3,326.96	Promotional Appointment / 12+ Experience
					02	\$ 26,465.0	\$ 55,047.20				
					03	\$ 27,724.7	\$ 57,667.38				
					04	\$ 28,957.7	\$ 60,232.02				
					05	\$ 30,352.7	\$ 63,133.62				
DOT - Fleet	5300	N/A	Ironworker - Seasonal	19	01	\$ 19,313.8	\$ 40,172.70	05	11/26/2012	-	New Hire Appointment / Recruitment at Market Rate
					02	\$ 19,873.0	\$ 41,335.84				
					03	\$ 20,642.6	\$ 42,936.61				
					04	\$ 21,465.9	\$ 44,649.07				
					05	\$ 22,342.0	\$ 46,471.36				

Appointments at an Advanced Step of the Pay Range
 Finance, Personnel & Audit Committee Report
 January 2013

REQUESTOR	ORG UNIT	PREVIOUS CLASSIFICATION	CURRENT CLASSIFICATION	PAY GRADE	# OF STEPS A	REQUESTED HOURLY RATE	ANNUALIZED SALARY BY STEPS	APPOINTED STEP	APPOINTED DATE	EST. YEAR (2013) FISCAL IMPACT ^{MA}	JUSTIFICATION
DHHS - Behavioral Health Div	6300	N/A	House Physician I - Hourly	40XM	01	\$ 57.4578	\$ 119,512.22	03	11/30/2012	\$8,512.19	New Hire Appointment / Recruitment at Market Rate
					02	\$ 59.4687	\$ 123,694.90				
					03	\$ 61.5502	\$ 128,024.42				
					04	\$ 63.7044	\$ 132,505.15				
					05	\$ 65.9339	\$ 137,142.51				
					06	\$ 68.2418	\$ 141,942.94				
					07	\$ 70.6302	\$ 146,910.82				
DHHS - Behavioral Health Div	6300	N/A	House Physician II - Hourly	42XM	01	\$ 63.3773	\$ 131,824.78	04	12/05/2012	\$14,332.03	New Hire Appointment / Recruitment at Market Rate
					02	\$ 65.5957	\$ 136,439.06				
					03	\$ 67.8915	\$ 141,214.32				
					04	\$ 70.2677	\$ 146,156.82				
					05	\$ 72.7270	\$ 151,272.16				
					06	\$ 75.2725	\$ 156,566.80				
					07	\$ 77.9071	\$ 162,046.77				
DHHS - Behavioral Health Div	6300	N/A	BH Staff Psychiatrist - Hourly	44PM	01	\$ 82.8190	\$ 172,263.52	03	*TBD	~	New Hire Appointment / Recruitment at Market Rate
					02	\$ 85.7177	\$ 178,292.82				
					03	\$ 88.7178	\$ 184,533.02				
					04	\$ 91.8230	\$ 190,991.84				
					05	\$ 95.0367	\$ 197,676.34				
					06	\$ 98.3630	\$ 204,595.04				
					07	\$ 101.8057	\$ 211,755.86				
DHHS - Behavioral Health Div	6300	N/A	EMS Instructor	18N	01	\$ 26.5101	\$ 55,141.01	03	*TBD	~	New Hire Appointment / Recruitment at Market Rate
					02	\$ 28.0892	\$ 58,425.54				
					03	\$ 29.4905	\$ 61,340.27				
					04	\$ 30.7558	\$ 63,972.06				
					05	\$ 32.1572	\$ 66,886.98				
					06	\$ 32.7951	\$ 68,213.81				
					07	\$ 33.4435	\$ 69,562.48				
					08	\$ 34.0082	\$ 70,737.06				
					09	\$ 34.5729	\$ 71,911.63				
DHHS - Behavioral Health Div	6300	N/A	Adv Prac Nurse Prescriber	32NZ	01	\$ 34.3428	\$ 71,433.02	06	*TBD	~	New Hire Appointment / 10+ Experience
					02	\$ 35.8906	\$ 74,652.45				
					03	\$ 37.4697	\$ 77,936.98				
					04	\$ 39.0174	\$ 81,156.19				
					05	\$ 40.8997	\$ 85,071.38				
					06	\$ 41.7259	\$ 86,769.87				
					07	\$ 42.3534	\$ 88,095.07				

Appointments at an Advanced Step of the Pay Range
Finance, Personnel & Audit Committee Report
January 2013

REQUESTOR	ORG UNIT	PREVIOUS CLASSIFICATION	CURRENT CLASSIFICATION	PAY GRADE	# OF STEPS ^	REQUESTED HOURLY RATE	ANNUALIZED SALARY BY STEPS	APPOINTED STEP	APPOINTED DATE	EST. YEAR (2013) FISCAL IMPACT**	JUSTIFICATION
DHHS - Behavioral Health Div	6300	N/A	Adv Prac Nurse Prescriber	32NZ	01	\$ 34,3428	\$ 71,433.02	06	*TBD	~	New Hire Appointment / 10+ Experience
					02	\$ 35,8906	\$ 74,652.45				
					03	\$ 37,4697	\$ 77,936.98				
					04	\$ 39,0174	\$ 81,156.19				
					05	\$ 40,8997	\$ 85,071.38				
					06	\$ 41,7259	\$ 86,789.87				
					07	\$ 42,3534	\$ 88,095.07				
DHHS - Behavioral Health Div	6300	N/A	Adv Prac Nurse Prescriber	32NZ	01	\$ 34,3428	\$ 71,433.02	06	*TBD	~	New Hire Appointment / Recruitment at Market Rate
					02	\$ 35,8906	\$ 74,652.45				
					03	\$ 37,4697	\$ 77,936.98				
					04	\$ 39,0174	\$ 81,156.19				
					05	\$ 40,8997	\$ 85,071.38				
					06	\$ 41,7259	\$ 86,789.87				
					07	\$ 42,3534	\$ 88,095.07				

*Bold/shaded border denotes rates of incumbents

**Current Year fiscal impact amount is based upon number of weeks remaining in 2012 / 2013 from date of appointment and the difference from the required rate to the advanced rate. Based on 40 Hour Work Week.

COUNTY OF MILWAUKEE
Department of Human Resources
INTER-OFFICE COMMUNICATION

DATE : January 23, 2013
TO : Committee on Finance, Personnel & Audit
FROM : Rick Ceschin, Deputy Director of Human Resources 
SUBJECT : **Informational Reports 01/31/2013**
For Finance, Personnel & Audit Committee Meeting

Attached are a series of informational reports relative to ***dual employment, emergency appointment, and temporary appointment***. Also included is an informational report relative to ***temporary assignments to a higher classification***, which is updated through January 9, 2013.

These reports are provided in accordance with the provisions of Chapter 17 of the County General Ordinances.

RC:jam

Attachments

Dual Employment Report
Finance, Personnel & Audit Committee Meeting
January 31, 2013

<u>Organizational Unit</u>	<u>Name</u>	<u>Current Classification</u>	<u>Current Pay Range</u>	<u>Dual Employment</u>	<u>Dual Employment Pay Range</u>
----------------------------	-------------	-------------------------------	--------------------------	------------------------	----------------------------------

Currently, there are no "Dual Employments" to report.

Emergency Appointment Report
 Finance, Personnel & Audit Committee Meeting
 January 31, 2013

Requestor	Dept	Last Name	First Name	Title Description	Employee Class	Status	Emergency Appt Date	Pay Range
DHHS	8524	Tsounis	Diane	Housing Prog Asst Rehab	F	A	10/22/2012	16Z
DHHS	8525	Collura	Andrew	Housing Prog Asst Rent Asst	F	A	12/10/2012	16Z
DHHS	8528	Gant	Evans	Housing Prog Analyst	F	A	5/20/2012	18
DHHS	8921	Fonseca	Wilma	Detent Home Supt	F	A	9/17/2012	915E

**Temporary Appointment Report
Finance, Personnel & Audit Committee Meeting
January 31, 2013**

Requestor	Dept	Last Name	First Name	Title Code	Title Description	Emp Class	Status	# of Hours in Payroll Period	Temporary Appt Date	Appt Type
DOT - Highways	5110	Mc Kay	Dwayne	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5110	Medrek	George	32610	Highway Mtce Wkr 1	F	A	80	11/15/2012	TA
DOT - Highways	5110	Schneider	Jake	32610	Highway Mtce Wkr 1	F	A	80	11/11/2012	TA
DOT - Highways	5120	Minter	Anthony	32610	Highway Mtce Wkr 1	F	A	80	11/26/2012	TA
DOT - Highways	5120	Johnson	Dennis	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5120	Tersen	Douglas	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5120	Simmons	Tyrone	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	DeJesus	Carlos	32610	Highway Mtce Wkr 1	F	A	80	11/11/2012	TA
DOT - Highways	5140	Laack	Jerome	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	Efta	John	32610	Highway Mtce Wkr 1	F	A	80	11/11/2012	TA
DOT - Highways	5140	Radakovich	Keith	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	Luedtke	Michael	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	Brandt	Richard	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	Zieman	Robert	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5140	Kirkpatrick	Roger	32610	Highway Mtce Wkr 1	F	A	80	10/30/2012	TA
DOT - Highways	5140	Gallam	Stephen	32610	Highway Mtce Wkr 1	F	A	80	10/29/2012	TA
DOT - Highways	5160	Rodriguez	Alvin	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5160	Brown	Bobby	32610	Highway Mtce Wkr 1	F	A	80	10/29/2012	TA
DOT - Highways	5160	Finiels	David	32610	Highway Mtce Wkr 1	F	A	80	10/29/2012	TA
DOT - Highways	5160	Stueck	Donald	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5160	Manka	John	32610	Highway Mtce Wkr 1	F	A	80	11/5/2012	TA
DOT - Highways	5160	Critton	Mickey	32610	Highway Mtce Wkr 1	F	A	80	11/26/2012	TA

Revised

Temporary Assignment to a Higher Classification (TAHC) Report
Finance, Personnel & Audit Committee Meeting
January 31, 2013

DEPT	FIRST NAME	LAST NAME	CURRENT JOB TITLE	OLD PAY RANGE	NEW PAY RANGE	START DATE	END DATE	TYPE OF EXT	TAHC JOB TITLE
BHD	Teri	Jenkins	Clerical Asst 1	03P	22M	10/1/2012	indefinite	File No. 12-881	Qual Mgmt Adm Asst
BHD	Patricia	Meehan	Quality Impr & Risk Coord	28MN	902E	12/31/2012	3/30/2012		ExDir2-Associate Admin of Nursing
BHD	Michael	Spitzer	Rehab Services Supvr	31M	916E	12/10/2012	3/9/2013		BHD Rehab Center-Hilltop
BHD	Jeanine	Joe	Clerical Asst 1	03P	04P	1/21/2013	3/22/2013		Fiscal Asst II*
Child Support	Marva	Jeter	Child Support Specialist	16J	22	12/14/2012	2/14/2013		Paralegal-Child Support
DA's Offc	Cynthia	Little	Secretarial Asst	04P	22M	1/6/2013	2/19/2013		Adm Asst 2-DA Ex*
DOT-Airport	Pamela	Cavadias	Secretarial Asst	04P	06PM	12/11/2012	3/10/2013	adm	Executive Asst Airport*
DOT-Airport	Lyle	May	Auto & Eq Serv Tech DOT	19	20	1/4/2013	3/7/2013	adm	Auto & Equip Tech DOT IC*
DOT Directors Office	James	Martin	Fiscal and Perf Mgmt Coord	38M	38M	11/5/2012	2/2/2013		Director of Operations
DAS- Facilities Management	Gerald	Otto	Electrical Mechanic	5408BT	54	11/25/2012	2/16/2013		Electrical Mechanic Supervisor*
DOT-Highway Maintenance	Brian	Dranzik	Director of Operations	38M	903E	1/16/2013	4/15/2013	adm	Director of Highway Operations
IMSD	Sean	Payne	Network Technical Spec. 4	28D	35M	11/26/2012	2/13/2013		IT MANAGER - SERVER
Parks	James	Keegan	Exdir2-Chief of PlantPartPO	902E	903E	11/14/2012	2/11/2013	adm	Exdir3-Dir Parks-Rec-Culture
Parks	Megan	Rozzak	Clubhouse Concess Mgr	915E	916E	1/21/2013	4/20/2013		Asst. Chief of Rec/Bus Op
Parks	Jill	Organ	Engineer	32A	902E	12/3/2012	3/2/2013	adm	Exec Dir 2 Chief of Plan/Dev
Parks	Jon	Peltonen	Park Mtce Wkr 2 IC	18Z	22M	12/27/2012	3/26/2013		Park Unit Coord I - Concessions
Parks	Joe	Mrozinski	Asst Chief of Rec/Bus Op	916E	902E	1/7/2013	4/6/2013		Chief of Rec & Busi Ops
Parks	Marsha	Smith	Clerical, Specialist	05P	915E	1/7/2013	4/6/2013		Clubhouse Concessions Mgr
Parks	Charlotte	Perko	Office Asst III	07PM	12DC	12/2/2012	3/1/2013	adm	Sr. Executive Asst.*
Sheriff Dept	Daniel	Dittberner	Deputy Sheriff I	17BZ	22B	11/13/2012	2/9/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Mary	Sawczuk	Deputy Sheriff I	17BZ	22B	12/21/2012	3/20/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Daniel	Carter	Deputy Sheriff I	17BZ	22B	12/21/2012	3/20/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Fred	Gladney	Deputy Sheriff I	17BZ	22B	12/21/2012	3/20/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Brandy	Lester	Deputy Sheriff I	17BZ	22B	12/21/2012	3/20/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Daniel	Dittberner	Deputy Sheriff I	17BZ	22B	11/13/2012	2/9/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Cheri	Schmitz	Correction Officer 1	14Z	23CM	11/17/2012	2/15/2013	adm	Correction Officer Lieutenant
Sheriff Dept	April	Johnson	Dep Sheriff 1	17BZ	22B	11/18/2012	2/16/2013	adm	Dep. Sheriff Sergeant*
Sheriff Dept	Jeffrey	Andrykowski	Correction Officer 1	14Z	23CM	11/24/2012	2/21/2013	adm	Correction Officer Lieutenant*
Sheriff Dept	Melvin	Finkley	Correction Officer 1	14Z	23CM	11/24/2012	2/21/2013	adm	Correction Officer Lieutenant*
Sheriff Dept	Aaron	Dobson	Deputy Sheriff I	17BZ	22B	1/9/2013	4/8/2013	adm	Deputy Sheriff Sergeant*
Sheriff Dept	Debra	Burmeister	Sheriff Dept Captain	915E	902E	12/4/2012	3/3/2013	adm	Asst Superintendent (CO Major)
Zoo	Ryan	Strack	Zookeeper	15	17A	12/18/2013	2/18/2013		Zoo Area Supervisor
Zoo	Michael	Norlock	Heritage Farm Attendant	51	15	1/20/2013	3/20/2013		Zookeeper*

The TAHC has been extended by the Director of DHR. The County Board of Supervisors and the County Executive must approve the second extension to a *vacant unclassified position through adoption of a resolution.*

*Individual has a TAHC according to provisions of labor contracts

DATE : January 17, 2013

TO : Supervisor Marina Dimitrijevic, Chairwoman, Board of Supervisors

FROM : Greg High, Director, AE&ES Section, Facilities Management Division, Department of Administrative Services

SUBJECT : **New Capital Project WO223 Research Park Entrance Signage/Landscaping Relocation and Reimbursement from Milwaukee County Research Park Corporation (MCRPC)**

REQUEST

Approval is requested to enter into a Memorandum of Understanding (MOU) with the Milwaukee County Research Park Corporation (MCRPC) to allow Milwaukee County to perform work for MCRPC relating to the newly created capital project WO223 Research Park Entrance Signage/Landscaping Relocation and to have the expenses reimbursed by MCRPC.

BACKGROUND/ANALYSIS

Various property interests needed by the Wisconsin Department of Transportation (WisDOT) for the Zoo Freeway Interchange reconstruction are located on County-owned land and also County-owned land under the jurisdiction of the Research Park. The County has received final offers from WisDOT to acquire the needed property interests on four (4) parcels (Parcels 15, 45, 50, 53) located on County-owned land and County-owned land within the Research Park.

In December 2012, Resolution 12-898 was approved by the County Board. The resolution approved the final offers from WisDOT and approved a division of proceeds between Milwaukee County and MCRPC. MCRPC has approximately \$450,000 available for work on capital projects. The funds are located in a segregated account.

Some of the property interest acquisition will require the relocation of an entrance to the Research Park. The research park is also anticipating the receipt of the right of way proceeds associated with relocating the signage and landscaping from the other affected private Research Park tenants. The MCRPC will be utilizing the proceeds from the County parcels and the private tenant parcels to finance the relocation of the signage and landscaping from the current entrance.

The MCRPC has requested the County prepare bid documents for the signage and landscaping relocation project, bid the project and administer the construction contract. The Research Park would reimburse the County from the funds received from WisDOT through land sale proceeds. The cost for County staff time would be included, along with the cost for bidding, construction and other miscellaneous items.

RECOMMENDATION

The Department of Administrative Services (DAS) recommends approval of the attached resolution that authorizes DAS, Corporation Counsel, and Risk to negotiate, prepare, review, approve, execute and record all documents and perform all actions required to enter into an MOU with MCRPC to have the County perform work relating to the newly created capital project WO233 Research Park Entrance Signage/Landscaping Relocation. The MOU will include a provision that directs MCRPC to reimburse the County for all project related expenses. The resolution also directs DAS-Fiscal Affairs to process an administrative appropriation transfer of \$450,000 to create capital project WO233 Research Park Entrance Signage/Landscaping Relocation. It further directs DAS-Fiscal to process an additional administrative fund transfer for the balance of the project once MCRPC has deposited additional funds of at least \$345,000 into the segregated account for the purpose of financing project WO223 Research Park Entrance Signage/Landscaping Relocation.

Greg High, P.E.
Director, AE&ES Section,
Facilities Management Division, DAS

cc: Chris Abele, County Executive
Amber Moreen, Chief of Staff, County Executive's Office
Kelly Bablitch, Chief of Staff, County Board of Supervisors
Patricia Jursik, Chair, Economic and Community Development Committee
Willie Johnson, Jr., Co-Chair, Finance, Personnel, and Audit Committee
David Culler, Co-Chair, Finance, Personnel, and Audit Committee
Scott Manske, Comptroller
Craig Kammholz, Fiscal and Budget Administrator, DAS
Steve Cady, County Board Fiscal and Budget Analyst
Jessica Janz-McKnight, County Board, Policy Analyst
Jim Burton, Director, Facilities Management Division, DAS
Vincent Masterson, Strategic Asset Coordinator, DAS
Justin Rodriguez, Capital Finance Analyst, Comptroller's Office
Pamela Bryant, Capital Finance Manager, Comptroller's Office
Bill Drew, Executive Director, MCRPC
Guy Mascari, Director of Development, MCRPC

1
2
3
4 (ITEM *) A resolution to authorize the Department of Administrative Services (DAS) to enter
5 into a Memorandum of Understanding (MOU) with Milwaukee County Research Park
6 Corporation (MCRPC) to allow Milwaukee County to perform work for MCRPC relating to the
7 newly created capital project WO223 Research Park Entrance Signage/Landscaping Relocation
8 and to have expenses reimbursed by MCRPC:
9

10 **A RESOLUTION**

11
12 WHEREAS, various property interests needed by the Wisconsin Department of
13 Transportation (WisDOT) for the Zoo Freeway Interchange reconstruction are located on
14 County-owned land and also County-owned land under the jurisdiction of the Research Park; and
15

16 WHEREAS, the County has received final offers from WisDOT to acquire the needed
17 property interests on four (4) parcels (Parcels 15, 45, 50, 53) located on County-owned land and
18 County-owned land within the Research Park; and
19

20 WHEREAS, Resolution 12-898 was approved by the County Board and included a
21 division of proceeds between Milwaukee County and MCRPC; and
22

23 WHEREAS, the resolution approved the final offers from WisDOT and approved a
24 division of proceeds between Milwaukee County and MCRPC; and
25

26 WHEREAS, MCRPC has approximately \$450,000 available for work on capital projects;
27 and
28

29 WHEREAS, the funds are located in a segregated account; and
30

31 WHEREAS, some of the property interest acquisition will require the relocation of an
32 entrance to the Research Park; and
33

34 WHEREAS, the research park is also anticipating the receipt of the right of way proceeds
35 associated with relocating the signage and landscaping from the other affected private Research
36 Park tenants; and
37

38 WHEREAS, the MCRPC will be utilizing the proceeds from the County parcels and the
39 private tenant parcels to finance the relocation of the signage and landscaping from the current
40 entrance, and
41

42 WHEREAS, the MCRPC has requested the County prepare bid documents for the
43 signage and landscaping relocation project, bid the project and administer the construction
44 contract; and
45

46 WHEREAS, the Research Park would reimburse the County from the funds received
47 from WisDOT through land sale proceeds; and

48
49 WHEREAS, the cost for County staff time would be included, along with the cost for
50 bidding, construction and other miscellaneous items; and,

51
52 WHEREAS, the estimated cost of the project is \$795,000; and,

53
54 WHEREAS, with the approval of Corporation Counsel, a memorandum of understanding
55 between the DAS and MCRCP will be entered into whereby MCRCP will provide a dollar for
56 dollar capital reimbursement for all costs associated with the new capital project WO223
57 Research Park Entrance Signage/Landscaping Relocation; now, therefore,

58
59 BE IT RESOLVED, the Milwaukee County Board of Supervisors hereby authorizes the
60 DAS, Corporation Counsel, and Risk Management staff to negotiate, prepare, review, approve,
61 execute and record all documents and perform all actions required to enter into an MOU with
62 MCRPC to have the County perform work relating to the newly created capital project WO223
63 Research Park Entrance Signage/Landscaping Relocation

64
65 BE IT FURTHER RESOLVED, the MOU will include a provision that directs MCRPC
66 to reimburse the County for all project related expenses; and

67
68 BE IT FURTHER RESOLVED, that the DAS – Fiscal Affairs is directed to process an
69 administrative appropriation transfer of \$450,000 to create capital project WO223 Research Park
70 Entrance Signage/Landscaping Relocation; and,

71
72 BE IT FURTHER RESOLVED, that the DAS-Fiscal Affairs is directed to process an
73 additional administrative fund transfer for the balance of the project once MCRPC has deposited
74 additional funds of at least \$345,000 into the segregated account for the purpose of financing
75 project WO223 Research Park Entrance Signage/Landscaping Relocation.

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MILWAUKEE COUNTY FISCAL NOTE FORM

DATE: 1/17/13

Original Fiscal Note

Substitute Fiscal Note

SUBJECT: Authorization to enter into a Memorandum of Understanding with Milwaukee County Research Park Corporation and to create capital project WO223 Research Park Entrance Signage/Landscaping Relocation

FISCAL EFFECT:

- | | |
|--|---|
| <input checked="" type="checkbox"/> No Direct County Fiscal Impact | <input checked="" type="checkbox"/> Increase Capital Expenditures |
| <input type="checkbox"/> Existing Staff Time Required | <input type="checkbox"/> Decrease Capital Expenditures |
| <input type="checkbox"/> Increase Operating Expenditures
(If checked, check one of two boxes below) | <input checked="" type="checkbox"/> Increase Capital Revenues |
| <input type="checkbox"/> Absorbed Within Agency's Budget | <input type="checkbox"/> Decrease Capital Revenues |
| <input type="checkbox"/> Not Absorbed Within Agency's Budget | |
| <input type="checkbox"/> Decrease Operating Expenditures | <input type="checkbox"/> Use of contingent funds |
| <input type="checkbox"/> Increase Operating Revenues | |
| <input type="checkbox"/> Decrease Operating Revenues | |

Indicate below the dollar change from budget for any submission that is projected to result in increased/decreased expenditures or revenues in the current year.

	Expenditure or Revenue Category	Current Year	Subsequent Year
Operating Budget	Expenditure	0	0
	Revenue	0	0
	Net Cost	0	0
Capital Improvement Budget	Expenditure	795,000	0
	Revenue	795,000	0
	Net Cost	0	0

DESCRIPTION OF FISCAL EFFECT

In the space below, you must provide the following information. Attach additional pages if necessary.

- A. Briefly describe the nature of the action that is being requested or proposed, and the new or changed conditions that would occur if the request or proposal were adopted.
- B. State the direct costs, savings or anticipated revenues associated with the requested or proposed action in the current budget year and how those were calculated. ¹ If annualized or subsequent year fiscal impacts are substantially different from current year impacts, then those shall be stated as well. In addition, cite any one-time costs associated with the action, the source of any new or additional revenues (e.g. State, Federal, user fee or private donation), the use of contingent funds, and/or the use of budgeted appropriations due to surpluses or change in purpose required to fund the requested action.
- C. Discuss the budgetary impacts associated with the proposed action in the current year. A statement that sufficient funds are budgeted should be justified with information regarding the amount of budgeted appropriations in the relevant account and whether that amount is sufficient to offset the cost of the requested action. If relevant, discussion of budgetary impacts in subsequent years also shall be discussed. Subsequent year fiscal impacts shall be noted for the entire period in which the requested or proposed action would be implemented when it is reasonable to do so (i.e. a five-year lease agreement shall specify the costs/savings for each of the five years in question). Otherwise, impacts associated with the existing and subsequent budget years should be cited.
- D. Describe any assumptions or interpretations that were utilized to provide the information on this form.

A. Approval of the resolution will allow Milwaukee County to enter into a Memorandum of Understanding (MOU) with Milwaukee County Research Park Corporation (MCRPC), to create capital project WO223 Research Park Entrance Signage/Landscaping Relocation, and to have all project related expenses be reimbursed by MCRPC.

B. This request increases revenue and expenditure authority by \$795,000 for Project WO223 Research Park Entrance Signage/Landscaping Relocation.

C. There are no net budgetary impacts associated with this proposed action in the current year or in subsequent years.

D. It is assumed that MCRPC has or will soon have at least \$795,000 available in their segregated account to finance the project. It is also assumed that the MOU will hold MPRPC responsible for all project related expenses. It is also assumed that the project will either come in under or at budget; or if the project comes in over budget that any overages are reimbursed by MCRPC.

Department/Prepared By Justin Rodriguez

Authorized Signature _____

Did DAS-Fiscal Staff Review? Yes No

¹ If it is assumed that there is no fiscal impact associated with the requested action, then an explanatory statement that justifies that conclusion shall be provided. If precise impacts cannot be calculated, then an estimate or range should be provided.

**INTEROFFICE COMMUNICATION
COUNTY OF MILWAUKEE**

DATE: January 10, 2013

TO: Mark Borkowski, Chairman
Committee on Judiciary, Safety and General Services

Willie Johnson & David Cullen, Co-Chairmen
Committee on Finance, Personnel and Audit

FROM: Mark A. Grady, Deputy Corporation Counsel

SUBJECT: Status update on pending litigation

The following is a list of significant pending cases. New information and additions to the list since the last committee meetings are noted in **bold**. However, our office is prepared to discuss any pending litigation or claim involving Milwaukee County, at your discretion.

1. *DC48 v. Milwaukee County* (Rule of 75)
Case No. 11-CV-16826
2. *MDSA v. Milwaukee County* (overturn arbitration award on 2012 deputy layoffs)
Case No. 12-CV-1984
3. Retiree health plan (co-pays, deductibles, etc.) cases:
Hussey v. Milwaukee County (Retiree health)
Case No. 12-C-73 (U.S. District Court, appealed by Hussey to U.S. Seventh Circuit Court of Appeals)
MDSA prohibited practice complaint
WERC Case No. 792 No. 71690 MP-4726
Rieder & MDSA v. Milwaukee County
Case No. 12-CV-12978
DC48 prohibited practice complaint
WERC Case No. 762 No. 70685 MP-4657
DC48 et al. v. Milwaukee County et al.
Case No. 12-CV-13612 (**stayed pending outcome of *Hussey* case**)
4. Medicare Part B premium reimbursement cases:
FNHP and AMCA v. Milwaukee County
Case No. 12-CV-1528 (appealed to WI Court of Appeals by Milwaukee County)
DC48 et al. v. Milwaukee County et al.
Case No. 12-CV-13612 (**stayed pending outcome of cases above**)

5. 1.6% Pension Multiplier cases:
Stoker & FNHP v. Milwaukee County
Case No. 11-CV-16550 (appealed to WI Court of Appeals by Milwaukee County)
AFSCME v. Milwaukee County
Case No. 12-CV-9911 (stayed pending above appeal)
Brillowski & Trades v. Milwaukee County
Case No. 12-CV-13343 (**stayed pending outcome of *Stoker* above**)
6. *Milwaukee County v. WERC and AFSCME* (2010 bargaining; furloughs)
Case No. 11-CV-12137 (appealed by AFSCME to Court of Appeals)(**stayed pending furlough payments being made**)
7. *MDSA v. Clarke & Milwaukee County* (G4S contract for bailiffs)
Case No. 12-CV-3410
MDSA WERC Prohibited Practice Complaint (G4S contract)
8. Sheriff Captain Lay-off cases:
McKenzie & Goodlette v. Milwaukee County (captains layoffs)
Case No. 12-CV-0079
Rewolinski v Milwaukee County (captain layoff)
Case No. 12-CV-0645
Clarke v. Civil Service Commission (captains promotions and layoffs)
Case No. 12-CV-3366
9. *DC48 v. Milwaukee County* (seniority in vacation selection and CO1 transfer rights under Sheriff)
Case No. 12-CV-3944
10. *Wosinski et al. v. Advance Cast Stone et al.* (O'Donnell Park)
Case No. 11-CV-1003 (consolidated actions)
11. *Christensen et al. v. Sullivan et al.* (Sheriff motion on medical care in jail)
Case No. 96-CV-1835
12. *Milwaukee Riverkeeper v. Milwaukee County* (Estabrook dam)
Case No. 11-CV-8784
13. *Milwaukee County v. Federal National Mortgage Ass'n. et al.* (transfer taxes)
Case No. 12-C-732 (U.S. District Court)
14. *Midwest Development Corporation v. Milwaukee County* (Crystal Ridge)
Case No. 12-CV-11071

15. *MDSA grievance arbitration* (overtime opportunities)
Milwaukee County v. MDSA (overturn arbitration award for MDSA on overtime)
Case No. 12-CV-8411

16. Retirement sick allowance payment for employees not represented at retirement, but previously represented
Pasko v. Milwaukee County
Case No. 11-CV-2577 (appealed to WI Court of Appeals by Milwaukee County)
Porth v. Milwaukee County
Case No. 11-CV-4908 (consolidated with Pasko case, appealed to WI Court of Appeals by Milwaukee County)
Koehn v. Milwaukee County
Case No. 12-CV-1402 (stayed in circuit court pending appeal of other cases)
Marchewka v. Milwaukee County
ERD Complaint

17. *Clarke v. Milwaukee County* (House of Correction transition)
Case No. 12-CV-13388

18. ***Calderon v. Milwaukee County***
Case No. 12-C-1043 (U.S. District Ct.)(deputy assault of person in custody)